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## 1           **Filtered Smith Predictor to control pH during enzymatic hydrolysis of** 2                           **microalgae to produce L-aminoacids concentrates**

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## 16      **Abstract**

17      The main step of the production of L-aminoacids concentrates from microalgae is the enzymatic  
18 hydrolysis. This process has to be done at the optimum temperature and pH of the enzyme in order  
19 to obtain the maximum yield. In order to keep a constant pH, NaOH (1M) or H<sub>2</sub>SO<sub>4</sub> (1M) are used,  
20 depending on the reaction step. When working with pH it is not possible to use the governing  
21 equations due to the complexity of the enzymatic hydrolysis. In this work, the modelling of pH is  
22 experimentally performed on a laboratory scale plant. Since the obtained model presents delay and  
23 uncertainty in the parameters, a Filtered Smith Predictor is proposed as control strategy. This  
24 control scheme has been tested in the real system. The Filtered Smith Predictor has reduced the  
25 Integral Absolute Error and the time for the solution addition in more than 25% and it has  
26 increased 5% the production of L-aminoacids compared to an on-off control, which is the controller  
27 most used in these processes.

28      **Keywords:** batch bioprocess, enzymatic hydrolysis, microalgae free-aminoacids, nonlinear  
29 dynamics, pH robust control, Filtered Smith Predictor.

## 30      **1. Introduction**

31      Currently, the abatement and valorisation of CO<sub>2</sub> pose an extraordinary challenge that must be  
32 tackled with different technologies. One possibility is a biological treatment with photosynthetic  
33 microorganisms to generate biomass that could be then converted into products with energetic or  
34 industrial interest. Nowadays, there is great interest on biofuel production from photosynthetic  
35 microorganisms, such as microalgae and cyanobacteria.

36      Technology is being developed and scaled-up to demonstrate the viability of the process. The oil  
37 content of microalgae biomass is most commonly between 10 and 30%, but this upper limit is only

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Abbreviations: AU, Anson Units; BSA, Bovine Serine Albumin; DTC, Dead-Time Compensator; EEFC, Estación Experimental Fundación Cajamar; FBG, Fungal Beta Glucanase; FSP, Filtered Smith Predictor; IAE, Integral Absolute Error; LAPU, Leucine Amino Peptidase Units; OPA, Ortofenilaldehyde; PI, Proportional Integral; PWM, Pulse-Width Modulation; SCADA, Supervisory Control And Data Acquisition; SP, Smith Predictor.

38 obtained under stressing conditions. Besides lipids, other major components in the microalgal  
39 biomass are carbohydrates (20-30%), ash (5-10%) and proteins (30-60%) (Reboloso et al., 2000,  
40 2001). For high growth rate cultures, which are usually the most productive in term of biomass,  
41 proteins are the most abundant component in the biomass, representing more than 45% of the total  
42 dry weight (Acién et al., 1998, 2000). Therefore, the production of large amounts of lipids from  
43 microalgae involves the production of a large deal of protein. So, it is clear that the protein  
44 produced must be valorised to make the process economically positive. Proteins from microalgae  
45 can be used for human and animal nutrition (Spolaore et al., 2006), but in both cases enzymatic  
46 hydrolysis is recommended to improve the digestibility of cell proteins as most microalgae are  
47 indigestible to monogastric animals and humans (Morris, 2008). Microalgae biomass has also been  
48 proposed as biofertilizer by its aminoacid profile and its content in other algae-derived natural  
49 substances (Ördög et al., 2004). It has been demonstrated that the application of aminoacids in foliar  
50 (Jie et al., 2008) and soils (Mitchell et al., 1994) has a favourable effect on plants. By-products from  
51 meat and from vegetal origin (pea meal, soya flour, sunflower) have been successfully tested to  
52 obtain them (Kubo et al., 1994; Ordóñez et al., 2001; Soetrisno and Holmes, 1992; Tejada and  
53 González, 2003).

54 Recently, a process for the production of free-aminoacids concentrates from microalgal biomass  
55 of *Scenedesmus almeriensis* is developed (Romero-García et al., 2012). The method is based on the  
56 enzymatic hydrolysis of proteins contained in the biomass. The enzymatic reaction step has been  
57 optimized in addition to the pre-treatment step necessary to improve the yield of the process. During  
58 the enzymatic hydrolysis, optimal conditions of pH and temperature of the enzymes must be  
59 maintained, in order to achieve the greatest degree of hydrolysis. The temperature control problem  
60 is relatively straightforward since the dynamic equations that define the heat transfer are well-  
61 known (Bouhenchir et al., 2006; Hua et al., 2004; Macků and Sámek, 2010). However, in the case  
62 of pH, the dynamics is often quite complicated to be modelled and controlled. The main reason is

63 due to: (i) the complexity of the substrate used, since this is a new source of protein, and (ii) the  
64 enormous complexity of the enzymatic hydrolysis of proteins (in this case, free-aminoacids are  
65 produced, which cause the variation of pH). This fact is due to a single reaction, but rather a set of  
66 simultaneous reactions of bond breaking with different reactivity and different charged species in  
67 equilibrium, products that are further substrates for the process, inhibition and inactivation of the  
68 enzyme, etc. (Guadix et al., 2000; Margot et al., 1997); neutralization equations between acid and  
69 basic species that participate in the process are unknown.

70 In this work, an experimental simplified model has been obtained for control purposes. The main  
71 features of the resulting dynamics are that the process presents dead time and that the process model  
72 parameters are time varying with respect to the current operating point (Carrasco et al., 2009; Sing  
73 and Postlethwaite, 1997; Wright and Kravaris, 1991). The main reason of this resulting dynamics is  
74 that significant pH deviations are obtained due to the  $H^+$  released from the hydrolysis reaction. This  
75 fact can be compensated by adding base, but an adequate control strategy is required to avoid  
76 providing base in excess. Conventional strategies for the control of pH (PI, on-off) are still the most  
77 used in industry for these processes, because of its simplicity and ease of implementation, but their  
78 lack of ability to handle nonlinear processes, long time delays or large uncertainties, reduces the  
79 efficiency of the control, hindering the process (Gaberalla et al., 2008; Palancar et al., 1996;  
80 Williams et al., 1990). In particular, the presence of a delay in the control loop can have negative  
81 effects on the control system performance. For instance, the presence of significant dead time can  
82 result in oscillatory responses or long delays in the disturbance rejection (Normey-Rico and  
83 Camacho, 2007). Furthermore, when the process dynamics is time varying or when there is  
84 uncertainty in the process parameters, the control loop must be designed to face these situations  
85 since otherwise the control system can lead to a poor performance or instability. Such as  
86 commented above, in the case studied in this work, the enzymatic hydrolysis of protein, both

87 features dead time and changing dynamics are observed and thus an adequate control strategy is  
88 required to obtain a desirable performance.

89 Improving the process control can therefore be expected to increase the yield of the process and  
90 to save reactants, which also prevents the accumulation in the hydrolyzate of salts resulting from the  
91 neutralization of excess acid and base added by an inadequate control. Laboratory experiences  
92 carried out to model the pH in this specific process have shown that the dynamic parameters for pH  
93 contain uncertainty, in such a degree as to request a robust control strategy, as well as significant  
94 dead time. In view of this, a Filtered Smith Predictor (FSP) has been selected as control strategy,  
95 capable of taking into account the delay (as a classical Smith Predictor (SP) control scheme) and  
96 assuring the robustness (Normey-Rico and Camacho, 1999, 2007, 2009; Roca et al., 2009, 2011;  
97 Santos et al., 2010).

## 98 **2. Materials and methods**

### 99 *2.1. Microalgae biomass*

100 The raw material used for the experiments was dry-freezed biomass of the freshwater strain  
101 *Scenedesmus almeriensis*. The biomass was produced in continuous mode at 0.3 l/day in an  
102 industrial size tubular photobioreactor (3 m<sup>3</sup>) at Estación Experimental Fundación Cajamar (EEFC)  
103 located in Almería, Spain (see Fig. 1). The biochemical composition of the biomass was: 41.8%  
104 proteins, 11.2% lipids (8.5% fatty acids), 38.7% carbohydrates, and 8.3% ash.

### 105 *2.2. Enzymes*

106 In the hydrolysis process, three liquid commercial enzymatic complexes were used, supplied by  
107 Novozymes A / S (Bagsvaerd, Denmark), which have the following characteristics:

- 108 • Alcalase 2.5L: is obtained by submerged fermentation of a strain of *Bacillus licheniformis*  
109 whose main component is subtilisin A (Carlsberg subtilin) endoprotease activity, standardized  
110 by the manufacturer to 2.5 AU/g, standardized by the modified process Anson.
- 111 • Flavourzyme 1000L: a fungal enzyme complex, obtained by fermentation of a selected variety  
112 of *Aspergillus oryzae* that has not been genetically modified, and contains both endoprotease  
113 activity and exoprotease, standardized by the manufacturer in 1000 LAPU/g.
- 114 • Viscozyme L: a fungal multienzyme complex, containing carbohydrases including arabinase,  
115 cellulase,  $\beta$ -glucanase, hemicellulase and xylanase, obtained by fermentation of a selected  
116 variety of *Aspergillus aculeatus*, and  $\beta$ -glucanase activity, standardized by the manufacturer in  
117 100 FBG/g.

### 118 2.3. *Chemicals*

119 The chemicals used for analytical methods were sodium tetraborate decahydrate, DL-  
120 Dithiothreitol, Phthaldialdehyde, Dodecyl sulphate and DL-Serine from SIGMA-ALDRICH.  
121 Furthermore, ethanol, Bovine Serine Albumin, Copper (II) sulfate-pentahydrate,  
122 Dodecylhydrogensulphate Sodium Salt, Folin-Ciocalteu's phenol reagent, Potassium Sodium  
123 Tartrate tetra hydrate, Sodium carbonate, Sodium hydroxide and Sulfuric acid from Panreac were  
124 used.

### 125 2.4. *Protein content and hydrolysis degree*

126 The protein content of samples was determined using a modification of the Lowry method  
127 (González et al., 2010), with BSA as standard. The hydrolysis degree was measured using the OPA  
128 method with serine as standard (Nielsen et al., 2001). This method is based on the reaction of  
129 ortofenilaldehyde (o-phthaldialdehyde) with free  $\alpha$ -amine groups giving a derivative that can then be  
130 measured spectrophotometrically at 340 nm to quantify the amount of free  $\alpha$ -amine groups in the

131 sample. According to this method, the following values of the parameters of the model have been  
132 selected  $\alpha=1$ ,  $\beta=0.4$ , and  $h_{tot}=8$ .

### 133 2.5. *Production of L-aminoacids concentrates by enzymatic hydrolysis*

134 The process proposed in (Romero-García et al., 2012) is summarized in the block diagram  
135 shown in the Fig. 2. According to the proposed process, the cells must be disrupted mechanically,  
136 by milling (horizontal-bed ball-mill, 20 cm radius at 40 rpm), previously to be processed. Next, the  
137 biomass, at maximum biomass concentrations of 270 g/l, is treated with Viscozyme L for 30 min at  
138 50°C and pH=7 to reduce its viscosity. Then the enzymatic hydrolysis is performed (twice for 3  
139 hours period), in which biomass proteins are transformed into free amino acids by the action of  
140 enzymes on peptide bonds, which break it releasing the amino group and carboxyl group which may  
141 be partially ionized, depending on the pH of the medium. The enzymes must work in optimal  
142 conditions of temperature and pH. This stage has a combined action of two enzymes. The first one  
143 is the Alcalase 2.5L (endoprotease), that breaks peptide bonds within the protein chains, and its  
144 optimal working conditions are 50 °C, a pH of 8 and a time action of 2 hours (Kechaou et al., 2009;  
145 Ovissipour et al., 2009; Tang et al., 2009) (adjusted with NaOH 1M). Afterwards, Flavourzyme  
146 1000L (exoprotease) is added, that breaks peptide bonds outside, and its optimal working conditions  
147 are 50 °C, pH 7 and reaction time of 1 hour (Kechaou et al., 2009; Tang et al., 2009) (adjusted with  
148 H<sub>2</sub>SO<sub>4</sub> 1M).

149 During hydrolysis of the peptide bond (Eq. (1)) at alkaline pH between 7 and 10, the carboxyl  
150 group is fully dissociated (Eq. (2)) and the amino group is partially protonated (Eq. (3)). Therefore,  
151 for every mole of hydrolyzed peptide bonds there is a monovalent anion mol, P-COO<sup>-</sup>, one mole of  
152 monovalent cations H<sup>+</sup> and one shared between the two species P-NH<sub>3</sub><sup>+</sup> and H<sup>+</sup>, which lead to a  
153 continuous lowering of pH (Guadix et al., 2000). Therefore, it is necessary to control the pH in  
154 order to make the enzymes work in their optimum pH ranges. For that reason, an alkali is added to

155 neutralize these protons and thus achieving the stability of the pH. The protons are replaced by the  
156 cation of the base, thus the moles of added alkali (NaOH) are equivalent to the protons generated by  
157 hydrolysis, which is only a fraction of hydrolyzed amino bonds.



158 Once the reaction has been performed, the enzymes can be deactivated and precipitated by  
159 heating at 75°C for 15 minutes. The supernatant containing the free-aminoacids concentrate can be  
160 finally separated from the waste biomass, with low nitrogen content, by centrifugation.

## 161 2.6. *Experimental equipment*

162 Fig. 3 shows the schematic diagram of the laboratory scale equipment. This system is composed  
163 of a thermostat bath to maintain the optimum working temperature of the enzymes (50 °C), which  
164 includes an electrical resistance with stirrer to homogenize the temperature of the water (Microterm  
165 520 W, JP Selecta SA) and a temperature sensor (PT-100). A glass BSTR (Batch Stirred-Tank  
166 Reactor) of 9 cm diameter and 18 cm height, equipped with a 3 cm diameter Rushton turbine,  
167 temperature and pH sensors (PT-100; probe: InPro3030, Mettler-Toledo, Transmitter: pH  
168 Transmitter 220-R1, CRISON). A pulse pump (Class 5 On-Off, 5-5 l/h-bar, CTX), which will be  
169 responsible for providing the flow of NaOH (1 M) and H<sub>2</sub>SO<sub>4</sub> (1M) for pH adjustment, is also  
170 installed. The pump provides flow rates higher than required, so the flow is bypassed to its output  
171 in order to achieve the desired value. All sensors, pump and electrical resistance are connected to an  
172 input-output card (LabJack U12, LabJack Corporation), which is connected via USB to the control  
173 computer, which also performs the function of data storage. Fig. 4 shows the schematic diagram of  
174 industrial control of enzymatic hydrolysis.

## 175 2.7. *Experimental operation mode*

176 First, the temperature is adjusted to 50 °C and pH to 7 for adding Viscozyme L, which reacts for  
177 30 min. Afterwards, the pH is adjusted to 8 and Alcalase 2.5L is added. At this time, the enzymatic  
178 hydrolysis starts. During the two hours that this enzyme acts, step changes with constant flow rate  
179 of NaOH (1M) are made in order to produce variations of pH near to 8, which is then used to model  
180 pH in the first part of the hydrolysis process. Finally, the pH is adjusted to 7 for adding  
181 Flavourzyme 1000L, which reacts during two hours more. At this time, step changes with constant  
182 flow rate of H2SO4 (1M) are introduced to produce variations of pH near to 7, which is then used to  
183 model the pH in the second part of the hydrolysis process.

#### 184 2.8. *Reaction curve method*

185 The reaction curve method is used to adjust the previous experimental results on the pH  
186 behaviour to a linear time invariant model. The method consists in applying a step change at the  
187 input of the open-loop system to capture the process dynamics (Fig. 5). From the resulting response,  
188 the process parameters can be obtained (if possible) as a first-order model with dead time such as  
189 described by Eq. (4),

$$\frac{Y(s)}{U(s)} = \frac{K}{\tau \cdot s + 1} \cdot e^{-L \cdot s} \quad (4)$$

190 where  $K$  is the *static gain*, which is the quotient between the change in the output amplitude in  
191 steady state and the input step amplitude (see Eq. (5)),  $L$  is the *delay time*, or time lapse during  
192 which the output of the system does not react after the step is produced in the input (see Eq. (6)),  
193 and  $\tau$  is the *time constant*, that is the time elapsed since the instant in which the output starts to  
194 evolve after the delay to that in which it reaches the 63% of its new steady state value (see Eq. (7)).  
195 The method was proposed by Cohen and Coon (1953) and it is based on drawing a tangent to the  
196 curve at the point of maximum slope, and from this the parameters are defined by the following  
197 equations (Fig. 5):

$$K = \frac{\Delta y}{\Delta u} \quad (5)$$

$$L = t_L - t_0 \quad (6)$$

$$\tau = t_{63} - L \quad (7)$$

198 2.9. *Smith Predictor (SP)*

199 It is the first control system proposed in the literature that includes a delay compensator, and it is  
 200 probably the most well-known and used in practice to solve the control of systems with delay, being  
 201 proposed by Smith (1957) for monovariable systems. This system is known as the Smith Predictor  
 202 (SP) or dead-time compensator (DTC). Fig. 6 shows the structure of the SP. The blocks  $C(s)$  and  
 203  $P(s)$  correspond to the controller and the real plant respectively, with  $P(s) = G(s) \cdot e^{-Ls}$ , where  $L$  is the  
 204 delay. This structure feeds back the predicted output of the process, calculated using a model of the  
 205 plant without delay  $G_n(s)$ . Furthermore, to offset the effect of disturbances and correct the effects of  
 206 modelling errors, it also feeds back the difference between process output and model output  
 207 including the estimated delay ( $P_n(s) = G_n(s) e^{-L_n s}$ ).

208 However, the SP has been the subject of many investigations that have produced as main results  
 209 the detection of some constraints on the behavior of the system. The most important ones are: to be  
 210 sensitive to small modelling errors that can make the closed loop systems unstable; its single degree  
 211 of freedom structure cannot be used for open-loop unstable processes; and cannot reject constant  
 212 disturbances in steady state when the plant is an integrator (Normey-Rico and Camacho, 2007).

213 The next section briefly describes one of the proposed solution to these problems (Martínez and  
 214 Camacho, 2005), which is the one used in this work.

215 2.10. *Filtered Smith Predictor (FSP)*

216 Among the DTCs proposals, FSP proposed by Normey-Rico and Camacho (2009) is selected,  
 217 which has two main characteristics highly valued in the practical environment: simplicity and  
 218 robustness features.

219 The structure proposed by Normey-Rico and Camacho is shown in Fig. 7. As can be seen,  
 220 corresponds to the basic structure of the Smith Predictor shown in Fig. 6, but adding a filter ( $F_r(s)$ )  
 221 to enhance robustness. Note that if  $F_r(s)$  is a low-pass filter, then the robustness of the system is  
 222 improved. Moreover, if there are not disturbances ( $q(t) = 0$ ) the closed-loop system in its nominal  
 223 form is not affected by the inclusion of  $F_r(s)$ .

224 The design of  $C(s)$  and  $F_r(s)$  is done to achieve the performance and the required robustness,  
 225 which are given by Eqs. (8) and (9) respectively.

$$H_q(s) = P_n(s) \cdot \left[ 1 - \frac{C(s) \cdot P_n(s) \cdot F_r(s)}{1 + C(s) \cdot G_n(s)} \right] \quad (8)$$

$$dP(\omega) = \frac{|1 + C(j\omega) \cdot G_n(j\omega)|}{|C(j\omega) \cdot P_n(j\omega) \cdot F_r(j\omega)|} \quad (9)$$

226 To consider the effect of modelling errors (Fig. 8), it is assumed that the uncertainties can be  
 227 described by an unstructured model (see Eq. (10)) (Normey-Rico and Camacho, 2007).

$$P(s) = P_n(s) \cdot (1 + \delta P(s)) = P_n(s) + \Delta P(s) \quad (10)$$

228 Under this hypothesis, the robust stability condition for the FSP is as shown in Eq. (11), where  
 229  $\overline{\delta P}(\omega)$  is the uncertainty in multiplicative form given by Eq. (12), which, from Eq. (9), can be  
 230 rewritten as shown in Eq. (13). Representing the terms as shown in Eq. (13), it is possible to check  
 231 whether the designed filter satisfies the robust stability condition.

$$\overline{\delta P}(\omega) < dP(\omega) \quad \forall \omega > 0 \quad (11)$$

$$\delta P(\omega) = \frac{\Delta P(\omega)}{P_n(j\omega)}, \quad \overline{\delta P}(\omega) = \frac{|\Delta P(\omega)|}{|P_n(j\omega)|} \quad \forall \omega > 0 \quad (12)$$

$$\frac{|1 + C(j\omega) \cdot G_n(j\omega)|}{\delta P(\omega) \cdot |C(j\omega) \cdot P_n(j\omega)|} > |F_r(j\omega)| \quad \forall \omega > 0 \quad (13)$$

### 232 3. Results and Discussion

#### 233 3.1. pH modelling

234 Modelling of the pH during the hydrolysis cannot be performed by a set of differential equations  
 235 relating the change in pH and the NaOH (1M) ( $Q_l$ ) or H<sub>2</sub>SO<sub>4</sub> (1M) ( $Q_s$ ) flows along the hydrolysis  
 236 reaction since as described above. Therefore, an experimental modelling is performed to obtain the  
 237 dynamic model of the system by the reaction curve method described in section 2.8. Three open-  
 238 loop experiments were carried out for calibration purposes, and other three for the validation  
 239 procedure, in which the flow of solution of NaOH (1M) ( $Q_l$ ) and H<sub>2</sub>SO<sub>4</sub> (1M) ( $Q_s$ ) was varied. In all  
 240 experiments there is a pH change of 8-7 (pulse with red vertical line in Fig. 9-11), to change the  
 241 first part to the second part of the hydrolysis process (see section 2.7). In the following, the three  
 242 experiments used for calibration are described.

243 Experiment 1: four pulses are given in the first 2 hours of reaction with NaOH (1M), 5 min each  
 244 pulse, at a rate of 1.12 ml/min. In the next 2 hours, two pulses with H<sub>2</sub>SO<sub>4</sub> (1M) are given, 2 min  
 245 each one, at a flow rate of 0.69 ml/min, but both have been discarded for problems with agitation  
 246 (Fig. 9).

247 Experiment 2: in this experiment, there are five pulses with NaOH (1M), 5 min each one, at a  
 248 flow rate of 0.74 ml/min in the first 2 hours of reaction. In the next 2 hours, there are two pulses  
 249 with H<sub>2</sub>SO<sub>4</sub> (1M), 2 min each one, at a flow rate of 0.52 ml/min (Fig. 10).

250 Experiment 3: three pulses are given in the first 2 hours of experiment with NaOH (1M), 5 min  
 251 each one, with a flow of 1.37 ml/min. In the next 2 hours, there are two pulses with H<sub>2</sub>SO<sub>4</sub> (1M) for  
 252 2 min each one, having a flow of 1.57 ml/min (Fig. 11).

253 From these results, the process dynamics was analyzed and captured for each individual pulse  
254 following the next two steps:

255 1. First, and due to the noisy result obtained in the pH signal, a filter was used to reduce that  
256 noise, allowing a much more accurate modelling procedure. The pH signal is passed through a  
257 filter as shown in Eq. (14). For this filter, the value of  $\tau_f$  was set to 5 seconds in order to  
258 mitigate the noise and to avoid information losses.

$$Filter \Rightarrow \frac{1}{\tau_f \cdot s + 1} \quad (14)$$

259 2. Then, according to the dynamics observed from the open-loop experiments, the system can be  
260 modeled by a first-order process with delay (Eq. (15)). Thus, the reaction curve method can  
261 be used to calculate the process model from the experiments such as described above.

$$\frac{pH(s)}{Q(s)} = \frac{K}{\tau \cdot s + 1} \cdot e^{-L \cdot s} \quad (15)$$

262 The results obtained for the static gains ( $K$ ), time constants ( $\tau$ ) and dead times ( $L$ ) are shown in  
263 Table 1.

264 The resulting models were simulated in Simulink<sup>®</sup> (MathWorks, Inc.) and their responses were  
265 validated using the other three experiments. Fig. 12 shows two examples of the validation results  
266 for pulses 2 and 6. For all pulses, a first-order model with delay and uncertainty in model  
267 parameters is obtained. The resulting models for the two parts in the process are as follows:

$$\frac{pH(s)}{Q_t(s)} = \frac{[0.16, 0.43]}{[200, 226] \cdot s + 1} \cdot e^{-[43, 92] \cdot s} \quad (16)$$

$$\frac{pH(s)}{Q_s(s)} = \frac{[-0.33, -0.27]}{[55, 62] \cdot s + 1} \cdot e^{-[16, 19] \cdot s} \quad (17)$$

268 where now the model parameters are within a range, indicating the uncertainty of the process.

269 Notice that the process is really described by a time-varying dynamics, but in this work, this  
270 changing dynamics has been handled as uncertainty. High-order models could have been used to

271 capture the process dynamics in a more precise way and thus reducing the model uncertainties.  
 272 However, these high-order models would have to be approximated by low-order models in order to  
 273 use them for control purposes. Thus, in this work low-order models are directly proposed.

### 274 3.2. pH control

275 The design of  $C(s)$  and  $F_r(s)$  of the FSP is performed according to the method proposed in  
 276 Normey-Rico and Camacho (2009) for stable plants with dead time. This method is used as follows:

- 277 • Calculate  $C(s)$  as a PI controller (Eq. (18)) from the nominal model (Eq. (19)), where the  
 278 nominal model describes a representative model for the resulting uncertain models Eqs. (16)  
 279 and (17) (the mean value for the static gain ( $K_n$ ), time constants ( $\tau_n$ ) and dead times ( $L_n$ ) is  
 280 used as nominal). Then,  $\tau_I = \tau_n$  and  $K_p = \tau_n / (\tau_r \cdot K_n)$ , where  $\tau_r$  defines the closed-loop poles  
 281 (poles assignment), are chosen.

$$C(s) = K_p \cdot \left( 1 + \frac{1}{\tau_I s} \right) \quad (18)$$

$$P_n(s) = \frac{K_n}{\tau_n \cdot s + 1} \cdot e^{-L_n \cdot s} \quad (19)$$

- 282 • Calculate  $F_r(s)$  from the algorithm in Normey-Rico and Camacho (2009), where  $F_r(s)$  can be  
 283 calculated as shown in equation (22), where  $D_{cl}(s)$  is the polynomial whose roots are the  
 284 poles of the closed-loop system,  $N_c(s)$  is the numerator of controller function and  $N_n^-(s)$  is a  
 285 polynomial of the numerator of nominal model whose roots are in the left half plane.

$$N_o(s) = 1 + \tau_n \cdot \left[ 1 - \left( 1 - \frac{\tau_o}{\tau_n} \right)^2 \cdot e^{-L_n / \tau_n} \right] \cdot s \quad (20)$$

$$D_o(s) = (1 + \tau_o \cdot s)^2 \quad (21)$$

$$F_r(s) = \frac{N_r(s)}{D_r(s)} = \frac{D_{cl}(s)}{N_c(s) \cdot N_n^-(s)} \cdot \frac{N_o(s)}{D_o(s)} \quad (22)$$

286 • Finally, and considering the process uncertainty, parameter  $\tau_o$  in Eq. (21) is tuned in order to  
 287 obtain the best balance between robustness and performance, according to Eqs. (11)-(13).

288 Hence, the previous design method has been applied to the problem presented in this paper and  
 289 thus using the model data described in Table 1. First, the nominal models for uncertain models in  
 290 Eqs. (16) and (17) are selected (using the mean value of the uncertainty range for each parameter),  
 291 as shown below:

$$P_{nNaOH(1M)}(s) = \frac{0.29}{213 \cdot s + 1} \cdot e^{-70s} \quad (23)$$

$$P_{nH_2SO_4(1M)}(s) = \frac{-0.3}{59 \cdot s + 1} \cdot e^{-18s} \quad (24)$$

292 So, the previous procedure must be performed for each case, with solution of NaOH (1M) and  
 293 H<sub>2</sub>SO<sub>4</sub> (1M), respectively. For NaOH (1M), a  $\tau_r = 180$  seconds is used, and for H<sub>2</sub>SO<sub>4</sub> (1M) a  
 294  $\tau_r = 50$  seconds is set. This makes the response of the closed-loop system 15% faster than the open-  
 295 loop nominal case. Therefore, the PI controllers obtained are shown in Eqs. (25) and (26).

$$C_{NaOH(1M)}(s) = 4.08 \cdot \left( 1 + \frac{1}{213 \cdot s} \right) \quad (25)$$

$$C_{H_2SO_4(1M)}(s) = -2.82 \cdot \left( 1 + \frac{1}{59 \cdot s} \right) \quad (26)$$

296 Then,  $F_r(s)$  must be set taking into account the process uncertainty and tuning  $\tau_o$  until reaching a  
 297 compromise between performance and robustness. The results for the selected filters are shown in  
 298 Fig. 13 and Fig. 14, where both filters are designed, satisfying the robust stability condition  
 299 described by equation (13), which is shown in green in the figures.

300 The resulting filters are obtained as shown in Eqs. (27) and (28), being  $\tau_o = 140$  seconds for  
 301 action with NaOH (1M) and  $\tau_o = 40$  seconds with H<sub>2</sub>SO<sub>4</sub> (1M), and adding a real pole at the  
 302 frequency of 1 rad/s in order to contribute to the noise reduction.

$$Fr_{NaOH(1M)}(s) = \frac{35067 \cdot s^2 + 374.82 \cdot s + 1}{19600 \cdot s^3 + 19880 \cdot s^2 + 281 \cdot s + 1} \quad (27)$$

$$Fr_{H_2SO_4(1M)}(s) = \frac{2745.5 \cdot s^2 + 104.49 \cdot s + 1}{1600 \cdot s^3 + 1680 \cdot s^2 + 81 \cdot s + 1} \quad (28)$$

303 After obtaining the controllers and filters transfer functions, all of them are discretized with a  
 304 sampling time of 10 seconds in the case of NaOH (1M) and 3 seconds in the case of H<sub>2</sub>SO<sub>4</sub> (1M) (  
 305  $\approx \tau_n/20$ ). Afterwards, the resulting control law is simulated in order to test if the system behaves  
 306 correctly against the uncertainty of the models. The results are shown in Fig. 15 for a set point of  
 307 pH=8 for NaOH (1M) and a pH of 7 for H<sub>2</sub>SO<sub>4</sub> (1M). It is possible to observe how the responses  
 308 are stable reaching the reference and providing acceptable performance results despite of the  
 309 changes in the parameters.

310 Then, in order to show the advantages of the proposed control scheme, a comparative analysis is  
 311 presented for the uncertainty in the delay, and comparing the FSP with the original SP scheme  
 312 (without the filter  $F_f(s)$ ), and with a PI controller robustly designed for stable plants with  $\tau/L \geq 2$ ,  
 313 according to the rules in Eqs. (29)-(31) from Normey-Rico and Camacho (2007). The robust PI and  
 314 SP were designed using the nominal model for both part of the hydrolysis process (see Eqs. (23)  
 315 and (24)).

$$P(s) = \frac{K \cdot e^{-Ls}}{\tau \cdot s + 1} \cong \frac{K \cdot e^{-Ls}}{\tau \cdot s} = \frac{K_v}{s} \cdot e^{-Ls} \quad (29)$$

$$C_{PIrobust}(s) = K_p \cdot \left( 1 + \frac{1}{\tau_I s} \right) \quad (30)$$

$$K_p = \frac{0.9}{K_v \cdot L} \quad ; \quad \tau_I = 3.37 \cdot L \quad (31)$$

316 The results are shown in Fig. 16 and Fig. 17, which show that the FSP has a faster response than  
 317 the SP and the robust PI, being the robust PI controller that with poorest performance. The  
 318 modelling error in the delay used for these results were  $L=90$  seconds for the first part of the

319 process of hydrolysis and the second part  $L=19$  seconds, which are the biggest dead time found in  
 320 the modelling step.

321 Finally, as the actuator has saturation (the control signal is limited), the control scheme is  
 322 completed with an anti-windup method, as shown in Fig. 18. This anti-windup control is described  
 323 by Eqs. (32) and (33), whose discretization, approximating the integrals to successive additions, is  
 324 shown in Eqs. (34) and (35).

$$v(t) = K_p \cdot \left[ e(t) + \frac{1}{\tau_I} \int e(t) dt \right] + \frac{1}{\tau_I} \int e_a(t) dt \quad (32)$$

$$e_a(t) = u(t) - v(t) \quad (33)$$

$$v(z) = p_{00} \cdot [q_0 \cdot e(z) + q_1 \cdot e(z-1)] + p_{00} \cdot v(z-1) + p_{00} \cdot p_0 \cdot u(z-1) \quad (34)$$

$$q_0 = K_p \cdot \left[ 1 + \frac{T}{\tau_I} \right]; \quad q_1 = -K_p; \quad p_0 = \frac{T}{\tau_I}; \quad p_{00} = \frac{1}{1 + p_0} \quad (T = \text{sample time}) \quad (35)$$

325 Once the control system is verified in simulation, the control structure is implemented in the  
 326 experimental system, which includes a PWM to simulate a continuous change in the flow of the  
 327 pump, since its flow is fixed (1.2 ml/min). The real experiments can be seen in Fig. 19 and Fig. 20,  
 328 comparing these with an on-off control (Reference  $\pm 0.05$ ), which is a typical control for these  
 329 processes. In both figures it can see the presence of noise in the signal, to which the proposed  
 330 control scheme has an adequate behavior (Santos et al., 2010). Fig. 19 shows that the rise time of  
 331 the FSP + anti-windup is approximately equal to the on-off, which means that it is very fast. The  
 332 IAE and the injection time of the pump are calculated for both process stages and for the two  
 333 control schemes (on-off and FSP) used in the real system. The FSP + anti-windup, for both stages,  
 334 has reduced IAE in more than a 26% respect on-off, leading to an increased production of  
 335 aminoacids nearly 5%, passing the hydrolysis degree of 51% to 53.5% because the enzymes are  
 336 more time on its optimum working pH. The data here reported showed hydrolysis degrees up to

337 53% when both enzymes were combined as described. Morris et al. (2008) report substantially  
338 lower yields when working with *Chlorella vulgaris*, obtained a maximum hydrolysis degree of  
339 20%, even with a previous extraction of the protein fraction using ethanol, which dropped to a 10%  
340 yield if the hydrolysis was done without previous extraction. This low yield of enzymatic hydrolysis  
341 working with *Chlorella vulgaris* could be due to their thick cell wall or to a poor choice of adequate  
342 enzymes. The highest free-aminoacids concentration achieved in a single enzymatic hydrolysis step  
343 was 49 g/l. Using *Chlorella vulgaris*, a maximum free-aminoacids concentration of 39 g/l were  
344 obtained, decreasing substantially when processing biomass concentrations over 200 g/l (Morris et  
345 al., 2008). The injection time is also reduced in more than a 25%, which will produce savings in  
346 energy and solutions (NaOH (1M) and H<sub>2</sub>SO<sub>4</sub> (1M)). All results are shown in Table 2.

#### 347 **4. Conclusions**

348 Modelling of the pH from experimental data using the reaction curve has led to a first-order  
349 model with delay for both reaction steps (addition of NaOH (1M) or H<sub>2</sub>SO<sub>4</sub> (1M)), where the  
350 nonlinear behaviour of the system has been modelled as uncertainty in the parameters. It has been  
351 shown that the election and design of the FSP + anti-windup, in both hydrolysis steps with both  
352 enzymes, has been satisfactory since it has achieved an acceptable performance despite the  
353 uncertainty of the system, presenting a robust behaviour. The results obtained for the proposed  
354 scheme are satisfactory since the IAE and the injection time of the pump with respect to on-off  
355 control are reduced in more than a 25%, which leads to increase the production of aminoacids,  
356 nearly 5%, because the enzymes are more time on its optimum working pH, and savings in energy  
357 and reagents. The improvements shown by the FSP + anti-windup will have great interest in the  
358 industry, with reactors of tens or hundreds of cubic meters, where the increased production and  
359 reduced production costs implies a significant increase in profits.

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471 **Figure captions**

472 **Fig. 1.** Tubular photobioreactor (3 m<sup>3</sup>) at Estación Experimental Fundación Cajamar (EEFC)  
473 located in Almería, Spain.

474 **Fig. 2.** Block-diagram of the process for the production of free-aminoacids concentrates from *S.*  
475 *almeriensis* microalgae biomass (Romero-García et al., 2012).

476 **Fig. 3.** Experimental equipment. (1) Thermostat bath, (2) electrical resistance with stirrer, (3)  
477 pulse pump, (4) bypass, (5) BSTR, (6) pH-meter, (7) temperature sensor, (8) input-output card, (9)  
478 control computer.

479 **Fig. 4.** Industrial enzymatic hydrolysis control.

480 **Fig. 5.** Reaction curve for a step change of  $\Delta u$  introduced at  $t_0$  instant.

481 **Fig. 6.** Smith Predictor structure.

482 **Fig. 7.** Filtered Smith Predictor structure.

483 **Fig. 8.** Model with uncertainty in the frequency domain (Normey-Rico and Camacho, 2007).

484 **Fig. 9.** Open-loop experiment 1.

485 **Fig. 10.** Open-loop experiment 2.

486 **Fig. 11.** Open-loop experiment 3.

487 **Fig. 12.** Signal filtering and model validation for pulses 2 and 6 for a fourth experiment.

488 **Fig. 13.**  $F_r(s)$  design for NaOH (1M) case.

489 **Fig. 14.**  $F_r(s)$  design for H<sub>2</sub>SO<sub>4</sub> (1M) case.

490 **Fig. 15.** Simulation results for the resulting control law against process uncertainties.

491 **Fig. 16.** Comparison between different types of control schemes for performance with NaOH  
492 (1M) in a plant with modeling error  $L=90$  seconds.

493 **Fig. 17.** Comparison between different types of control schemes for performance with H<sub>2</sub>SO<sub>4</sub>  
494 (1M) in a plant with modeling error  $L=19$  seconds.

495 **Fig. 18.** Anti-windup control scheme.

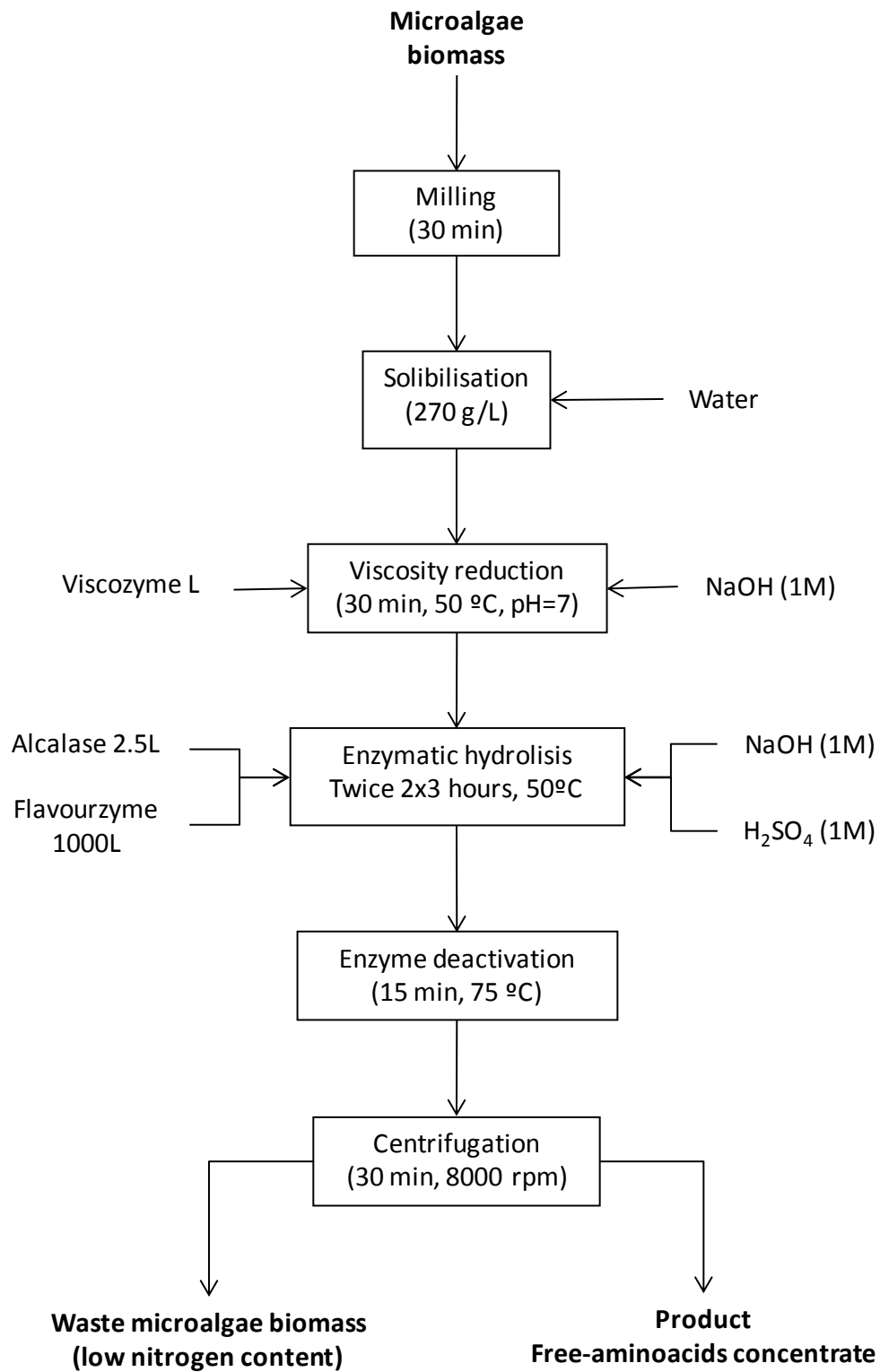
496        **Fig. 19.** Comparison of the real experiments between FSP+anti-windup and On-off control for  
497 the step with NaOH (1M).

498        **Fig. 20.** Comparison of the real experiments between FSP+anti-windup and On-off control for  
499 the step with H<sub>2</sub>SO<sub>4</sub> (1M).



**Fig. 1.** Tubular photobioreactor ( $3 \text{ m}^3$ ) at Estación Experimental Fundación Cajamar (EEFC) located in Almería, Spain.

Figure 2



**Fig. 2.** Block-diagram of the process for the production of free-aminoacids concentrates from *S. almeriensis* microalgae biomass (Romero-García et al., 2012).



Figure 4

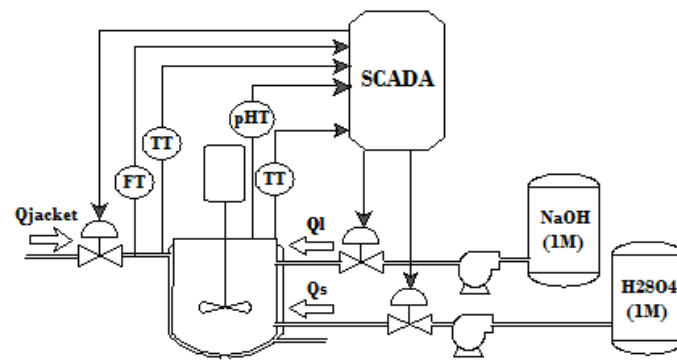


Fig. 4. Industrial enzymatic hydrolysis control.

Figure 5

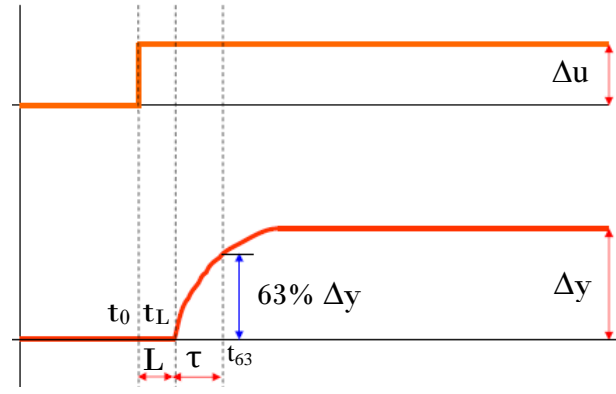


Fig. 5. Reaction curve for a step change of  $\Delta u$  introduced at  $t_0$  instant.

Figure 6

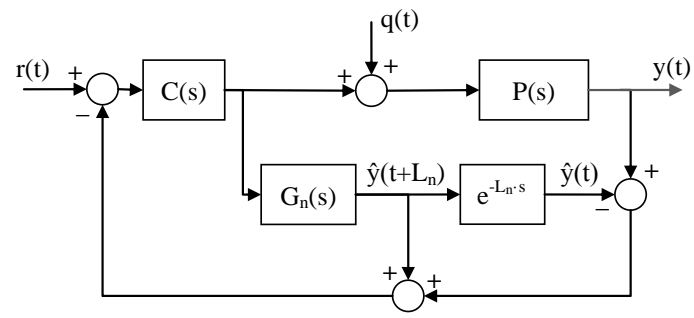


Fig. 6. Smith Predictor structure.

Figure 7

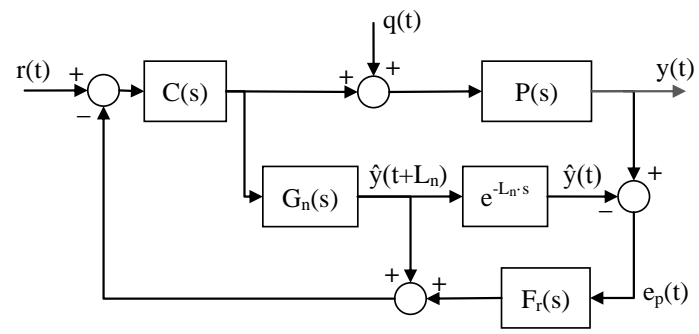


Fig. 7. Filtered Smith Predictor structure.

Figure 8

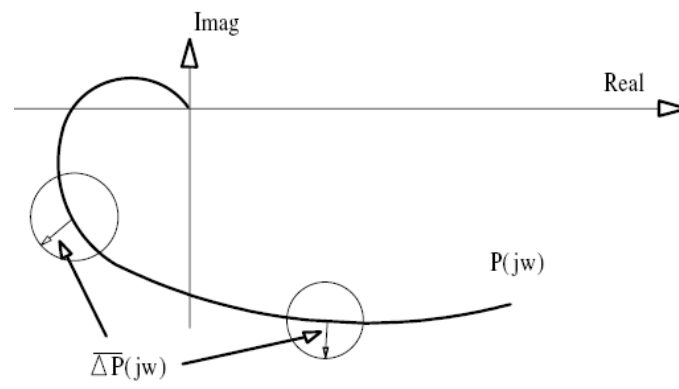


Fig. 8. Model with uncertainty in the frequency domain (Normey-Rico and Camacho, 2007).

Figure 9

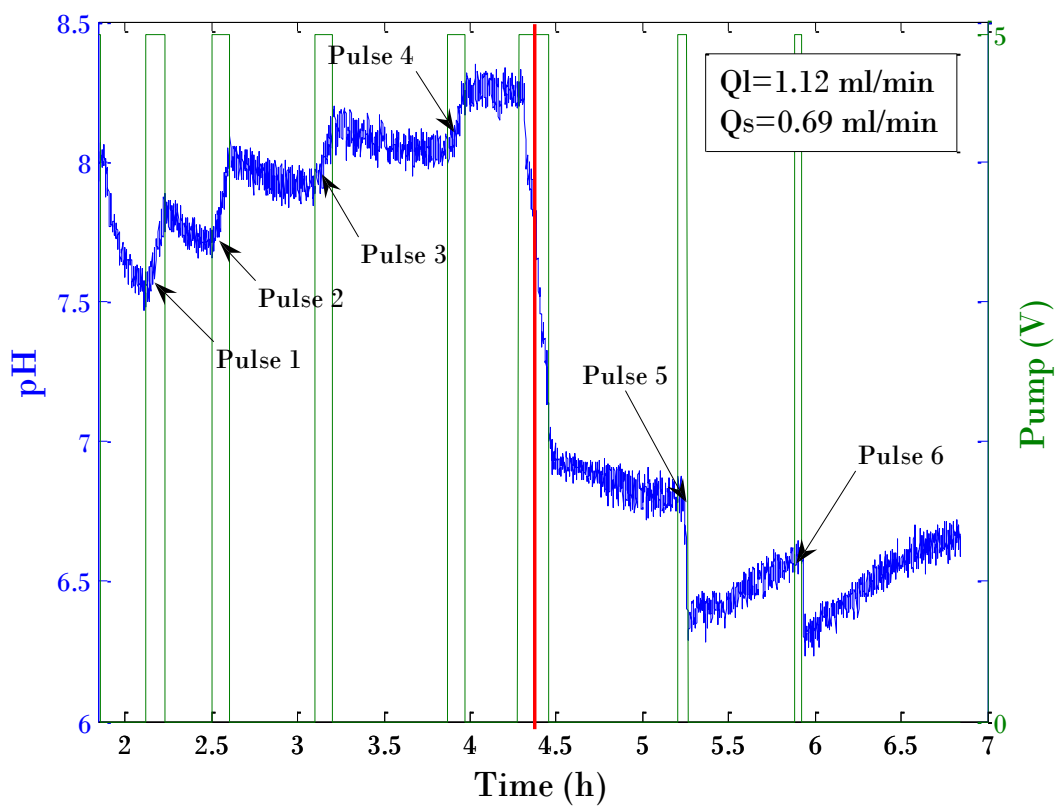


Fig. 9. Open-loop experiment 1.

Figure 10

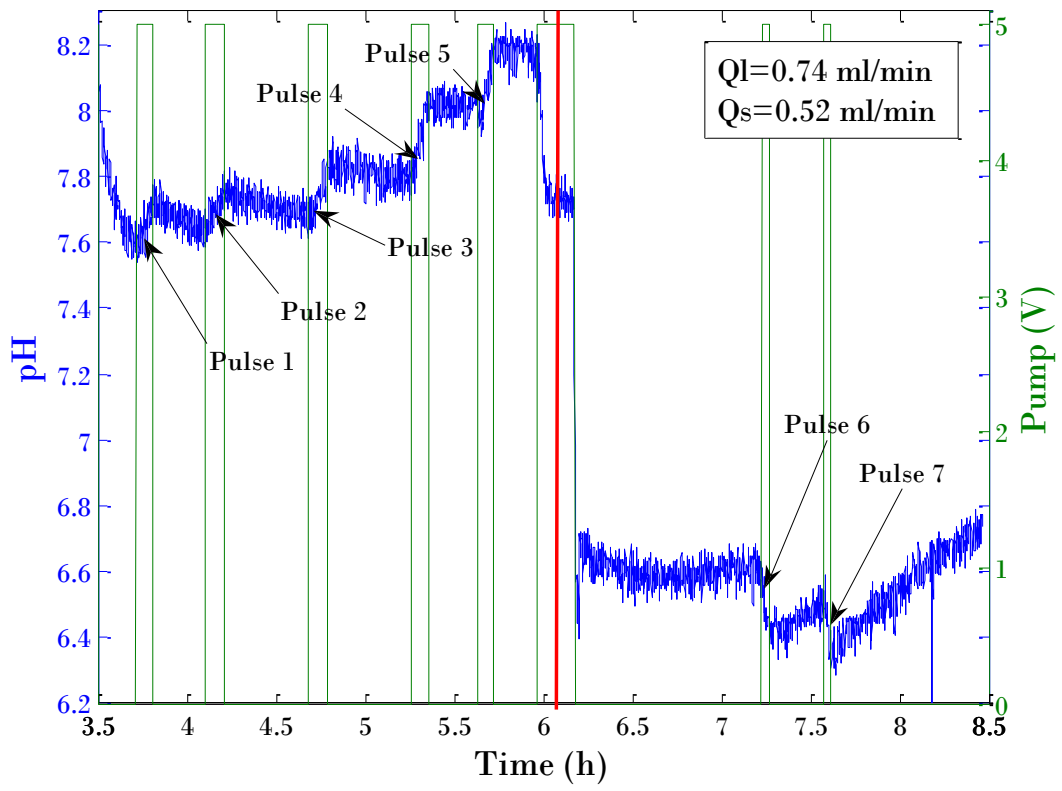


Fig. 10. Open-loop experiment 2.

Figure 11

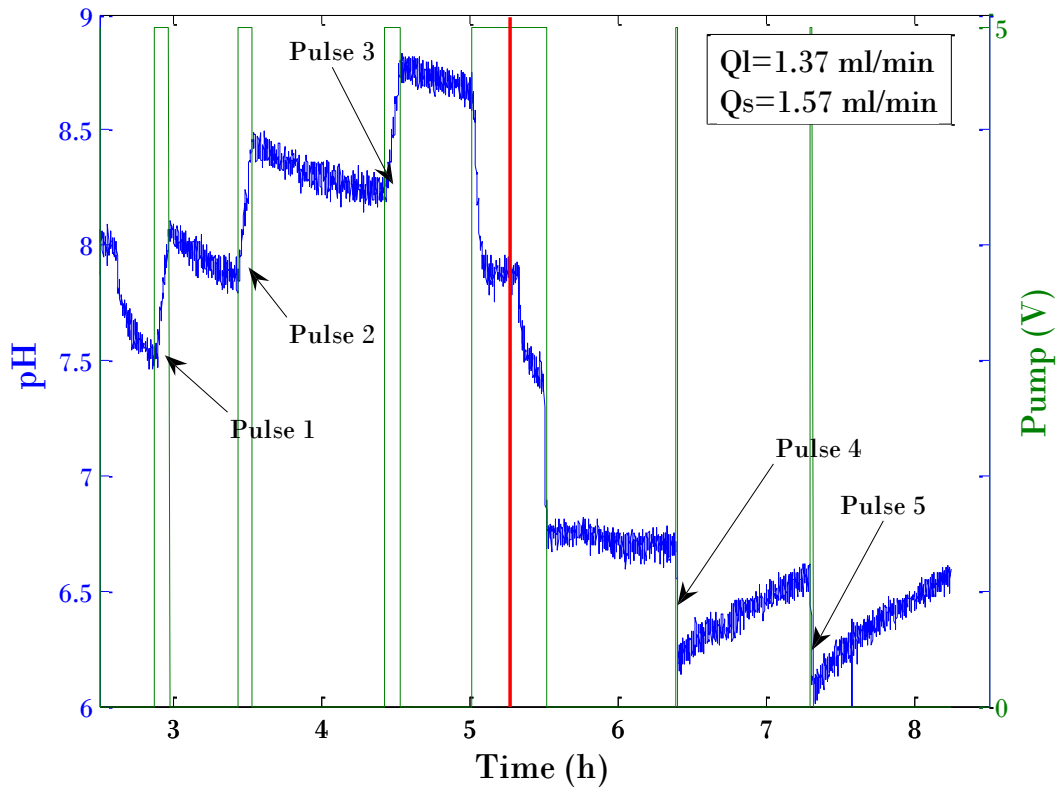


Fig. 11. Open-loop experiment 3.

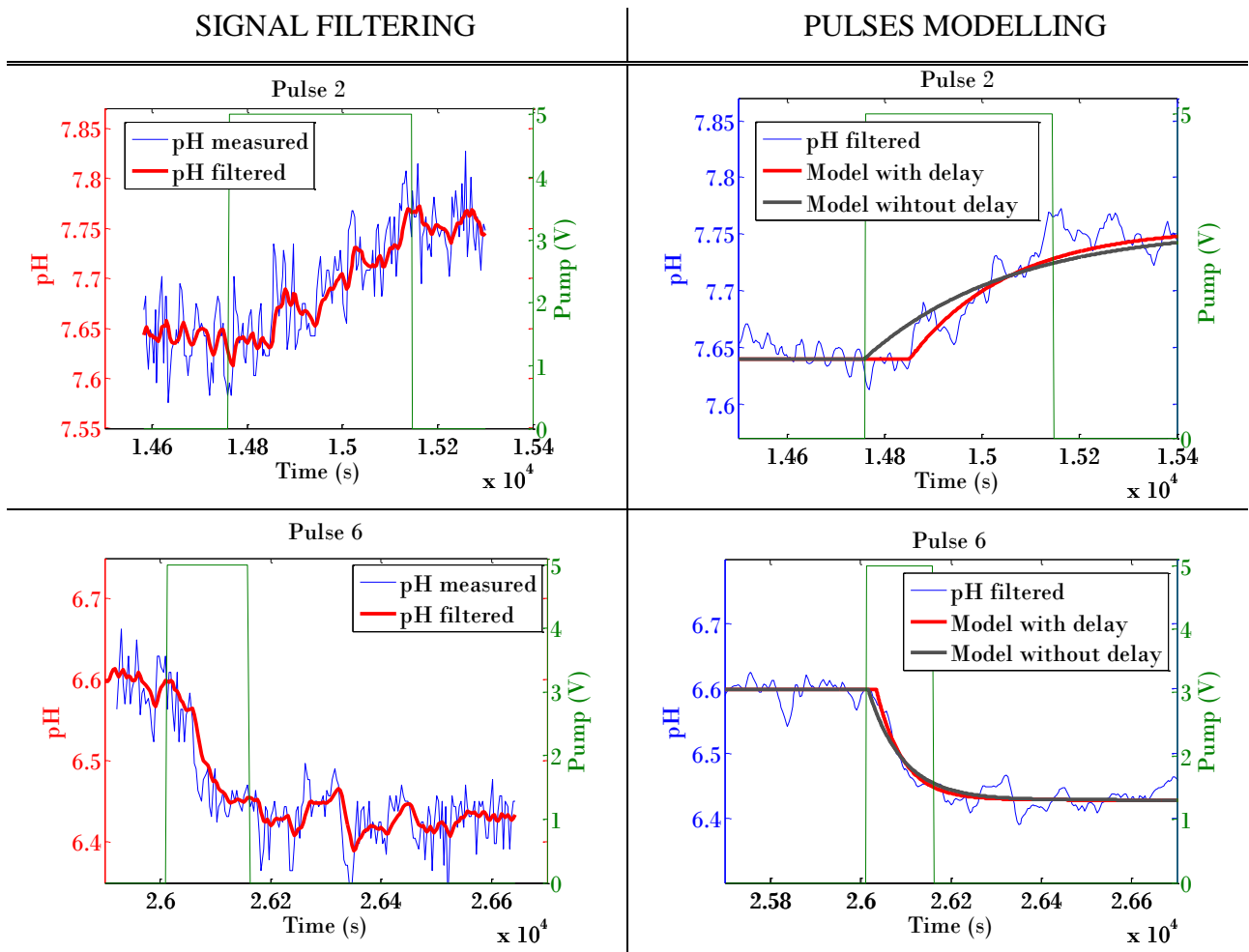


Fig. 12. Signal filtering and model validation for pulses 2 and 6 for a fourth experiment.

Figure 13

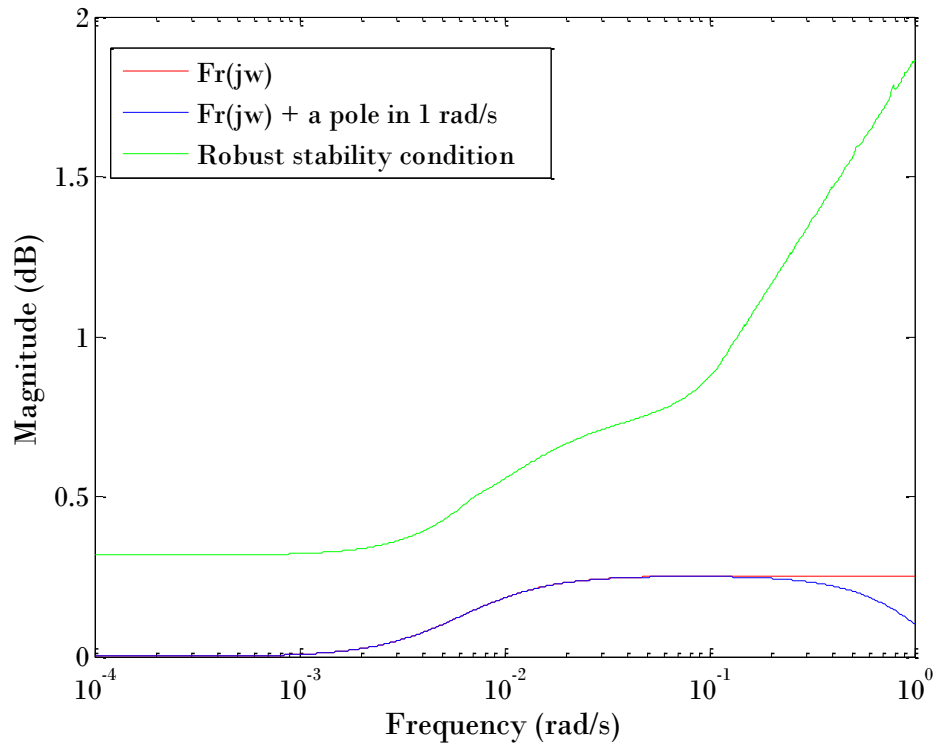


Fig. 13.  $F_r(s)$  design for NaOH (1M) case.

Figure 14

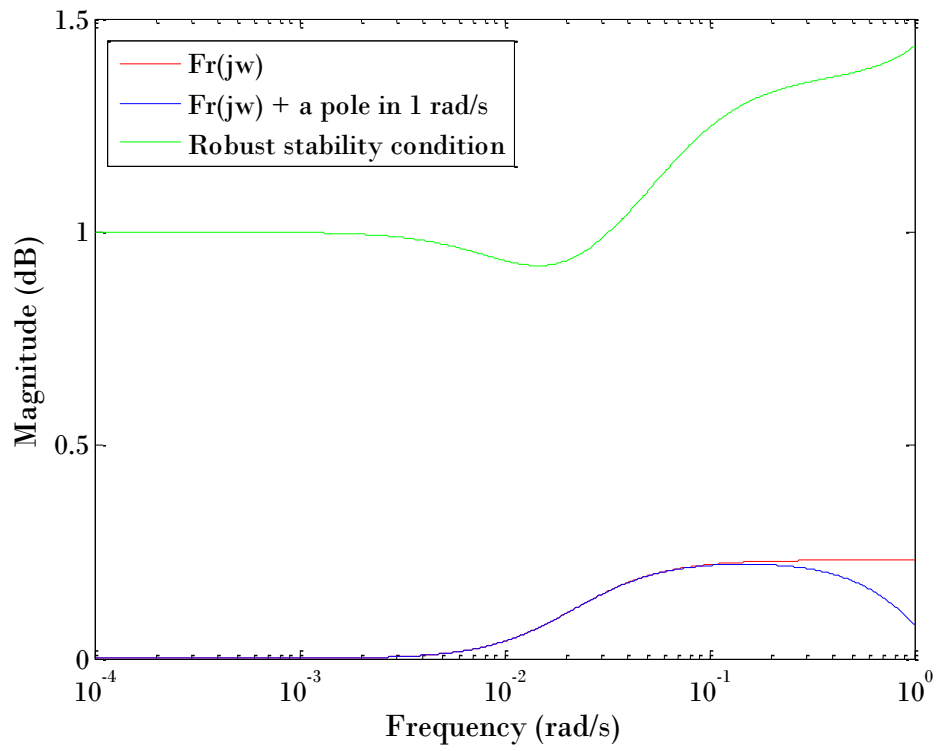
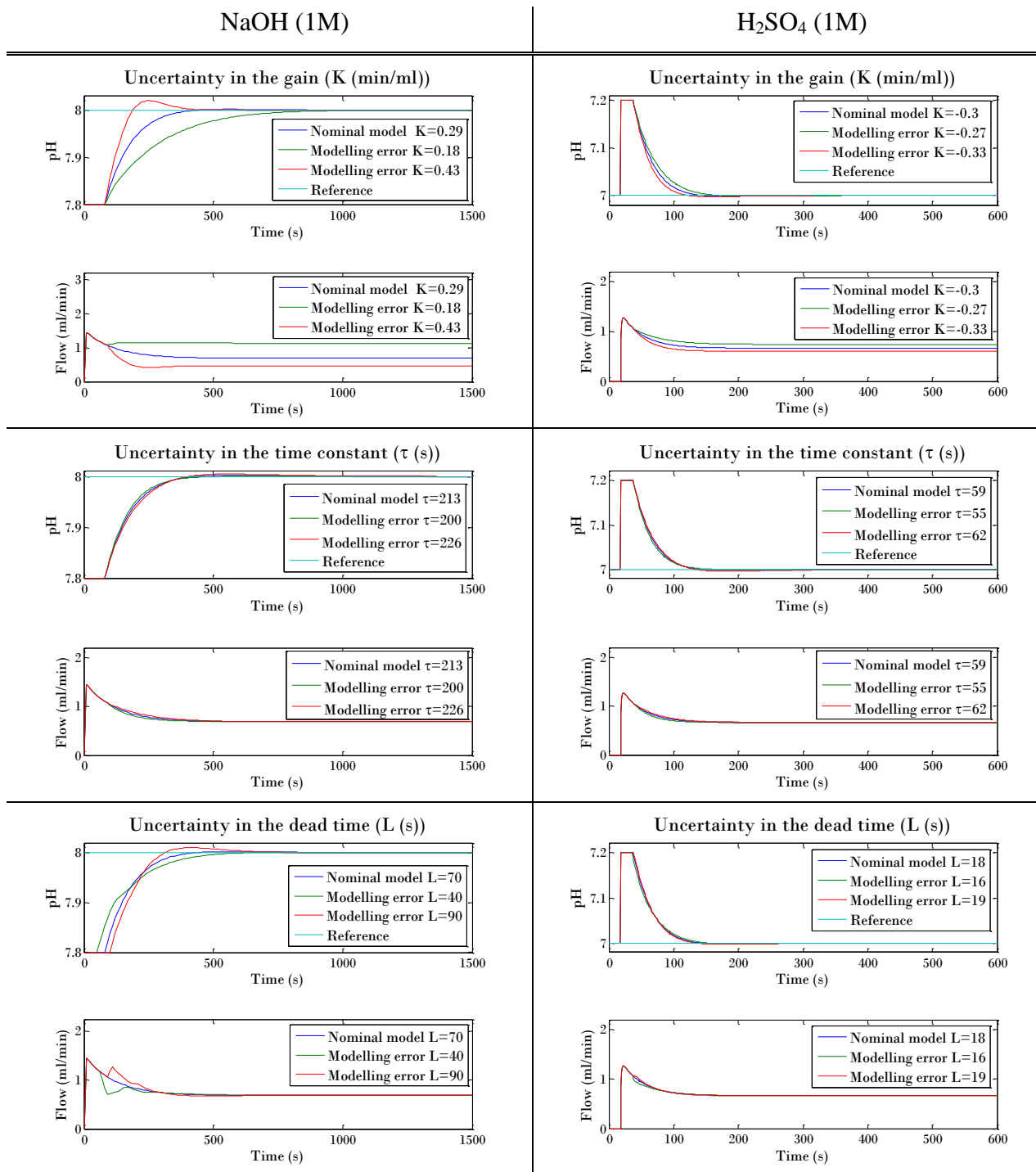
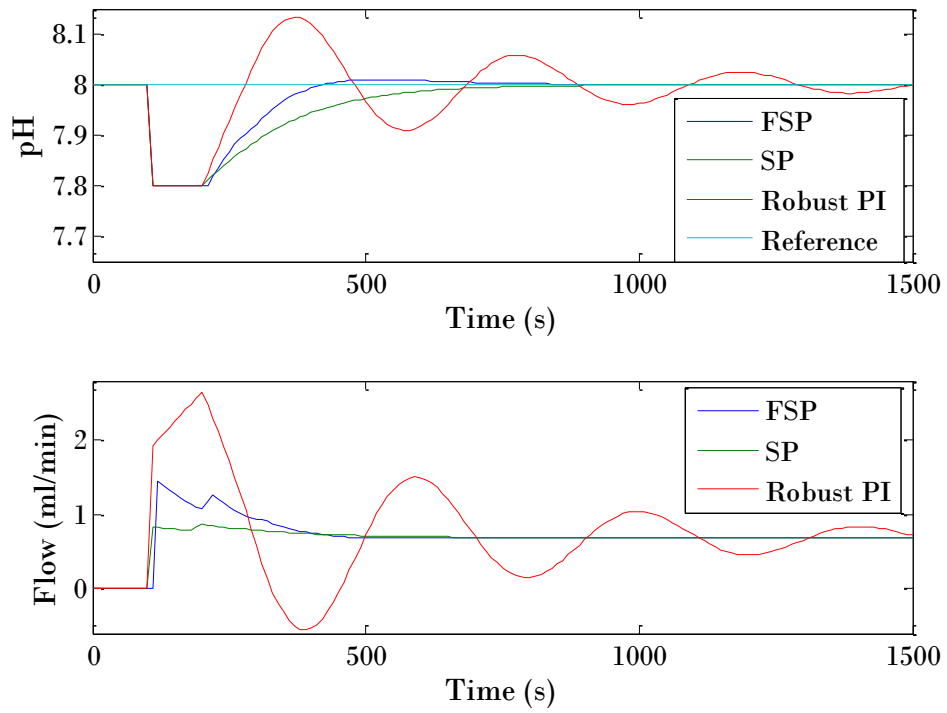


Fig. 14.  $F_r(s)$  design for  $\text{H}_2\text{SO}_4$  (1M) case.



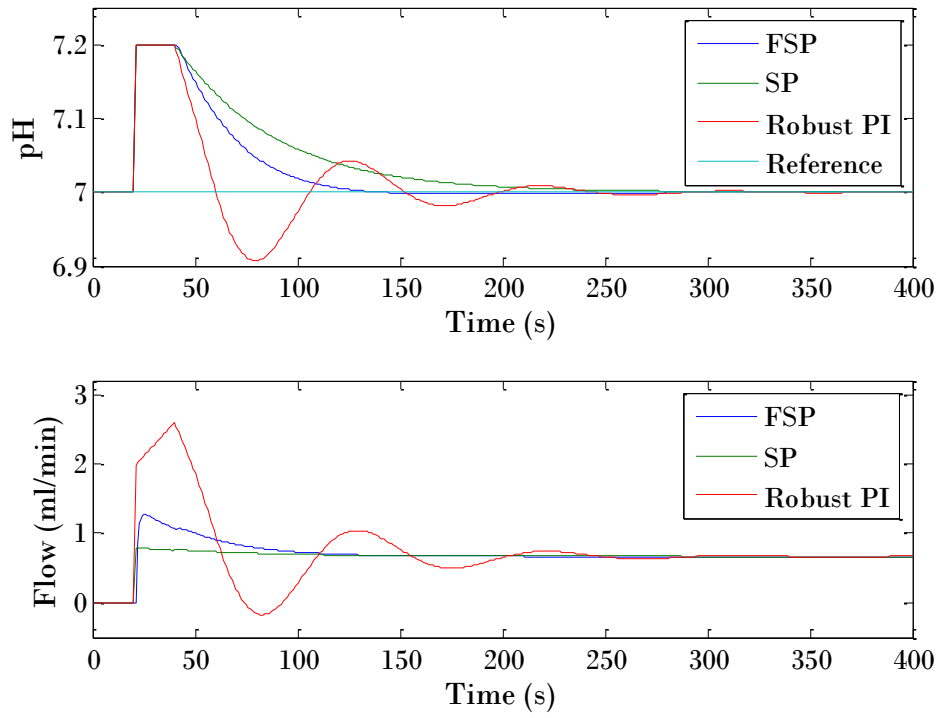
**Fig. 15.** Simulation results for the resulting control law against process uncertainties.

Figure 16



**Fig. 16.** Comparison between different types of control schemes for performance with NaOH (1M) in a plant with modeling error  $L=90$  seconds.

Figure 17



**Fig. 17.** Comparison between different types of control schemes for performance with  $\text{H}_2\text{SO}_4$  (1M) in a plant with modeling error  $L=19$  seconds.

Figure 18

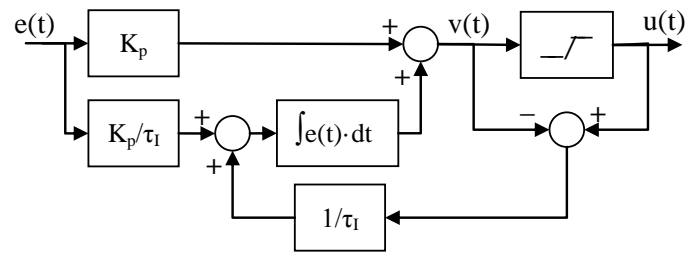
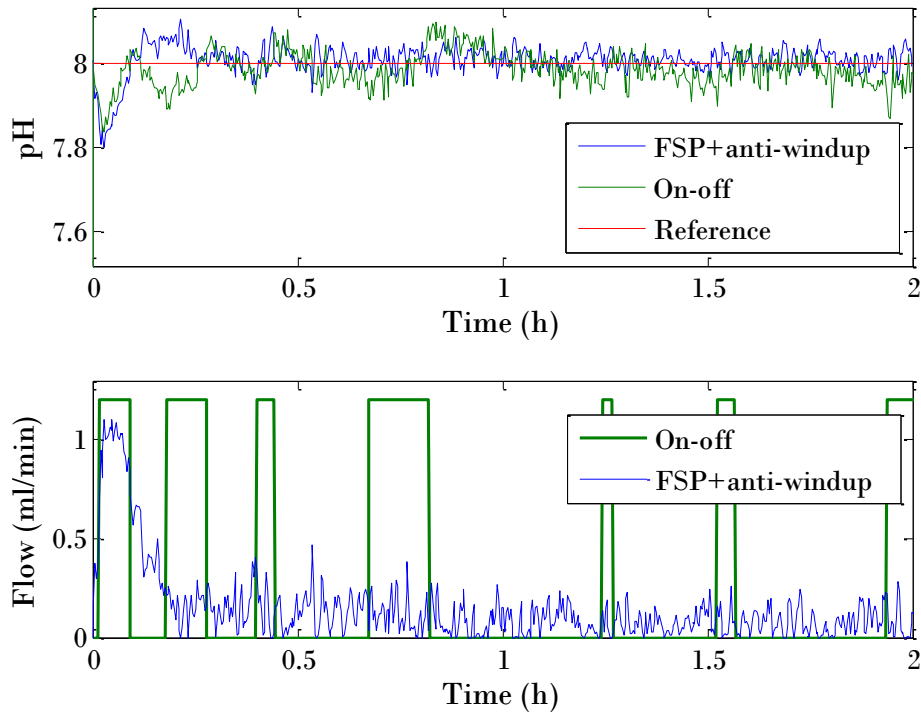


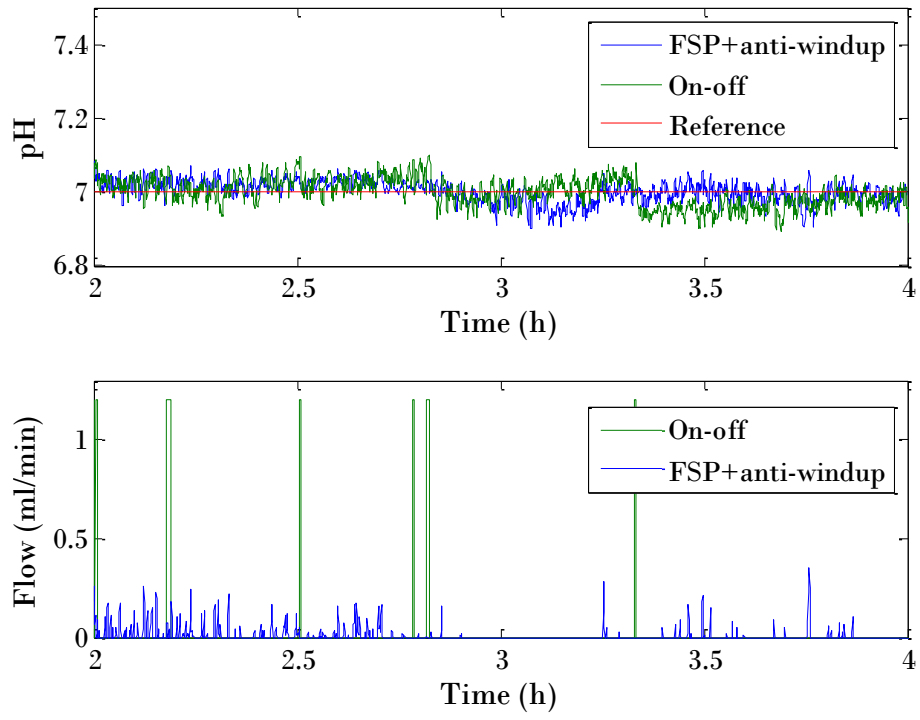
Fig. 18. Anti-windup control scheme.

Figure 19



**Fig. 19.** Comparison of the real experiments between FSP+anti-windup and On-off control for the step with NaOH (1M).

Figure 20



**Fig. 20.** Comparison of the real experiments between FSP+anti-windup and On-off control for the step with  $\text{H}_2\text{SO}_4$  (1M).

**Table 1**

Model parameters.

Pulse	Model with delay			Model without delay	
	$K$ (min/ml)	$\tau$ (s)	$L$ (s)	$K$ (min/ml)	$\tau$ (s)
Experiment 1					
Pulse 1	0.25	217	53	0.25	270
Pulse 2	0.27	225	45	0.27	270
Pulse 3	0.18	226	70	0.18	296
Pulse 4	0.21	223	80	0.21	303
Experiment 2					
Pulse 1	0.21	202	88	0.21	290
Pulse 2	0.16	206	92	0.16	298
Pulse 3	0.18	204	84	0.18	288
Pulse 4	0.32	201	57	0.32	258
Pulse 5	0.26	207	75	0.26	282
Pulse 6	-0.33	59	18	-0.33	77
Pulse 7	-0.27	62	19	-0.27	81
Experiment 3					
Pulse 1	0.37	200	48	0.37	248
Pulse 2	0.43	205	51	0.43	256
Pulse 3	0.39	201	43	0.39	241
Pulse 4	-0.30	56	17	-0.30	73
Pulse 5	-0.33	55	16	-0.33	71

**Table 2**

Numerical data for real experimental results.

	NaOH (1M)		H <sub>2</sub> SO <sub>4</sub> (1M)	
	FSP+ anti-windup	On-off	FSP+ anti-windup	On-off
IAE (s)	15.68	21.68	48.55	65.88
Injection time (s)	1279.54	1874.99	83.77	112.99
Hydrolysis degree (%)	46.04	43.86	7.46	7.14