

1 Cellulose-based upcycling of brewer's spent grains: extraction and acetylation

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30 **Leticia Camacho-Núñez:** Methodology, Investigation, Writing–Original Draft. **Sofia Jurado-Contreras:**
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36 **ABSTRACT:**

37 As the main byproduct of beer production, huge amounts of brewer's spent grain (BSG) are generated
38 annually worldwide. BSG is being currently underutilized since they are mostly devoted to animal feeding.
39 Nevertheless, BSG offers a wide range of upcycling possibilities due to its lignocellulosic nature. In this
40 work, we have addressed the extraction of cellulose and its further conversion into cellulose acetate, a
41 biodegradable polymer with potential industrial application, especially as food contact material. The
42 effectiveness of both processes, cellulose extraction and acetate synthesis were monitored by performing a
43 comprehensive physicochemical characterization of the products. The influence of reaction time (1-5 h) on
44 acetylation extent and acetate properties was also assessed. The results reflected that the process effectively
45 removed hemicelluloses and lignin from BSG, and yielded a cellulose pulp with 63% crystallinity and 351
46 °C maximum degradation temperature. However, around 28% of the cellulose was solubilized, with acid
47 pretreatment as the most aggressive step (above 19% disappearance). Acetylation extent was practically
48 not affected by reaction time, and cellulose acetate with degree of substitution approximately 2.60 was
49 obtained already after 1 h. Infrared spectra and X-ray diffractograms were similar for all acetate samples.
50 Nevertheless, the thermogravimetric analysis evidenced that at least 3 h were needed to obtain a product
51 with high thermal stability. This work addressed for the first time the acetylation of cellulose isolated from
52 BSG, and may serve as basis for the manufacturing of a biobased plastic with application in sectors such
53 as food packaging.

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55

56 **Keywords:** cellulose acetate; biomass; lignocellulose; biopolymers; waste valorization

57

58 1. Introduction

59 Beer is the most consumed alcoholic beverage in the world. The global beer production, led by China, USA,
60 Brazil, Mexico and Germany, has experienced a continuous growth in the last decades, thus reaching 1.89
61 billion hL in 2022 [1]. Brewer's spent grain (BSG), which is produced during the mashing process of the
62 malt, is the main byproduct obtained from beer industry. As it is estimated that 1 L beer generates
63 approximately 0.2 kg of BSG (on a wet basis) [2-4], the production of BSG in 2022 amounted for around
64 37.8 Mtn.

65 According to Ravindran et al. [4], almost 30% of malt ends up as BSG after wort filtering. BSG structure
66 is heterogeneous and consists basically of the external layers of malted grains. BSG presents a complex
67 chemical composition that is dependent on several factors such as the type of the raw grain (primarily
68 barley), harvesting time, malting conditions or particularities of the mashing stage (use of adjuncts, mashing
69 temperature and time, malt/water ratio...) [5, 6]. Among other constituents such as proteins, lipids and
70 ashes, BSG is rich in hemicellulose, cellulose and lignin. Therefore, it is considered as a low cost
71 lignocellulosic material with high and stable availability throughout the year, thus implying that it can be
72 considered for upcycling strategies within a context of circular economy. Nonetheless, the high moisture
73 content as produced in beer factories (70-80 wt.%) makes BSG as a substrate susceptible to microbial
74 proliferation and subsequent spoilage. This, together with the high costs and energy consumption associated
75 to transportation and/or drying, has caused BSG to be directed for low-added value applications, mainly
76 for animal feed on farms located near breweries. However, other possibilities of revalorization have been
77 recently considered [7].

78 Cellulose acetate is an ester of cellulose in which the -OH groups in the polymer backbone have been
79 partially or totally substituted by acetyl groups. Cellulose acetate is one of the most important cellulose
80 derivatives due to its low cost and wide range of commercial applications in different sectors such as textile
81 fibers, cigarette filters, separation membranes, plastics, films, lacquers or composite materials [8, 9]. In
82 contrast to cellulose, cellulose acetate is soluble in organic solvents (including acetone, chloroform,
83 dichloromethane, acetic acid, DMF and methanol), and even in water depending on the degree of
84 substitution (DS) [9, 10]. In addition, the DS affects some other properties of cellulose acetate such as
85 thermal stability, hydrophobicity, transparency or biodegradability [11]. The commercial fabrication of
86 cellulose acetate is dominated by the heterogeneous process in which cellulose is acetylated by acetic
87 anhydride (or acetyl chloride) in a medium formed by acetic acid (solvent) and a catalyst that is usually
88 sulfuric acid. Since this process impedes the direct synthesis of partially substituted cellulose acetates and
89 may cause polymer degradation, novel methods including the use of either ionic liquids (homogeneous
90 acetylation), alternative catalysts (such as iodine), or esters as acylation reagents (transesterification) have
91 proposed in scientific publications in the last decades [12-14].

92 Acetylation of cellulose from lignocellulosic residues has been widely covered in literature [15-20]. High
93 effectiveness in the extraction and purification of cellulose prior acetylation is a required condition to obtain
94 cellulose acetate with good properties. In this regard, direct acetylation of cottonseed hull and cotton burr
95 materials yielded very little product [15]. Sharma et al. [16] also addressed the direct acetylation of rice
96 straw and achieved cellulose triacetate, though they only obtained 0.43 g of product from 1 g of rice straw.

97 In contrast, Nu et al. [17] obtained cellulose acetate from two cellulosic pulps isolated from sugarcane
98 bagasse and noted that yield and acetylation extent were related with the higher purity of the raw cellulose,
99 that is, with the effectiveness of the extraction process. Similarly, Candido and Gonçalves [18] and Candido
100 et al. [19] successfully synthesized cellulose acetate with DS of 2.72 and 2.52 from sugarcane straw and
101 sugarcane bagasse respectively. Araújo et al. [20] compared the extraction and acetylation of cellulose from
102 corn cob by using conventional and green strategies. Cellulose acetates with DS 2.68 and 2.89 and yields
103 60% and 40% were obtained by the green and conventional processes respectively.

104 Fractionation of BSG for the isolation of its main biopolymers, namely cellulose, hemicellulose and lignin,
105 has been examined by different authors [3, 21-26]. Concerning extraction of cellulose from BSG, Morales-
106 Juárez et al. [21] achieved a mix of micro and nanocellulose with potential use as dietary fiber after
107 delignification by following a process comprised by alkaline treatment and acid hydrolysis. In another very
108 recent study, the cellulosic fraction isolated from BSG through acid (HCl) + alkaline (2M NaOH) +
109 bleaching (1% NaClO) sequential treatment was converted to carboxymethyl cellulose, which was then
110 used in the fabrication of edible coating films for strawberry packaging [22]. Similarly, Dos Santos et al.
111 [3] conducted a process that consisted of alkaline hydrolysis followed by bleaching with sodium chlorite
112 and further conversion into carboxymethyl cellulose. A prior study by Mussatto et al. [23] achieved a high
113 purity cellulose pulp from BSG by avoiding the use of chlorine-based bleachers and including a mild acid
114 pretreatment that removed hemicellulose and partially lignin, hence increasing the accessibility of fibers
115 for subsequent NaOH pulping. This report performed a comprehensive assessment of the cellulose
116 extraction process and determined some important parameters of the cellulosic pulp obtained, but the
117 conversion of BSG-cellulose into derivatives was not explored.

118 The present research is directly aligned with the Sustainable Development Goals numbers 9 (Build Resilient
119 Infrastructure, Promote Inclusive and Sustainable Industrialization and Foster Innovation) and 12 of the
120 United Nations (Ensure Sustainable Consumption and Production Patterns), more particularly with target
121 12.5 aimed to substantially reduce waste generation through prevention, reduction, recycling and reuse
122 [27]. To our knowledge, acetylation of BSG-cellulose has not been treated in scientific literature as a
123 possibility for economic revalorization of this agroindustrial waste. Hence, to cover this gap this research
124 firstly addressed the extraction of cellulose from BSG by following a sequence consisting of acid
125 pretreatment + alkaline hydrolysis + H₂O₂ bleaching. The efficiency of this process was monitored by
126 performing a comprehensive characterization of BSG at each stage of treatment. Afterwards, the isolated
127 cellulose was acetylated by following the conventional heterogeneous process using acetic anhydride as
128 acylation reagent. The influence of reaction time (1-5 h) on both acetylation extent and on the
129 physicochemical properties of the synthesized cellulose acetate was assessed.

130 **2. Materials and Methods**

131 2.1. Materials

132 The raw BSG used in this study was supplied by a local beer producer. After collection, the BSG was
133 immediately washed with abundant water to remove impurities, extended and dried at room temperature
134 for approximately 2 weeks. Once dried, BSG biomass was stored for further characterization and use.

135 Nitric acid (HNO₃, 65-67% Puriss. p.a. ISO, Reag. Ph. Eur.; Honeywell Chemicals), sodium hydroxide
136 (NaOH ≥ 98%, Reag. USP, p.a., ACS, ISO; ITW Reagents), hydrogen peroxide (H₂O₂, 30% v/v AGR;
137 Labkem), acetic acid (CH₃COOH ≥ 99% AGR ACS; Labkem), acetic anhydride ((CH₃CO)₂O ≥ 99% AGR
138 ACS; Labkem) and sulfuric acid (H₂SO₄ 95-97% AGR ISO; Labkem) used for cellulose extraction and
139 subsequent acetylation were purchased from COSELA (Seville, Spain) and DICSA (Almería, Spain).

140 2.2. Extraction of cellulose from BSG

141 Based on a previously reported work [23], isolation of cellulose from BSG waste consisted of three steps
142 including acid pretreatment, alkaline hydrolysis and bleaching reaction. The three treatments were carried
143 out in a 1000 cm³ glass batch reactor provided with mechanical agitation and temperature control under the
144 experimental conditions described below.

145 2.2.1. Acid pretreatment

146 An acid pretreatment was carried out to remove hemicelluloses from BSG. The reaction was conducted
147 with HNO₃ at a concentration of 6% (w/v), a BSG/liquid ratio of 1/15, and a temperature of 80 °C for 60
148 min. This setup was selected based on previous experiences under milder conditions (HNO₃ 2, 4 and 6%
149 w/v, and 60 °C) for which a relatively high amount of hemicelluloses (46, 24 and 16% respectively)
150 remained in BSG after the reaction. Afterwards, the residual solid biomass (BSG-AH) was filtered by using
151 a polyester cloth, washed with water up to achieving neutral pH, air-dried at room temperature for at least
152 48 h, and stored in sealed bags for further hydrolysis and characterization. The yield of the acid pretreatment
153 (Y_{AH}) was determined by following the equation:

$$154 \quad Y_{AH}(\%) = \frac{m_{BSG-AH}}{m_{BSG}} \times 100 \quad (1)$$

155 where m_{BSG} and m_{BSG-AH} are the weights (g) of BSG before and after acid pretreatment respectively.

156 2.2.2. Alkaline hydrolysis

157 After acid pretreatment, BSG-AH was subjected to alkaline hydrolysis by following the method reported
158 by Mussatto et al. [23] with slight modifications. Briefly, BSG-AH was added to a 2% w/v aqueous solution
159 of NaOH (1/20 solid/liquid ratio), and hydrolyzed at 80 °C for 90 min. As above, the resultant biomass
160 (BSG-BH) was filtered, washed until neutrality, air-dried for at least 48 h, and stored for further analysis
161 and treatment. The yield of the alkaline reaction (Y_{BH}) was determined by:

$$162 \quad Y_{BH}(\%) = \frac{m_{BSG-BH}}{m_{BSG-AH}} \times 100 \quad (2)$$

163 where m_{BSG-BH} is the weight (g) of the BSG pulp obtained after alkaline hydrolysis.

164 2.2.3. Bleaching reaction

165 Finally, BSG-BH pulp was purified by a one-step bleaching reaction with 5% (v/v) H₂O₂ aqueous solution
166 at 70 °C and pH in the range 11-12 (adjusted by addition of NaOH) during 60 min. As for the soda pulping
167 process, a 1/20 solid/liquid ratio was used. The resulting product was filtered, washed to remove excess of
168 reagents, air-dried as above, and stored until characterization and use for acetylation. The yield of the
169 bleaching reaction (Y_{BL}) was calculated with the formula:

170
$$Y_{BL}(\%) = \frac{m_{BSG-BL}}{m_{BSG-BH}} \times 100 \quad (3)$$

171 where m_{BSG-BL} is the weight (g) of bleached BSG.

172 2.3. Monitoring of the extraction process and characterization of BSG at each stage of treatment

173 2.3.1. Moisture, ash and chemical composition

174 Ash content of BSG, BSG-AH, BSG-BH and BSG-BL was determined in triplicate samples as weight
175 difference after calcination in a muffle furnace at 800 °C during 3 h. Prior to calcination, BSG samples
176 were maintained in an air-circulating oven at 105 °C until constant weight to calculate moisture content and
177 to express the ash percentages in a dry basis.

178 The determination of carbohydrates and lignin in BSG samples (two replicates) was performed according
179 to a standardized procedure [4, 28]. Briefly, a defined amount of sample was quantitatively hydrolyzed with
180 H₂SO₄ (72% w/w; ITW Reagents) and the hydrolyzate was analyzed by High Performance Liquid
181 Chromatography (HPLC) to determine the sugars content, which were then converted to cellulose and
182 hemicellulose concentrations. For the HPLC analysis, a 20 µL aliquot of filtered hydrolyzate (0.22 µm
183 nylon syringe filters) was injected into a Shimadzu Prominence Serie 20 chromatograph equipped with an
184 automatic injector (SIL-20A) and a refraction index detector (RID-10A). Sugar separation was
185 achieved in a Aminex HPX-87H chromatographic column (7.8 x 300 mm; Bio-Rad Laboratories Ltd.) at
186 45 °C using H₂SO₄ 5mM as mobile phase at a flow rate of 0.6 mL min⁻¹.

187 The unhydrolyzed solid residue was incinerated at 600 °C for 4 h, and Klason lignin content was calculated
188 as:

189
$$\text{Klason lignin (\%)} = \frac{m_s - m_{ash}}{m_s} \times 100 \quad (4)$$

190 where m_s and m_{ash} (g) are the weights of the unhydrolyzed solid before and after incineration respectively.

191 2.3.2. Scanning electron microscopy (SEM)

192 Raw and treated BSG biomass was analyzed by SEM to evaluate the structural and morphological changes
193 that occurred in the fibers as a consequence of the different chemical treatments. Morphological observation
194 of the fibers was carried out in a field emission microscope (FE-SEM Merlin; Carl Zeiss) at an acceleration
195 voltage of 15 kV after coating with gold particles in a vacuum sputter coater (Q150T ES; Quorum
196 Technologies).

197 2.3.3. Fourier transformed infrared (FTIR) spectroscopy

198 BSG biomass at each stage of treatment was analyzed in a FTIR spectrometer equipped with a DTGS
199 detector (Tensor 27; Bruker) to identify the functional groups of the fibers and assess the chemical changes
200 produced after each stage of the fractionation process. The spectra were recorded in the region 4000-400
201 cm⁻¹ with a wavenumber resolution of 4 cm⁻¹ by using the attenuated total reflectance (ATR) method. The
202 spectra were maximized and stacked for better comparison among samples (OPUS v6.5; Bruker).

203 2.3.4. X-ray diffraction (XRD)

204 XRD was also used to monitor the progress of the cellulose isolation process through the study of the
 205 crystalline structure of the raw BSG, BSG-AH, BSG-BH and BSG-BL fibers. The samples were milled and
 206 passed through a 0.5 mm sieve (Ultra Centrifugal Mill ZM 200; Retsch), and powdered materials were
 207 placed on the sample holder and levelled to achieve total and even exposure to radiation. Measurements
 208 were performed in an X-Ray diffractometer (Empyrean; Malvern PANalytical) at room temperature with a
 209 monochromatic Cu-K α radiation source at a rate of 2° min⁻¹ in the 2 θ range of 5-40°. The crystallinity index
 210 (CrI) was determined in accordance with the method reported by Segal et al. [29], as in previous works [30-
 211 32]:

$$212 \quad CrI(\%) = \frac{I_{200} - I_{am}}{I_{200}} \times 100 \quad (5)$$

213 In this equation I_{200} is the maximum intensity of the (200) lattice diffraction peak corresponding to both
 214 amorphous and crystalline phases, and I_{am} represents the intensity scattered by the amorphous part. Both
 215 I_{200} and I_{am} are located at 2 θ values of approximately 22° and 18° respectively.

216 2.3.5. Simultaneous Thermogravimetric-Differential Scanning Calorimetry Analysis (TGA-DSC)

217 The thermal transitions and stability of the different BSG fibres was determined in a TGA-DSC
 218 simultaneous analyzer (Q600 SDT; TA Instruments) by weighing 10-20 mg of powdered sample in alumina
 219 pans. All the measurements were carried out under N₂ flow (50 mL min⁻¹) by heating the sample from room
 220 temperature to 700 °C at a rate of 10 °C min⁻¹.

221 2.4. Acetylation of cellulose and characterization of cellulose acetate

222 The synthesis of cellulose acetate was carried out by the conventional method in which CH₃COOH acts as
 223 the reaction medium, (CH₃CO)₂O is the acylation agent and H₂SO₄ is the catalyst of the reaction [17, 20,
 224 33]. Firstly, 1 g of the cellulose extracted previously (BSG-BL) was mixed with 35 mL CH₃COOH in order
 225 to swell the cellulose fibers. After 15 min, the fibers were activated for 30 min by adding 0.1 mL
 226 concentrated H₂SO₄ in 5 mL CH₃COOH. Subsequently, a volume of 15 mL of (CH₃CO)₂O was slowly
 227 added and the mixture was left to react for a specific time, which varied between 1 and 5 h to assess the
 228 effect of reaction time on acetylation extent. Magnetic stirring and 40 °C temperature were maintained
 229 throughout the whole reaction. The viscous solution formed was filtered to remove impurities and the
 230 reaction was quenched by slowly adding 250 mL of cold distilled water (5 °C). This mixture was left to
 231 agitate for 1 h, and the precipitate was vacuum filtered and washed with abundant water and ethanol to
 232 remove excess of CH₃COOH and (CH₃CO)₂O. Finally, the solid, labelled as BSG-CAx (x referring to
 233 reaction time), was dried at 60 °C overnight and weighted to obtain the weight percent gain (WPG) in
 234 accordance with the equation:

$$235 \quad WPG(\%) = \frac{m_{BSG-CAx} - m_{BSG-BL}}{m_{BSG-BL}} \times 100 \quad (6)$$

236 where $m_{BSG-CAx}$ (g) is the weight of the cellulose acetate synthesized at each reaction time and m_{BSG-BL} (g)
 237 represents the initial weight of cellulose.

238 In addition to WPG, FTIR spectroscopy was used to assess the effect of the reaction time on the acetylation
 239 extent and to calculate the degree of substitution (DS_{FTIR}) of BSG-CAx samples. FTIR spectroscopy has
 240 been previously referred as a valid technique to calculate the DS of cellulose acetate synthesized from

241 cotton cellulose [34-36] and acetylated cellulose nanocrystals [37]. These methods are based on the ratio
 242 between the absorbance intensity (or peak area) of one of the peaks attributed to the acetyl group and that
 243 of the reference peak at around 1050 cm⁻¹ corresponding to the C–O–C bond in the cellulose backbone,
 244 since the latter remains unaltered after acetylation [36]. In line with this, DSFTIR was calculated by using
 245 the following equations [34, 35]:

$$246 \quad R_{FTIR} = \frac{I_{C=O}}{I_{C-O}} \quad (7)$$

$$247 \quad WG_{FTIR} = R_{FTIR} \times 7,74 \quad (8)$$

$$248 \quad DS_{FTIR} = \frac{(WG_{FTIR}+10) \times 3}{17,74} \quad (9)$$

249 where R_{FTIR} (FTIR acetylation extent) was calculated as the ratio between $I_{C=O}$ and I_{C-O} , which represent
 250 the intensity of the peaks at 1730-1750 cm⁻¹ (stretching vibration of C=O bond of acetyl group) and at 1020-
 251 1040 cm⁻¹ (glycosidic bonds between monomers in cellulose backbone) respectively. FTIR spectra were
 252 recorded and treated as in *section 2.3.3*.

253 The changes in the crystalline properties derived from acetylation were analyzed by XRD using the same
 254 equipment and conditions as in *section 2.3.4*. The thermal transitions and stability of the synthesized
 255 samples was determined in a TGA-DSC simultaneous analyzer (Q600 SDT; TA Instruments). As above,
 256 the measurement was carried out under N₂ flow by raising the temperature of powdered BSG-CA at 10 °C
 257 min⁻¹ from room conditions to 700 °C.

258 3. Results and Discussion

259 3.1. Chemical composition of raw BSG

260 BSG may be deemed as an industrial waste with the typical structure of lignocellulosic materials, with
 261 hemicellulose, cellulose, lignin and also protein being its major components. The chemical composition of
 262 the BSG used in this study was analyzed for comparison with that previously reported (Table 1). As shown
 263 in Table 1, slight variations in the chemical composition of BSG among different reports have been found,
 264 which is ascribed to heterogeneity of the raw grain and to differences in wort production [5, 6].

265 **Table 1.** Chemical composition of BSG (dry weight). Comparison with previous works (adapted and
 266 updated from Lynch et al. [6]). When available, data are presented as average ± Standard Deviation
 267 values

Cellulose (%)	Hemicellulose (%)	Lignin (%)	Ashes (%)	Reference
16.8	28.4	27.8	4.6	[2]
22.36 ± 0.41	25.78 ± 0.97	30.48 ± 0.54	n.a.	[3]
19.2 ± 1.3	26.9 ± 2.1	30.5 ± 1.6	n.a.	[4]
25.4	21.8	11.9	2.4	[38]
21.9	29.6	21.7	1.2	[39]
25.3	41.9	16.9	4.6	[40]
12.0	40.2	11.5	3.3	[41]

12.60	45.20	~20	n.a.	[42]
18.97 ± 2.69	26.41 ± 2.79	14.54 ± 1.70	n.a.	[43]
25.98	22.24	n.a.	n.a.	[44]
21.73 ± 1.36	19.27 ± 1.18	19.40 ± 0.34	4.18 ± 0.03	[45]
14.69 ± 0.39	20.08 ± 2.15	22.98 ± 0.84	3.31 ± 0.05	[46]
24.5	23.8	15.8	n.a.	[47]
15.71 ± 0.00	26.86 ± 0.00	19.70 ± 0.01	4.51 ± 0.75	This work

268 ^aNot available

269 In general, it can be said that the cellulose, hemicellulose and lignin contents of the BSG used in this study
270 are within the ranges normally found in literature. Nonetheless, it is worthy to mention that the
271 concentration of lignin (19.70%), and especially cellulose (15.71%), are in the lower limits of those ranges.
272 Regarding lignin, our analysis only considered Klason lignin while other authors reported the sum of Klason
273 + acid-soluble lignin [2-4, 46].

274 3.2. Extraction and characterization of cellulose

275 3.2.1. Chemical composition of BSG after the chemical treatments

276 The main objective of the acid pretreatment was the hydrolysis of hemicellulose polysaccharides since they
277 are less resistant than cellulose and lignin to acid attack [2, 23]. As aforementioned, a first trial of
278 experiments with HNO₃ concentration ranging from 2% to 6% at 60 °C revealed that around 16-46% of
279 hemicelluloses remained in BSG after treatment. However, total removal of hemicelluloses from raw BSG
280 was achieved with 6% HNO₃ when the temperature was raised to 80 °C (Table 2). Moreover, the
281 concentration of cellulose in BSG-AH almost doubled with respect to that in raw BSG, in line with previous
282 findings [4, 23]. For instance, Mussatto et al. [23] increased the concentration of cellulose in BSG from
283 16.8% to 34.0% and removed 75% hemicelluloses after hydrolysis with H₂SO₄ at 1.25% concentration and
284 120 °C during 17 min. Similarly, Ravindran et al. [4] achieved an increased cellulose concentration from
285 19.2% to 35.4% by autoclaving BSG for 20 min with 3% H₂SO₄. Nevertheless, they reported that over 50%
286 hemicelluloses remained in BSG after acid pretreatment. As expected, the yield of the acid pretreatment
287 (Y_{AH}) decreased when either acid concentration or temperature increased due to higher solubilization rates.
288 For the experimental conditions selected (6% HNO₃, 80 °C and 60 min), a Y_{AH} value of 44.4% was obtained,
289 which is very close to that found for BSG hydrolyzed with dilute H₂SO₄ (48.6%) [23].

290 **Table 2.** Chemical composition of BSG (dry weight) after acid pretreatment (BSG-AH), alkaline hydrolysis
291 (BSG-BH) and bleaching (BSG-BL). Yield of each reaction and cellulose balance after treatments

Sample	Cellulose (%)	Hemicellulose (%)	Lignin (%)	Ash (%)	Yield (%)	Remaining Cellulose ^a (%)	Removed Cellulose ^b (%)
BSG-AH	28.6	n.d. ^c	24.5	5.49 ± 0.10	Y_{AH} 44.4	80.9	19.1
BSG-BH	71.2	n.d.	n.d.	7.80 ± 0.27	Y_{BH} 36.6	73.7	26.3

BSG-BL	81.9	n.d.	n.d.	6.30 ± 0.07	Y_{BL} 84.6	71.7	28.3
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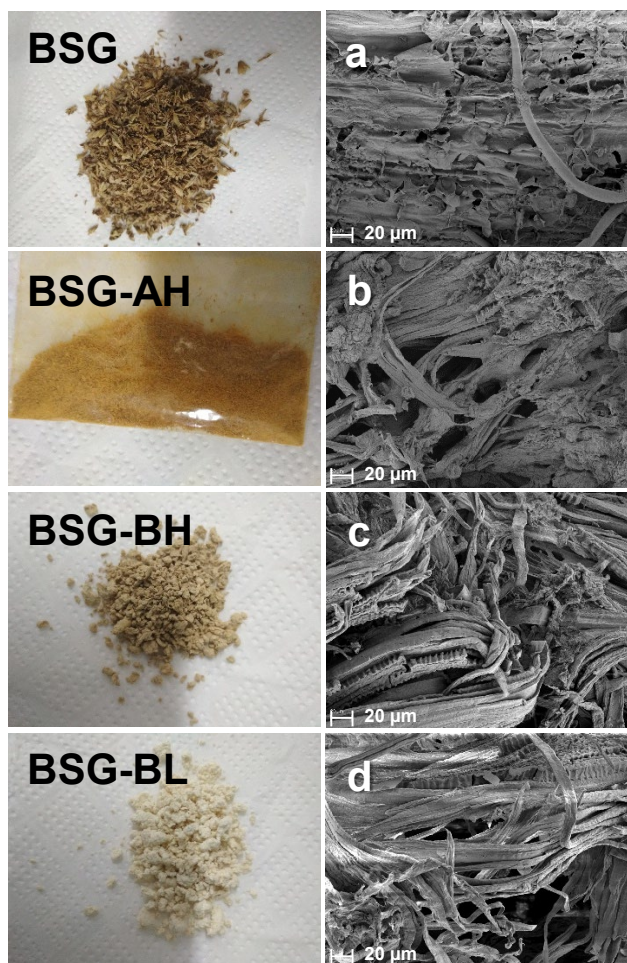
292 ^aAmount of cellulose remaining with respect to that in raw BSG; ^bAmount of cellulose removed with respect to that in
293 raw BSG; ^cNot detected

294 The results of the chemical composition of BSG after subsequent alkaline and bleaching reactions are also
295 presented in Table 2, as well as the yield of each process. In addition to hemicelluloses, lignin was not
296 detected in both BSG-BH and BSG-BL fibers. Furthermore, the concentration of cellulose in the biomass
297 increased up to values higher than 70% after NaOH treatment and higher than 80% after H₂O₂ bleaching.
298 This cellulose enrichment was corroborated by the change in the color of BSG from light brown to white
299 after treatments (Fig. 1). At the end of the process, only 13.7 g material were recovered for each 100 g of
300 untreated biomass, which is partly attributed to the high concentration of non-cellulosic components of the
301 BSG used. Despite this low cellulose content of raw BSG, it should not be a disadvantage for its isolation
302 and subsequent conversion into chemicals and value-added products, especially considering the huge
303 amount of BSG generated per year.

304 Despite the concentration of cellulose in the fully-treated BSG may be considered relatively high, our
305 results are slightly lower than those reported in other works [3, 23]. The latter achieved a pulp with over
306 95% cellulose after a process consisting in dilute acid hydrolysis, NaOH pulping and a three-stage bleaching
307 reaction combining H₂O₂ and NaOH. Already after NaOH pulping, BSG contained 90.4% cellulose. In the
308 report by Dos Santos et al. [3] a concentration of cellulose of 66.4% after treating raw BSG with 2% NaOH
309 at 90°C for 2 h was obtained. In this study, further bleaching with 2% sodium chlorite (NaClO₂) at 80 °C
310 for 4 h led to a 90.1% cellulose content.

311 The amount of cellulose remaining in BSG after treatments was calculated by analyzing the yield of each
312 process (Y_{AH} , Y_{BH} and Y_{BL}), which correspond to the mass of BSG biomass recovered after each stage, and
313 the cellulose concentration in the treated solids (Table 2). The results showed that approximately 28% of
314 the cellulose originally present in BSG was removed after the whole treatment, with acid pretreatment
315 contributing with 19.1% disappearance, NaOH hydrolysis with 7.2%, and bleaching with only 2%. These
316 data contrast with those found by Mussatto et al. [23] who obtained a total cellulose removal from BSG of
317 17.6% by following a similar procedure, with alkaline hydrolysis being the most aggressive step (12.5%
318 removal), followed by bleaching (3.3% removal) and H₂SO₄ pretreatment (only 1.8% removal).
319 Nonetheless, similar findings were obtained by Candido and Gonçalves [18] who reported a total cellulose
320 removal of 28.12% from sugarcane straw fractionated by a process consisting of 10% (v/v) H₂SO₄
321 pretreatment, 5% (w/v) NaOH hydrolysis, chelation with 0.5% EDTA and 5% (v/v) H₂O₂ bleaching.
322 Although alkaline hydrolysis was responsible for the higher cellulose removal, these authors indicated that
323 the severity of the acid pretreatment was able to solubilize not only hemicelluloses (70.78%), but also a
324 high portion of lignin (52.22%) and cellulose (10.58%), probably from the amorphous fraction. It is
325 therefore inferred from these reports that the efficacy of the extraction process is dependent on the
326 characteristics of the cellulose source and on the conditions of the process itself (type of treatments,
327 concentration of reagents, reaction time, reaction temperature, solid/liquid ratio...). As reported by Candido
328 and Gonçalves [18], our results may indicate that the experimental conditions selected for the acid

329 pretreatment could be excessively harsh, as confirmed by the aforementioned high solubilization rate of
330 cellulose, as well as that of lignin (44.8%).



331
332 **Fig. 1** Left: raw BSG, and after acid pretreatment (BSG-AH), alkaline hydrolysis (BSG-BH) and bleaching
333 (BSG-BL). Right: scanning electron micrographs (magnification 1000x) of BSG samples (a: original; b:
334 after acid pretreatment; c: after alkaline hydrolysis; d: after bleaching)

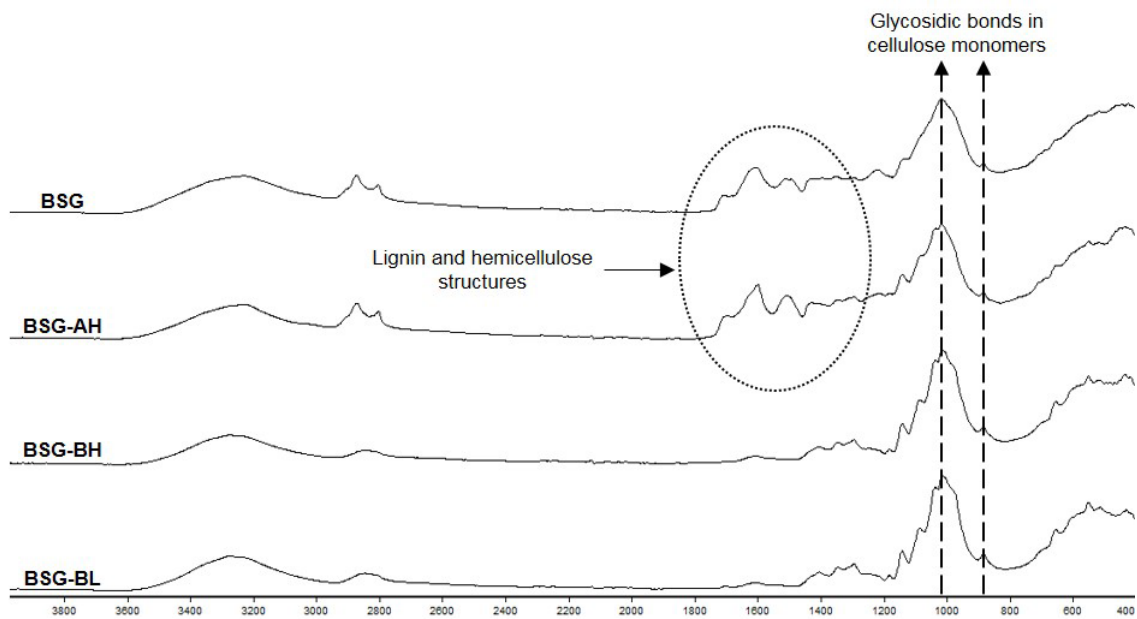
335 3.2.2. SEM analysis of BSG at each stage of treatment

336 As commented above, macroscopic changes in BSG with the treatments were evident (Fig. 1), hence
337 alterations in the structure and morphology of the fibers at microscopic level were also expected. SEM
338 micrographs reflected that raw BSG presented a smooth surface (Fig. 1a). After acid pretreatment,
339 disruption of lignocellulosic structure and partial separation of fiber bundles were observed (Fig. 1b) due
340 to elimination of non-cellulosic compounds, especially hemicellulose that was not detected in BSG-AH
341 [23, 30]. As lignin acts as a glue in the lignocellulosic structure, residual amounts kept cellulose microfibrils
342 in BSG-AH bonded together [48]. Subsequent alkaline hydrolysis of BSG-AH led to total delignification
343 (Table 2) and, as a consequence, further disaggregation of bundles into loose and individualized cellulose
344 fibers in BSG-BH, an effect that was even more intense after bleaching (Fig. 1c and 1d respectively).

345 3.2.3. FTIR analysis of BSG at each stage of treatment

346 FTIR spectroscopy was carried out to analyze the functional groups present in the fibers and the changes
347 produced after chemical treatments (Fig. 2). The broad band in the range 3600-3000 cm^{-1} represented the
348 stretching vibration of O-H bonds in lignocellulose [31, 32, 36]. The peaks observed in the region 3000-

2800 cm^{-1} corresponded to the C-H stretching in the $-\text{CH}_3$, $-\text{CH}_2$ and $-\text{O}-\text{CH}_3$ groups of cellulose, hemicellulose and lignin [32, 49]. Hence, lower intensity and a change in the absorption pattern from two well-defined peaks to a smooth band was observed in this region after delignification. The peaks in the carbonyl region (1600-1750 cm^{-1}) were related to the stretching of C=O bonds in esters and other carbonyl-type groups in lignin (carboxylic group of ferulic and *p*-coumeric acids) and hemicellulose (acetyl and uronic ester groups) structures [3, 31, 50]. Because of this, reduced absorption in this region after the chemical treatments (especially after NaOH hydrolysis) was observed. Similarly, the chemical treatments led to lower intensity in the regions 1500-1400 cm^{-1} and 1240-1160 cm^{-1} , which have been attributed to the stretching of C=C linkages of the aromatic rings in lignin [3, 31], and to the C-O bonds in cellulose, hemicellulose and acetyl groups of lignin respectively [50]. These findings corroborated the ability of the process to remove non-cellulosic compounds. Finally, the peaks observed at wavelengths between 1100 and 1020 cm^{-1} and approximately 890 cm^{-1} are related to the glycosidic bonds between monomeric units in the cellulose backbone [32], and their higher intensity in the spectra of BSG-BH and BSG-BL is also indicative of cellulose purification. Therefore, it is deduced from the FTIR analysis of untreated and treated BSG samples that the extraction process did not produce significant changes in the structure of the isolated cellulose.



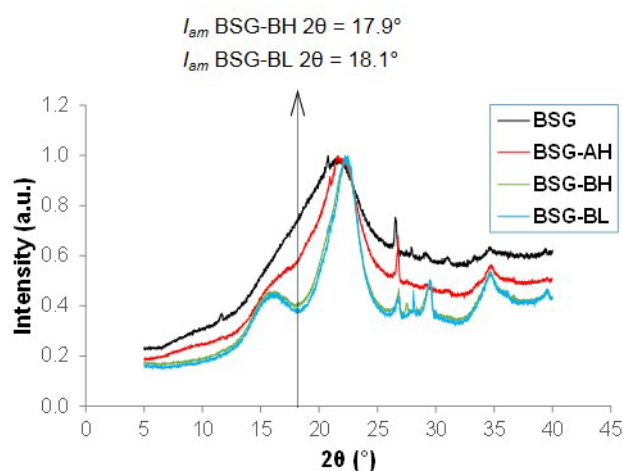
365
 366 **Fig. 2** Fourier-transformed infrared spectra of raw BSG, after acid pretreatment (BSG-AH), after alkaline
 367 hydrolysis (BSG-BH) and after bleaching (BSG-BL)

368 As a summary, we can say that FTIR spectra of raw BSG showed the bands typically associated to
 369 lignocellulosic components. With the progress of the process, those bands related to cellulose and non-
 370 cellulosic components became more and less intense respectively due to the progressive elimination of
 371 hemicellulose and lignin (and other non-cellulosic compounds), thus confirming the effectiveness of
 372 cellulose isolation.

373 3.2.4. XRD analysis of BSG at each stage of treatment

374 Fig. 3 depicts the XRD patterns of raw BSG, BSG-AH, BSG-BH and BSG-BL. The diffractogram of raw
 375 BSG presented a broad band with a maximum at around 22°, which is indicative of the amorphous nature

376 of the material due to the high content of hemicelluloses, lignin and other non-determined amorphous
 377 compounds, and a discrete peak at approximately 34.5° , suggesting some order along the molecular axis.
 378 After acid pretreatment, the appearance of a “shoulder” at $2\theta = 15-18^\circ$, the sharpening of the main band,
 379 and the intensification of the peak at 34.5° reflected partial elimination of amorphous constituents and
 380 revealed the presence of cellulose crystals. Once the isolation of cellulose was completed, three effects in
 381 the curves of BSG-BH and especially BSG-BL were visible: i) appearance of an overlapped peak at
 382 approximately $2\theta = 16^\circ$ that results from crystal planes with Miller indices of (1-10) and (110); ii) better
 383 definition of the main peak at $2\theta = 22-22.5^\circ$ corresponding to the (200) planes; and iii) further intensification
 384 of the (004) peak at $2\theta = 34.5^\circ$, which can be also partly due to preferred orientation of crystals in BSG and
 385 BSG-AH samples [51]. This is consistent with the structure of cellulose type I [48, 51-53].

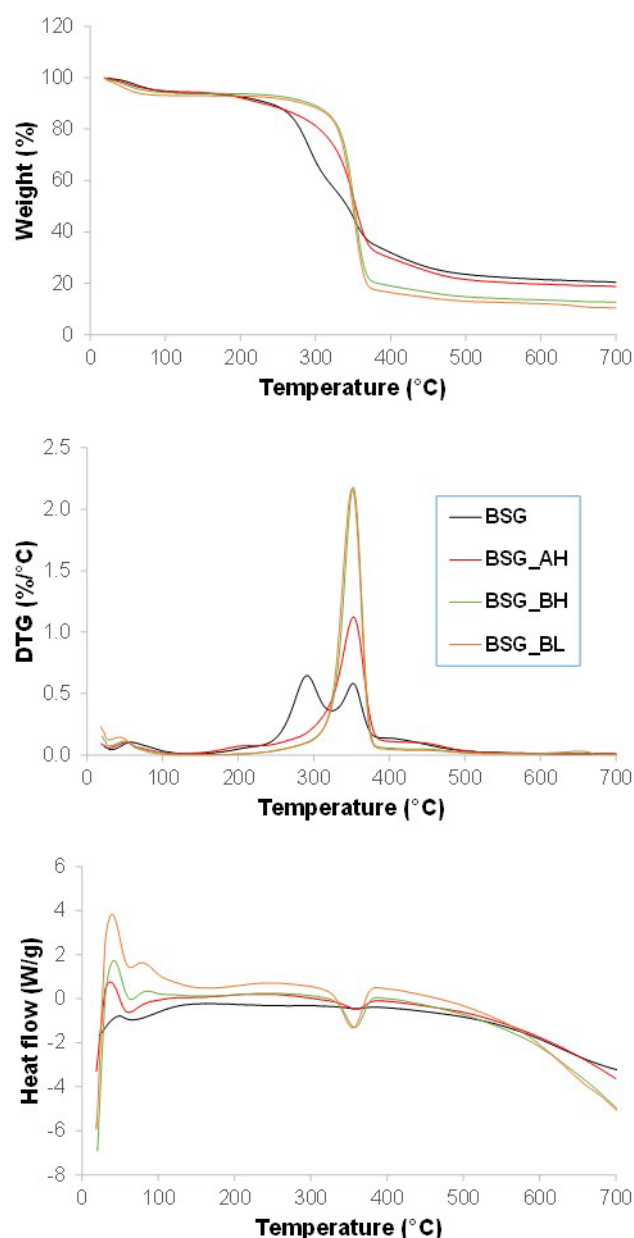


386
 387 **Fig. 3** X-ray diffractograms of raw BSG, after acid pretreatment (BSG-AH), after alkaline hydrolysis (BSG-
 388 BH) and after bleaching (BSG-BL)

389 The CrI values, calculated as described in *subsection 2.3.4*, were 60.1% and 63.0% for BSG-BH and BSG-
 390 BL respectively. Our results were similar to those found recently by Dos Santos et al. [3] (56.5%) and
 391 Matebie et al. [54] (64.8%), and for the cellulose extracted from other residues such as sugarcane bagasse
 392 [19], hemp stalks [32], apple pomace [55] or cocoa pod husk [56].

393 3.2.5. Simultaneous TGA-DSC of BSG at each stage of treatment

394 The thermal stability of BSG before and after the chemical treatments was monitored by TGA and its
 395 derivative curves (Fig. 4 top and Fig. 4 middle). It is observed in all the samples an initial event up to 150
 396 °C corresponding to a weight loss around 6% for BSG, BSG-AH and BSG-BH, and 7% for BSG-BL. This
 397 loss is related to evaporation and desorption of water and reflects the hydrophilic nature of the samples.



398

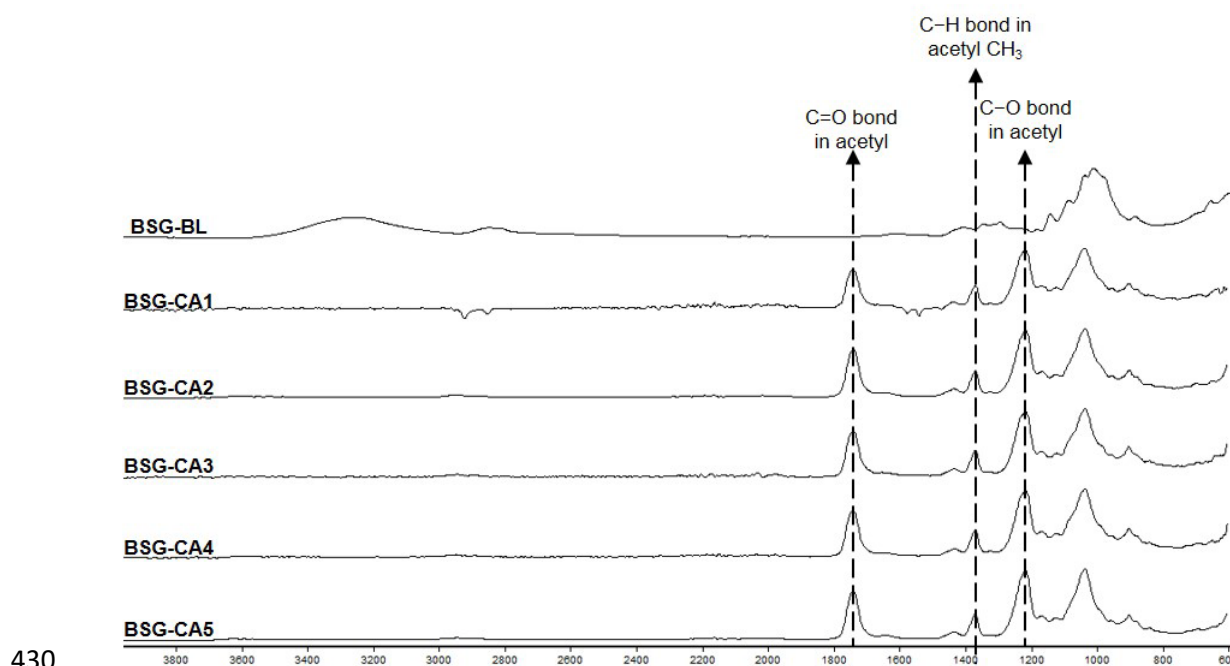
399 **Fig. 4** Thermogravimetry (top), their derivatives (middle), and differential scanning calorimetry (bottom)
 400 curves of raw BSG, after acid pretreatment (BSG-AH), after alkaline hydrolysis (BSG-BH) and after
 401 bleaching (BSG-BL)

402 Besides this, decomposition initiated at approximately 150 °C for BSG and BSG-AH, and around 200 °C
 403 for BSG-BH and BSG-BL. Regarding raw BSG, the analysis of the curves revealed a first weight loss
 404 (36.4%) between 150 °C and 323 °C (peak at 291 °C) related to the degradation of hemicellulose and initial
 405 decomposition of lignin [57]. The event from 323 °C onwards with peak at 352 °C (37.2% weight loss)
 406 corresponded mainly to cellulose degradation, but also to lignin since it exhibits slower degradation kinetics
 407 than those of hemicellulose and cellulose, and its thermal decomposition may extend up to 900 °C [3, 57,
 408 58]. The former thermal event disappeared already after acid pretreatment because of the elimination of
 409 hemicellulose, thus BSG-AH presented only a main band (73.2% weight loss) with an endset temperature
 410 close to 500 °C and a peak at 353 °C that reflected the decomposition of cellulose, but also to the remaining
 411 lignin. Once lignin was removed in the alkaline reaction, this peak became sharper and represented a weight

412 loss of 79.0% with maximum degradation temperature of 352 °C for BSG-BH. Similarly, bleached cellulose
413 presented a sole thermal event (besides the initial weight loss) at a temperature in the range of 200-400 °C
414 with peak at 351 °C (79.9% weight loss) due to the decomposition of the cellulose backbone. This increase
415 of the thermal stability of BSG-BL with respect to raw BSG was a direct consequence of the progressive
416 removal of hemicellulose and lignin that have less thermal stability than cellulose [3, 30, 32]. Nonetheless,
417 raw BSG and BSG-AH samples presented higher thermal stability above 400 °C due to their higher contents
418 in lignin that, due to its slow degradation, has greater stability under these conditions [57]. This was
419 demonstrated by the char residue obtained at the end of the heating (BSG 20.3% > BSG-AH 18.7% > BSG-
420 BH 12.6% > BSG-BL 10.4%), which has been reported to be representative of lignin and ash contents in
421 biomass [30]. The DSC analysis of the samples supported these observations thus reflecting the initial
422 weight loss below 100 °C and the principal degradation event observed in the TGA-DTG curves, with
423 endothermic peaks in the range 355-358 °C (Fig 4. bottom). However, degradation of hemicellulose, lignin
424 and cellulose in raw BSG was not evidenced.

425 3.3. Acetylation of cellulose

426 Fig. 5 depicts a comparison of FTIR spectra of samples BSG-CA1-5 with that recorded for the extracted
427 cellulose (BSG-BL). As shown, FTIR spectra reflected the successful acetylation of cellulose, without
428 significant differences among acetate samples. A series of structural changes are observed in the spectra of
429 acetylated products by comparison with that of BSG-BL.

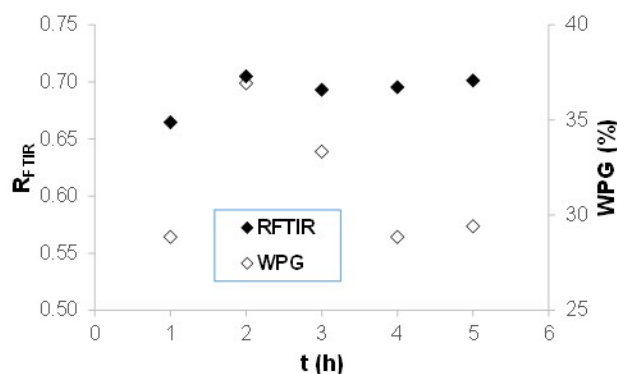


430
431 **Fig. 5** Fourier-transformed infrared spectra of the different cellulose acetates produced by varying reaction
432 time (BSG-CA1: 1 h; BSG-CA2: 2 h; BSG-CA3: 3 h; BSG-CA4: 4 h; BSG-CA5: 5 h). Comparison with
433 the cellulose extracted (BSG-BL)

434 The most evident is the appearance of three well-defined peaks attributed to the acetyl group at ~1740 cm⁻¹
435 (stretching of C=O bond), ~1370 cm⁻¹ (C-H stretching of CH₃ in acetyl) and ~1240 cm⁻¹ (stretching of
436 C-O bond) [19, 36, 48]. Further clear evidence is the disappearance of the band corresponding to the
437 stretching vibration of O-H bond (3600-3000 cm⁻¹), thus suggesting a high level of acetylation even for 1

438 h reaction [18, 19, 48, 52]. This is consistent with the removal of lignin in the extraction process, since it
439 competes with cellulose in the acetylation reaction [18]. In addition, NaOH hydrolysis during cellulose
440 isolation contributed to the high acetylation achieved due to swelling of the fibers and increased
441 accessibility of –OH groups [18].

442 In order to confirm this, the effect of reaction time on the acetylation extent was examined by calculating
443 R_{FTIR} from the spectra of BSG-CA1-5, as well as WPG as described in subsection 2.4. As illustrated in Fig.
444 6, slight differences were found for both R_{FTIR} and WPG , peaking at 2 h and then remaining virtually
445 constant up to 5 h of reaction.



446

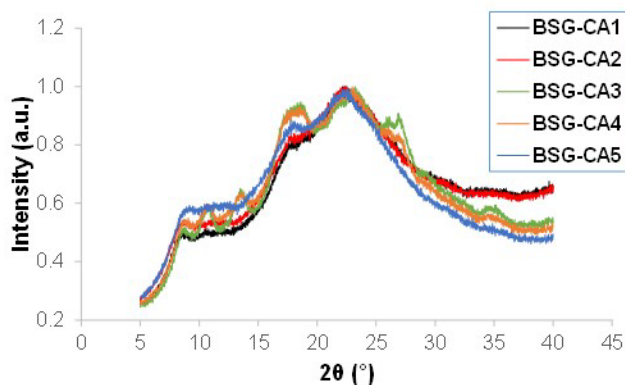
447 **Fig. 6** Effect of acetylation time on the acetylation extent (R_{FTIR}) and weight percent gain (WPG) of cellulose
448 acetate

449 This is in contradiction with the work by Fei et al. [36] who reported progressive acetylation of cellulose
450 (assessed by FTIR) from cotton linters at 80 °C from 0.5 h to 24 h. Adebajo and Frost [34] used FTIR and
451 C-NMR analysis to examine the acetylation of raw cotton and cotton fabrics in a study from 1 h to 10 h, and
452 found that acetylation extent reached a maximum at 3 h, and then increased again beyond 4 h reaction time.
453 The authors hypothesized that at times longer than 4 h acetylation far exceeded de-acetylation mechanism
454 of cotton samples. Moreover, Andrade Alves et al. [48] reported that maximum acetylation of cellulose (DS
455 calculated by the acid-base titration method) from sorghum straw at 25 °C occurred at 16 h. In another
456 study, acetylation of cellulose isolated from rice husk by a different method using I_2 as catalyst and 80 °C
457 temperature increased progressively from 1 h to 5 h [59]. These authors obtained DS values from 1H -NMR
458 spectra in the range 0.85-2.91 by varying reaction time and temperature. In contrast, Umaningrum et al.
459 [60] recently reported that the acetylation of cellulose extracted from rice straw reached its maximum extent
460 at 2 h (DS 0.89 calculated by the back titration method) by following a similar I_2 -catalyzed reaction. For
461 bacterial cellulose, acetylation extent (also estimated by the values of DS following acid-base titration)
462 increased from 0.5 to 4 h, kept practically constant in the range 4-16 h, and eventually increased after 24 h
463 reaction time [52]. By following a homogeneous mechanism using the ionic liquid 1-allyl-3-
464 methylimidazolium chloride (AmimCl) as solvent, the DS of cellulose acetate (calculated by 1H -NMR)
465 synthesized from cornhusk cellulose increased from 2.16 for 1 h to 2.50 for 3 h, and then levelled to obtain
466 a DS of 2.63 after 8 h reaction time [12]. A similar behavior was found by Fan et al. [61] who addressed
467 the acetylation of cellulose from rice straw in the range 2-8 h (DS by titration between 1.82 and 2.28) by a
468 novel method in which phosphotungstic acid acted as catalyst.

469 As shown, there exists certain variability concerning the effect of reaction time on the acetylation extent of
470 cellulose extracted from biomass. It is quite obvious that acetylation extent not only depends on the
471 experimental conditions, but also on the lignocellulosic material and on the cellulose extraction process.
472 However, a generalized postulation is that both degradation of the cellulose backbone and hydrolysis of the
473 cellulose acetate produced may occur after exceeding optimum acetylation extent. For the time interval
474 assayed in our study, maximum acetylation rate of BSG-cellulose was achieved at 2 h. Despite that further
475 research would be needed, both acetylation and de-acetylation mechanisms seemed to coexist at more
476 prolonged times.

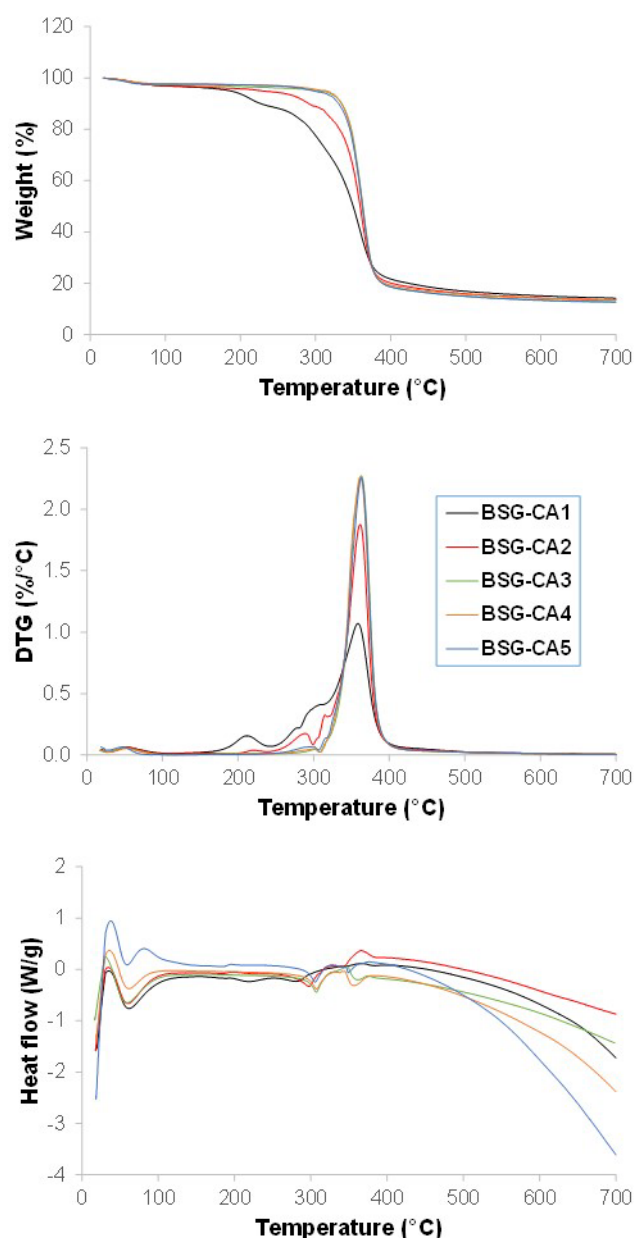
477 DS_{FTIR} values in the range 2.56-2.61, corresponding to cellulose diacetate, were obtained from the FTIR
478 spectra of BSC-CA samples (Fig. 5) as described in *subsection 2.4*. This method was validated by analyzing
479 a commercial sample of cellulose acetate (Merck Sigma-Aldrich) with a theoretical DS of 2.44 for which a
480 $DS_{FTIR} = 2.50$ was obtained (only 2.5% deviation with respect to theoretical DS).

481 As confirmed by the shape of the XRD patterns (Fig. 7), BSG-CAx had less crystallinity than its raw
482 cellulose (BSG-BL). During acetylation of cellulose, -OH groups are substituted by acetyl groups. Since
483 the latter occupy a greater volume, intra- and intermolecular H bonds in the cellulose matrix are disrupted,
484 thus leading to the rearrangement of the polymer structure associated with higher interfibrillar distance and
485 the breakdown of the microfibrillar structure [48, 52]. Barud et al. [52] stated that the higher the acetylation
486 extent the higher the crystallinity of cellulose acetate due to the more homogeneous distribution of acetyl
487 groups in the polymer structure. In our case, no significant differences in the XRD patterns of the
488 synthesized acetates were observed due to similar acetylation extent (Fig. 6). Apart from the main peak at
489 $2\theta \sim 22^\circ$, the appearance of additional crystalline peaks at around 9° and 18° confirmed the production of
490 cellulose diacetate [48].



491
492 **Fig. 7** X-ray diffractograms of the cellulose acetates synthesized from BSG-cellulose

493 The thermal stability of cellulose acetate depends on several properties such as DS, molecular weight and
494 crystallinity [20, 52], but the distribution of acetyl groups in the polymer structure should be also taken into
495 account [52]. As shown in Fig. 8, BSG-CA3, BSG-CA4 and BSG-CA5 exhibited higher thermal stability
496 followed by BSG-CA2 and finally BSG-CA1.



497

498 **Fig. 8** Thermogravimetry (top), their derivatives (middle), and differential scanning calorimetry (bottom)
 499 curves of the cellulose acetates synthesized from BSG-cellulose

500 All the samples exhibited an initial thermal event due to the desorption and evaporation of absorbed water
 501 and volatile compounds at a temperature that ranged from 48 °C for BSG-CA5 to 54 °C for BSG-CA1, and
 502 that corresponded to a weight loss of 3.2, 3.5, 2.9, 2.4 and 2.4% for BSG-CA1, BSG-CA2, BSG-CA3,
 503 BSG-CA4 and BSG-CA5 respectively. These weight losses are lower than that noted for other cellulose
 504 diacetates synthesized from sugarcane bagasse and newspaper wastes [19, 62]. In contrast, Andrade Alves
 505 et al. [48] did not record initial weight loss for a cellulose acetate synthesized from sorghum straw cellulose
 506 with DS 2.62, and justified it by the efficiency of the washing and drying steps during acetate synthesis.

507 From 150 °C onward, thermal degradation progressed differently depending on reaction time during acetate
 508 synthesis. Decomposition of BSG-CA1 and BSG-CA2 evolved in a multistep pattern (Fig. 8 top-middle),
 509 which is an indication of the heterogeneous distribution of acetyl functionalities in the polymer chains [52].
 510 As shown in the DTG curve, BSG-CA1 presented a degradation event with peak at 212 °C (8.3 % weight

511 loss), and a subsequent broad band with peak at 359 °C and discrete shoulders at 278 °C and 310 °C (74.4%
512 weight loss). In the case of BSG-CA2, discrete peaks at 220 °C (1.7% weight loss) and 289 °C (5.7% weight
513 loss), and a sharper band with peak at 362 °C and a shoulder at 315 °C (75.6% weight loss) were observed.
514 These patterns reflected first decomposition of pre-acetylated and partly hydrolyzed fractions at lower
515 temperatures followed by different polymer decomposition phenomena such as deacetylation,
516 depolymerization and, eventually, pyrolysis of the cellulose skeleton between 300 °C and 400 °C to obtain
517 a carbon-rich residue. Similar thermal degradation was found for a cellulose diacetate synthesized from
518 newspaper wastes (24 h reaction time), although in this case degradation below 300 °C was ascribed to
519 remaining lignin and hemicelluloses [62]. On the other hand BSG-CA3, BSG-CA4 and BSG-CA5
520 presented a single and better defined peak at 363 °C (weight loss > 80%). This suggested that at least 3 h
521 was needed to obtain a cellulose diacetate product with homogeneous distribution of acetyl groups. For
522 these samples, the temperature of maximum weight loss was slightly higher than that found for cellulose
523 (BSG-BL; 351 °C), indicating that acetylation induced an increased thermal stability. Finally, all the samples
524 presented similar thermal stability from 400 °C with an amount of carbonized mass below 15% at 700 °C.

525 DSC curves of acetate samples (Fig. 8 bottom) presented all the events observed in the TG and DTG
526 patterns: the initial weight loss and main decomposition in all the samples, as well as degradation below
527 300 °C for BSG-CA1 and BSG-CA2. Furthermore, an endothermic peak at approximately 300 °C appeared
528 for BSG-CA3-5 samples. In agreement with Barud et al. [52], this may correspond to their melting
529 temperature that, in this case, could be masked by the onset of the main degradation peak (Fig. 8 middle).

530 **4. Conclusions**

531 To our knowledge, this is the first work that has explored the acetylation of cellulose derived from BSG.
532 The chemical treatment performed led to the effective removal of hemicellulose and lignin from BSG, as
533 indicated by the change in color of samples and by the thermo-chemical characterization. Therefore, a
534 cellulose-rich pulp with relatively high crystallinity and thermal stability suitable for acetylation was
535 obtained at the end of the process. Nonetheless, the acid pretreatment seemed to be critical in the total
536 amount of cellulose solubilized from BSG. The acetylation of the cellulose fraction previously extracted
537 was successfully achieved under the experimental conditions employed. Our results suggested that a
538 relatively high acetylation extent of cellulose was already achieved after 1 h of reaction, and then it was
539 practically unchanged up to 5 h. As a consequence, cellulose diacetates with DS values of approximately
540 2.60 were produced for the time interval assayed. It is therefore deduced from this research that acetylation
541 time did not have a great influence on the rates of substitution of –OH groups by acetyl functions in the
542 cellulose backbone. However, the thermal analysis of acetate samples revealed that at least 3 h are required
543 in order to achieve even distribution of acetyls and to produce thermally stable cellulose acetate.

544 Despite that further investigation related to the search of more sustainable and less harsh BSG pretreatment
545 is needed, this study could pave the way for the manufacturing of a biodegradable plastic from BSG with
546 application in different industries such as food packaging.

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