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1 **Techno-economic and Environmental Assessment of an Olive Stone based Biorefinery**

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12

13 **Abstract**

14 Olive tree cultivation is spreading worldwide as a consequence of beneficial effects of olive

15 oil consumption. Olive oil production process and table olive industries are the major

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16 sources of olive stones. Currently, this by-product is used in direct combustion to produce  
17 energy as electricity or heat. However, there are other possibilities for taking full advantage  
18 of a renewable source of interesting compounds. In this work the techno-economic and  
19 environmental assessment of two biorefinery schemes and its comparison with the direct  
20 combustion (base case) of this residue are presented. The first biorefinery scheme describes  
21 the integrated production of xylitol, furfural, ethanol and poly-3-hydroxybutyrate (PHB).  
22 The second biorefinery scheme considers the production of xylitol, furfural, ethanol and  
23 PHB integrated to a cogeneration system for producing bioenergy from the solid residues  
24 resulting from the mentioned processes. The results showed that in the first biorefinery  
25 scheme, the net profit margin is approximately 53%, while the second present a net profit  
26 margin of 6%.

27

28 **Keywords:** Biorefinery, olive stone, ethanol, xylitol, PHB, furfural

29

## 30 **1. Introduction**

31

32 Currently, most energy and chemicals are derived from fossil raw materials, although there  
33 are several environmental, economic and social concerns related to their extraction and use.  
34 These facts have increased the interest in the use of renewable raw materials. The  
35 replacement of the fossil raw materials either fully or partially is an objective in many  
36 countries, including Spain. Since the fossil supply in this country is almost entirely of  
37 foreign origin, is of special interest the use of local biomass such as agricultural, forest,  
38 agro-industrial and industrial wastes, due to its low cost and large availability.

39

40 Because of the reported beneficial effects of olive oil consumption, the olive tree  
41 cultivation has been propagated worldwide and it is nowadays present in countries as  
42 diverse as the United States, Argentina or Australia. Currently, this crop presents a global  
43 cultivated area of almost 10 million hectares with an annual production of approximately  
44 18 million tons of olives (FAOSTAT, 2014). Olive oil and pitted table olive production are  
45 the most important agrifood industries in the Mediterranean countries, with Spain being the  
46 largest producer in the world. One important by-product generated in olive oil extraction  
47 and pitted table olive production is olive stone. Olive stone represents 10-30 % wt  
48 (Garrido-Fernández et al. , 1997) of the fruit, which implies an annual production of  
49 approximately three million tons. For instance, in the 2009/2010 season, the olive oil and  
50 table olives world production were 2.97 and 2.37 million tons, respectively (The  
51 International Olive Council, 2012). In the same season, approximately 0.17 million tons  
52 and 2.1 million tons of stone from table olives and olive oil industries, respectively, were  
53 produced. Currently, the main use of this by-product is the direct combustion to produce  
54 energy as electricity or heat (Romero-García et al. , 2014).

55

56 Apart from its use as raw material for producing heat and electricity, crushed olive stones  
57 have also been considered as raw material for other kind of value-added products. For  
58 instance, olive stones contain extracts with high antioxidant capacity (approximately 5.5%  
59 dry basis), containing mainly hydroxytyrosol and tyrosol (Fernández-Bolaños et al. , 1998).  
60 These antioxidants have application in the food, cosmetic, functional food and  
61 nutraceuticals industries (Spizzirri et al. , 2011). Hydroxytyrosol is able to inhibit or retard  
62 the rate of growth of a broad range of bacteria and fungi (Elbir et al. , 2012). The extraction  
63 of phenolic compounds offers a double advantage. Firstly the recovery of the bioactive

64 products with antioxidant capacity and high value-added, improves the economic viability  
65 of the process. Besides, the toxicity of the subsequent pre-hydrolyzed can be reduced,  
66 increasing the yield of the enzymatic hydrolysis or fermentation steps. On the other hand,  
67 olive stone is a lignocellulosic material with reducing sugar and lignin content of  
68 approximately 50% and 40%, respectively. This reducing sugar fraction allows obtaining  
69 different value-added products. For instance, in this work four products are considered:  
70 Ethanol and furfural, because of being renewable fuels; xylitol, because of its application in  
71 the nutraceutical industry; and PHB in order to evaluate the production of an alternative  
72 polymer.

73  
74 In this work the direct combustion of the olive stone (base case) and two biorefinery  
75 scenarios are techno-economic and environmentally assessed. The first biorefinery scenario  
76 presents the integrated production of xylitol, furfural, ethanol and poly-3-hydroxybutyrate  
77 (PHB). In the second scenario, the additional production of bioenergy from the solid  
78 residues integrated to the production of xylitol, furfural, ethanol and PHB is considered.

## 80 **2. Materials and Methods**

### 82 **2.1. Raw material**

83  
84 Olive stones were supplied by the olive-oil mill factory “S. C. A. Unión Oleícola Cambil”  
85 located in Jaén, Spain. The stones were separately removed from the olive pomace with an  
86 industrial pitting machine, with a 6 mm sieve separator, which is the standard size in this  
87 industrial process, soaked in water, washed to free them from any adhering flesh, air-dried

88 and then dried for 24 h at 50 °C. The composition of the raw material was determined  
89 according to NREL (National Renewable Energy Laboratory, Golden, CO, USA) analytical  
90 methods for biomass.

91

## 92 **2.2.Scenarios description**

93

94 In this work a base case and two biorefinery scenarios were techno-economic and  
95 environmentally assessed. The base case considers the current use for this raw material:  
96 power production through a direct combustion process. In this scheme, the olive stone is  
97 submitted to a combustion process at 850 °C and 60 bar. The resulting stream goes through  
98 a gas turbine where the pressure decreases up to 1 bar to produce electricity. The first  
99 biorefinery scheme for producing xylitol, furfural, ethanol and PHB is presented in Fig. 1.

100 In this process, the raw material is submitted to pretreatment and hydrolysis, where the  
101 xylose and glucose rich fractions were extracted. Then the xylose-rich fraction was destined  
102 to produce xylitol (80%) and furfural (20%). On the other hand, the glucose-rich fraction  
103 was used to produce ethanol (80%) and PHB (20%). Finally, in the second biorefinery  
104 scheme, the solid residues resulting from xylitol, furfural, ethanol and PHB processes,  
105 which are lignin and biomass, are used to produce power and heat through a cogeneration  
106 system (See Fig. 2).

107

108 **Figure 1.**

109

110 **Figure 2.**

111

## 112 **2.3.Simulation Process**

113

114 For the base case as well as for the two biorefinery schemes, flowsheet synthesis was  
115 carried out using process simulation tools. The objective of this procedure was to generate  
116 the mass and energy balances for calculating the raw materials, consumables, utilities and  
117 energy requirements. The main simulation tool used is the commercial package Aspen Plus  
118 v8.0 (Aspen Technology, Inc., USA). Matlab was also used to perform mathematical  
119 calculations especially for kinetic analysis. The kinetic models used for calculations of  
120 hydrolysis steps were reported by Jin et al. and Rinaldi & Schüth (Jin et al. , 2011, Rinaldi  
121 and Schüth, 2009). Kinetic model for detoxification were reported by Martinez et al.  
122 (Martinez et al. , 2001). The fermentation stage for fuel ethanol production was calculated  
123 using the kinetic model reported by Leksawasdi et al. (Leksawasdi et al. , 2001). The  
124 kinetic model used for the calculation of PHB production was reported by Shahhosseini  
125 (Shahhosseini, 2004). For xylitol, the fermentation conditions were adapted from  
126 (Tochampa et al. , 2005). For furfural production, the reaction conditions were taken from  
127 Agirrezabal-Telleria et al. (Agirrezabal-Telleria et al. , 2013). The Non-Random Two-  
128 Liquid (NRTL) thermodynamic model was applied to calculate the activity coefficients of  
129 the liquid phase and the Hayden-O'Connell equation of state was used for the description of  
130 the vapor phase. Also the UNIFAC-DORTMUND and Soave Redlick Kwong models for  
131 liquid and vapor phases were needed when the NRTL model do not predict properties (e.g.  
132 Liquid-Liquid separations and distillation columns).

133

### 134 **2.3.1. Sugar extraction**

135

136 The olive stone is initially submitted to a process consisting of two hydrolysis steps in order  
137 to achieve the sugar extraction. In the first stage the hemicellulose fraction is hydrolyzed  
138 with sulfuric acid (2.4% by weight) at a temperature of 100 °C. The result of this hydrolysis  
139 is a non-converted solid fraction and the rich-pentose liquor. This resulting stream is  
140 separated by filtration. Then the solid fraction, rich in cellulose and lignin is submitted to a  
141 second acid hydrolysis step with sulfuric acid (1.2% by weight) at 2.68 atm and 150 °C to  
142 obtain the rich-hexoses liquor and a solid residue rich in lignin. The resulting lignin is then  
143 sent to the cogeneration system, for the second scenario.

144

145 As a result of the decomposition reactions of sugars during the two hydrolysis steps,  
146 furfural and hydroxymethyl furfural (HMF) are obtained. Then, detoxification technology  
147 is applied and it is based on overliming (Martinez, Rodriguez, 2001, Millati et al. , 2002,  
148 Purwadi et al. , 2004). This procedure is carried out to avoid poisoning and inhibition by  
149 the acids, furfural and HMF in the fermentation stages.

150

151 The resulting xylose-rich liquor is divided into two fractions. The 80% is submitted to the  
152 xylitol production process and the other 20% is used to produce furfural. In the case of the  
153 glucose-rich liquor, it is used to obtain ethanol and PHB.

154

### 155 **2.3.2. Xylitol production**

156

157 Xylitol is synthesized from xylose by the yeast *Candida mogii*. Initially the liquor is sent to  
158 a sterilization process at 121 °C in which the biologic activity is neutralized. Once the  
159 culture media is sterilized the fermentation with *C. mogii* is performed according to the

160 kinetic expression reported by Tochampa et al. (Tochampa, Sirisansaneeyakul, 2005), at 30  
161 °C under aerobic conditions (dissolved oxygen concentration of 20%). After fermentation,  
162 the resulting stream is filtered to separate the biomass and the temperature is increased at  
163 40 °C and a flash operation is used to concentrate the obtained xylitol. The next step for  
164 isolating the metabolite from the fermentation broth consists on an evaporation to eliminate  
165 the excess of water for facilitating the concentration by crystallization, adding ethanol in  
166 order to decrease drastically the xylitol solubility and supersaturate the solution to carry out  
167 the crystallization at 5 °C (Parajó et al. , 1998).

168

### 169 **2.3.3. Furfural production**

170

171 Furfural is obtained from the xylose-rich liquor via xylose cyclodehydration using air as  
172 stripping agent for removing the product while is produced (Agirrezabal-Telleria,  
173 Gandarias, 2013). First the liquor is sent to a reactor at 180 °C and 10 bar. Then, air is  
174 feeding into the reactor at a ratio of 30:1 air to feed. The resulting stream is then  
175 depressurized to recover the liquid fraction. After, the mixture is sent to a liquid-liquid  
176 extraction process with toluene as solvent with 1:1 v/v ratio to recover the furfural from the  
177 water. Finally, the solvent-furfural stream is submitted to a distillation process where the  
178 furfural is obtained as bottom product.

179

### 180 **2.3.4. Ethanol production**

181

182 Initially the liquor is sent to a sterilization process at 121 °C in which the biologic activity  
183 is neutralized. Later the fermentation process is carried out based on the kinetic expressions

184 reported by Leksawasdi et al. (Leksawasdi, Joachimsthal, 2001), at 30 °C using  
185 *Zymomonas mobilis* as microorganism. Afterwards, the cell biomass is separated from the  
186 culture broth.

187

188 After the fermentation stage, the culture broth containing approximately 7-10% (wt/wt) of  
189 ethanol is taken to the separation zone, which consists of two distillation columns. In the  
190 first column, ethanol is concentrated nearly to 50-55% by weight. In the second column, the  
191 liquor is concentrated until the azeotropic point (96% wt) to be led to the dehydration zone  
192 with molecular sieves for obtaining an ethanol concentration of 99.7% by weight (Pitt Jr et  
193 al. , 1983).

194

### 195 **2.3.5. Poly-3-hydroxybutyrate production**

196

197 Firstly the hexose-rich liquor is submitted to a sterilization stage of the culture broth also  
198 involving a nitrogen source ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>), at 122 °C and a pressure of 10 bar. The ratio of  
199 the nitrogen source to the carbon source is 0.16 g/g. Then, the culture with an appropriate  
200 glucose concentration (approximately 8% by weight) undergoes fermentation. The glucose  
201 fermentation is performed with *Ralstonia etropha* as microorganism according to  
202 Shahhosseini (Shahhosseini, 2004), at 30 °C in presence of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> as nitrogen source.  
203 Then, a digestion which consists of cell lysis with chemical agents such as sodium  
204 hypochlorite assisted by temperature (Herron et al. , 1978, Moncada et al. , 2013) is done.  
205 Once the biopolymer is extracted, residual biomass is separated by centrifugation. The  
206 resulting solution after centrifugation is washed in order to remove impurities to finally

207 remove water by evaporation and spray drying to obtain PHB approximately at 98%  
208 (wt/wt).

209

### 210 **2.3.6. Energy cogeneration**

211

212 For this section the technology used for cogeneration is the biomass integrated gasification  
213 combined cycle (BIGCC), as shown in Fig. 2 (Balat et al. , 2009, Herron, King, 1978,  
214 Rincón et al. , 2013). Basic elements of BIGCC system include biomass dryer, gasification  
215 chamber, gas turbine and heat steam recovery generator (HRSG). Gasification is a thermo-  
216 chemical conversion technology of carbonaceous materials (coal, petroleum coke and  
217 biomass), to produce a mixture of gaseous products (CO, CO<sub>2</sub>, H<sub>2</sub>O, H<sub>2</sub>, CH<sub>4</sub>) known as  
218 syngas added to small amounts of char and ash. Gasification temperatures range between  
219 875 and 1275 K (Ahmed and Gupta, 2012). The gas properties and composition of syngas  
220 changes according to the gasifying agent used (air, steam, steam-oxygen, oxygen-enriched  
221 air), gasification process and biomass properties (Ahmed and Gupta, 2012). Syngas is  
222 useful for a broader range of applications, including direct burning to produce heat and  
223 power or high quality fuels production or chemical products such as methanol (Rincón et  
224 al. , 2014, Xu et al. , 2011). A gas turbine is a rotator engine that extracts energy from a  
225 flow combustion gas. It is able to produce power with an acceptable electrical efficiency,  
226 low emission and high reliability. The gas turbine is composed by three main sections:  
227 compression (air pressure is increased, aimed to improve combustion efficiency),  
228 combustion (adiabatic reaction of air and fuel to convert chemical energy to heat) and  
229 expansion (obtained pressurized hot gas at high speed passing through a turbine generating  
230 mechanical work) (Najjar, 2000). The HRSG is a high efficiency steam boiler that uses hot

231 gases from a gas turbine or engine to generate steam, in a thermodynamic Rankine Cycle.  
232 This system is able to generate steam at different pressure levels. According to process  
233 requirements a HSRG system can use single, double or even triple pressure levels.

234

#### 235 **2.4.Energy consumption**

236

237 The estimation of the energy consumption was performed based on the results of the mass  
238 and energy balances obtained from the simulation. Then, the required thermal energy in the  
239 heat exchangers and re-boilers was calculated, as well as the electric energy needs for the  
240 pumps, compressors, mills and other equipment.

241

#### 242 **2.5.Economic assessment**

243

244 The capital and operating costs were calculated using the software Aspen Economic  
245 Analyzer V8.0 (Aspen Technologies, Inc., USA). On the other hand specific parameters  
246 regarding to Spain conditions such as the raw material costs, income tax (35%), annual  
247 interest rate (6%) and labor salaries, among others, were incorporated in order to calculate  
248 the production costs per unit for the different obtained products. This analysis was  
249 estimated in US dollars for a 10-year period. The Capital depreciation was calculated using  
250 the straight-line method. As a result of this analysis, the biorefinery total cost is expressed  
251 by the sum of the following items: Capital depreciation, raw material costs, utilities costs  
252 and operating costs. Besides, the net and unit profit margin of the biorefinery and its  
253 products, respectively, as well as the unit production cost are shown as parameters for  
254 performing the economic assessment.

255

## 256 **2.6.Environmental assessment**

257

258 The Waste Reduction Algorithm WAR, developed by the National Risk Management  
259 Research Laboratory from the U.S. Environmental Protection Agency (EPA) is used as the  
260 method for the calculation of the Potential Environmental Impact (PEI). The PEI for a  
261 given mass or energy quantity could be defined as the effect that those (energy and mass)  
262 will have on the environment if they are arbitrary discharged. The environmental impact is  
263 a quantity that cannot be directly measured; however, it can be calculated from different  
264 measurable indicators. The WAR GUI software incorporates eight categories: Human  
265 toxicity by ingestion (HTPI), human toxicity by dermal exposition or inhalation (HTPE),  
266 aquatic toxicity potential (ATP), Global warming (GWP), Ozone depletion potential  
267 (ODP), Photochemical oxidation potential (PCOP) and acidification Potential (AP). This  
268 tool considers the impact by mass effluents and the impact by energy requirements of a  
269 chemical process, based on the energy and mass balances generated in Aspen Plus. Then  
270 the weighted sum of all impacts ends into the final impact per kg of products.

271

## 272 **3. Results and discussion**

273

### 274 **3.1.Raw material**

275

276 Olive stone was characterized in terms of ash, extract, acetyl groups, moisture content and  
277 lignocellulosic composition (representing xylose more than the 80%) and lignin (See Table  
278 1). In addition olive stone contains pulp (1.6%) and skin (1.4%) residues.

279

280 **Table 1.**

281

282 **3.2.Process Simulation**

283

284 For simulation purposes a raw material flow of 10 ton/h (approximately 80,000 ton/year) is  
285 considered. According to the Ministerio de Agricultura, Alimentación y Medio Ambiente  
286 of Spain, approximately 6 million tons of olive are produced per year in Spain and the 35%  
287 of this production is concentrated in Jaen. This means that approximately 210,000 to  
288 630,000 tons of olive stone are produced in this Province. This means that the annual  
289 feedstock requirement corresponds approximately to the 4.4%-13% and the 13-38% of the  
290 total availability of the olive stone in Spain and the Province of Jaen, respectively.

291 Simulations of the studied scenarios were used to generate their respective mass and energy  
292 balance sheets, which are the basic input for the techno-economic and environmental  
293 assessments. Results are shown in Table 2. These evaluations were carried out considering  
294 that for the first scenario, all the raw material is destined to electricity production through  
295 combustion. On the other hand, for scenarios 2 and 3 the feedstock distribution is as  
296 follows: 80% of the hexose-rich liquor for producing ethanol and 20% for PHB, 80% of the  
297 pentose-rich liquor for obtaining xylitol and 20% for furfural production.

298

299 **Table 2.**

300

301 **3.3.Economic Assessment**

302

303 Distribution and technologies included in each scenario directly affect production costs. In  
304 this sense, the economic analysis is focused on two parameters: production cost and net  
305 profit margin. The annualized costs for each scenario are presented in Table 3. As can be  
306 seen the feature that contributes with a higher proportion on the total costs are the operating  
307 costs followed by utilities, raw materials and depreciation. Moreover, scenario 2 shows  
308 higher values due to the addition of the cogeneration scheme. This addition represented an  
309 increment of the 14% on the annualized costs based on the second scenario. Comparing to  
310 the base case, utilities for both biorefinery schemes are higher. Besides, the total annualized  
311 costs for scenarios 2 and 3 show an increment of 23.3% and 33.7%, respectively, with  
312 respect to the base case.

313

314 **Table 3.**

315

316 In Table 4, the unit cost for each product is presented. Besides, in Fig. 3 the profit margin  
317 for each product in both biorefinery schemes as well as the net profit margin is presented.  
318 For the given scheme, furfural and ethanol are not profitable. However, xylitol and PHB  
319 show a high profit margin, achieving that the net profit margin in both scenarios be  
320 positive. Although, due to the increment in depreciation, utilities and operating costs the  
321 profit margin for the second scenario is 97% lower. For the base case, the electricity unit  
322 cost is 0.634 USD/kW, which is higher than the commercial price of this utility (0.11  
323 USD/kW).

324

325 **Table 4.**

326

327 **Figure 3.**

328

### 329 **3.4.Environmental Assessment**

330

331 The environmental assessment is based on the criteria of the potential environmental  
332 impacts, described above. The results of the potential environmental impact per ton of  
333 products are presented in Fig. 4. These Figures shown that the best scenario, from the  
334 environmental point of view, is the one that considers cogeneration of the solid residues.  
335 According to Fig. 2, human toxicity by ingestion (HTPI) and terrestrial toxicity (TTP)  
336 potentials affect the most to the environmental impacts. The HTPI and TTP potentials are  
337 high due to organic waste present in liquid streams leaving the process.

338

339 **Figure 4.**

340

## 341 **4. Conclusions**

342

343 According to the results, the olive stone is an interesting material to be used as feedstock  
344 under a biorefinery concept to obtain value-added products. Despite of furfural and ethanol  
345 plants are not feasible by itself, part of the cost of these plants are covered by the other  
346 ones, thus the total feasibility is positive with a profit margin of 53%, for the second  
347 scenario. On the other hand, a cogeneration considered in scenario 3 is not feasible inside  
348 the biorefinery because of the additional capital cost. Regarding to the environmental  
349 analysis, the results reveals that scenario 2, which includes a cogeneration system, has the  
350 lower contamination level.

351

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353

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362

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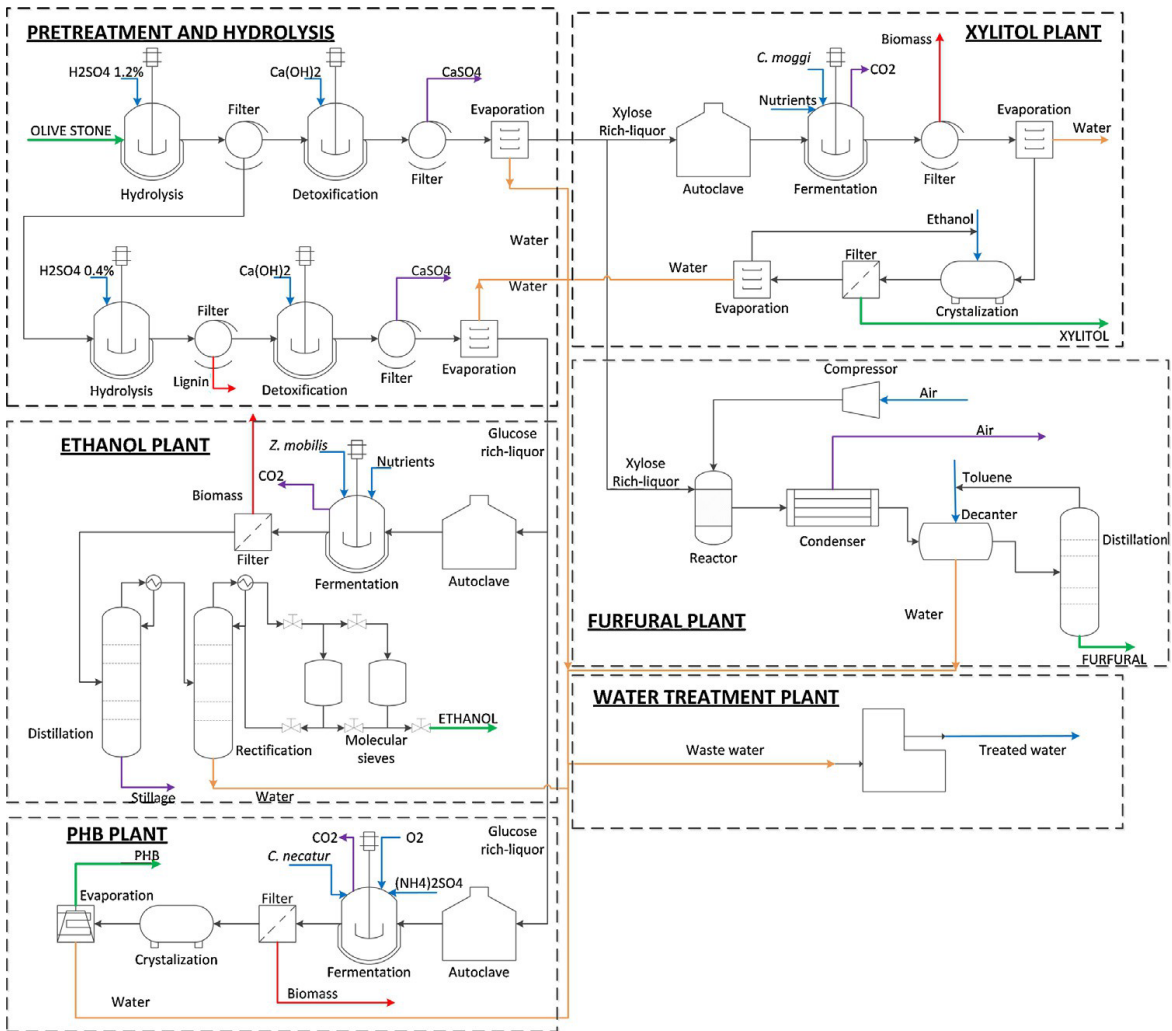
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422 **Figure 1**

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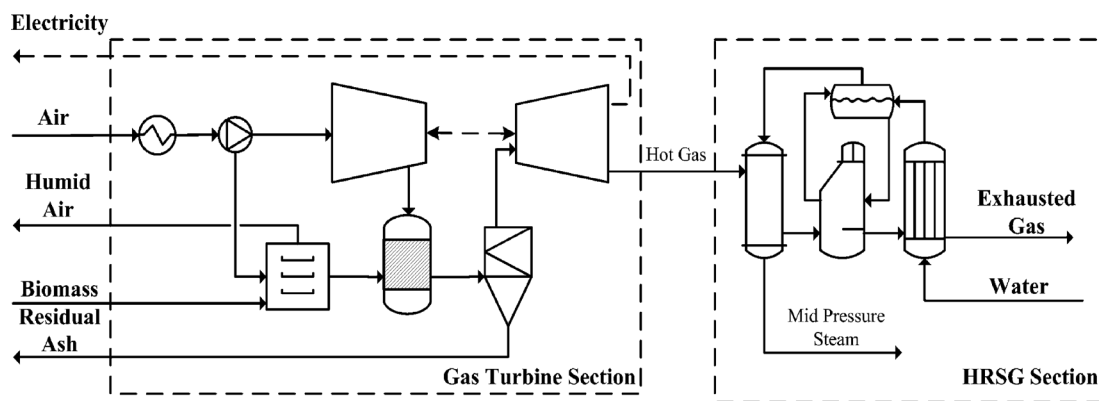
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**Fig. 1.** Process flowsheet for scenario 1.

429 **Figure 2**

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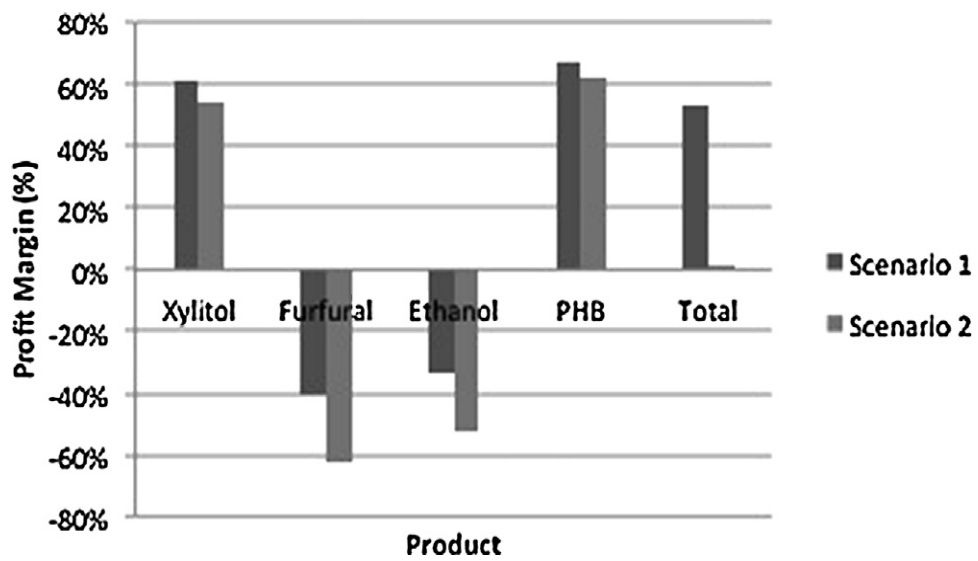
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433 **Fig. 2.** Cogeneration process integrated to the biorefinery scheme in the second  
434 scenario.

435

436 Figure 3

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438

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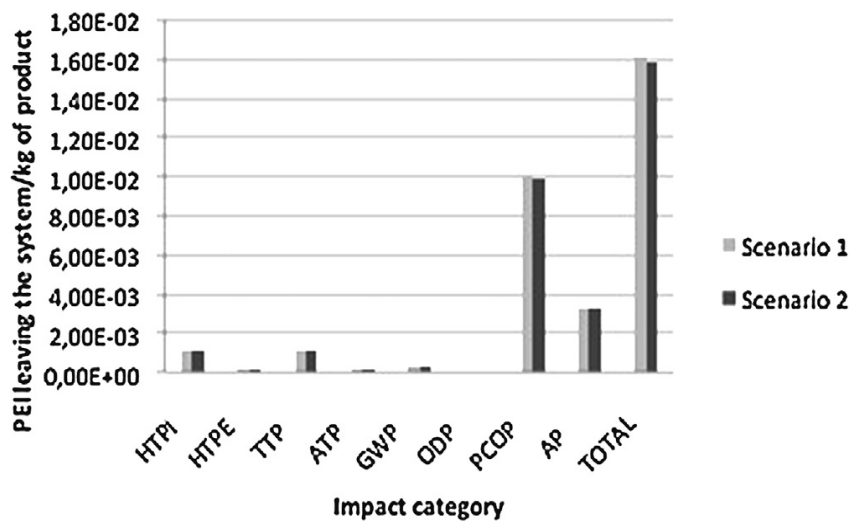
440 Fig. 3. Unit and net profit margin.

441

442

443 **Figure 4**

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445

446

447 **Fig. 4.** Potential environmental impact per kg of product.

448

449 **Table 1**

450

451 **Table 1** Olive stone composition used for simulation procedure.

452

Compound	%
Cellulose	20.10
Hemicellulose	29.92
Lignin	38.87
Extractives	10.54
Ash	0.57
Total	100

453 Moisture content: 7.71%.

454

455 **Table 2**

456

457 **Table 2** Production capacities.

458

459 Product Productivity

460

461 Xilitol 12.00 ton/day

462 Furfural 8.04 ton/day

463 Ethanol 18.20 m<sup>3</sup> /day

464 PHB 4.07 ton/day

465 Electricity 218 MW<sup>a</sup>

466 36 MW<sup>b</sup>

467

468 

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<sup>a</sup> Base Case

470 <sup>b</sup> Scenario 2

471

472

473

474 **Table 3**

475

476 **Table 3** Annualized costs for each scenario.

477

Item	Base case		Scenario 1		Scenario 2	
	Million US\$/year	Share (%)	Million US\$/year	Share (%)	Million US\$/year	Share (%)
Depreciation of capital	1.765	4	2.166	4	2.815	4
Raw material	–	–	11.491	19	11.491	16
Utilities	20.670	45	14.789	24	18.818	27
Operating	23.867	52	31.916	53	36.756	53
Total	46.302		60.363		69.880	

478

479

480 **Table 4**

481

482 **Table 4** Unit production costs.

483

Product	Production cost				
	Base case	Scenario 1		Scenario 2	
Xilitol	–	3.12	USD/kg	3.61	USD/kg
Furfural	–	2.82	USD/kg	3.25	USD/kg
Ethanol	–	1660.00	USD/m <sup>3</sup>	1890.00	USD/m <sup>3</sup>
PHB	–	2.31	USD/kg	2.66	USD/kg
Electricity	634 USD/MW	–		–	

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485