


Article

# The evolution of internal damage identified by means of X-ray computed tomography in two steels and the ensuing relation with Gurson's numerical modelling

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**Abstract:** This paper analyses the evolution of the internal damage in two types of steel that show different fracture behaviours, with one of them being the initial material used for manufacturing prestressing steel wires, and the other one being a standard steel used in reinforced concrete structures. The first of them shows a flat fracture surface perpendicular to the loading direction while the second one shows the typical cup-cone surface. 3mm-diameter cylindrical specimens are tested with a tensile test carried out in several loading steps and, after each of them, unloaded and analysed with X-ray tomography, which allows detection of internal damage throughout the tensile test. In the steel used for reinforcement, damage is developed progressively in the whole specimen, as predicted by Gurson-type models, while in the steel used for manufacturing prestressing steel-wire damage is developed only in the very last part of the test. In addition to the experimental study, a numerical analysis is carried out by means of the finite element method by using a Gurson model to reproduce the material behaviour.

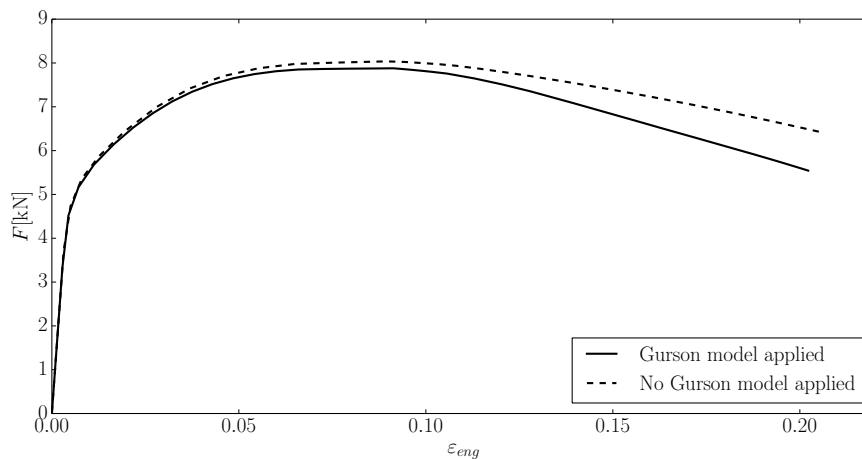
**Keywords:** Steel, Tensile Test, XRCT, Damage Evolution, Gurson Model

## 1. Introduction

Steel is, with concrete, the most important building material used at the time, and the standard tensile test is the most widely used method to determine its principal material properties [1]. With it, the stress-strain curve of the material may be obtained before the ultimate tensile strength is reached; stresses and strains are then difficult to estimate. This is why the material behaviour after the ultimate tensile strength is usually neglected. Nevertheless, understanding the fracture mechanisms that lead to the eventual failure is of significant interest, since it can help to improve structural safety strategies.

The fracture of ductile materials is usually explained with the theory of nucleation, growth and coalescence of microvoids [2]. According to this theory, at a first stage and with high loading being applied, microvoids develop inside the material (nucleation) as a result of the decohesion of small inclusions that are torn apart from the rest of the material or by the fracture of these inclusions. At a second stage, and under higher strain states, these nucleated voids increase their size (growth) until, at the last stage, they become interconnected (coalescence). This process weakens the material leading to its eventual failure.

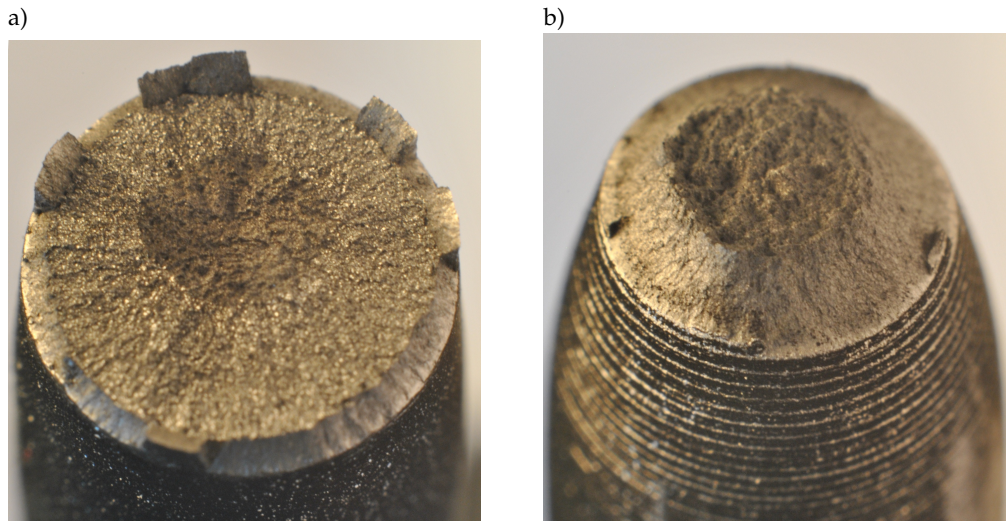
28 This theory has given rise to many mathematical models, with a special mention to the Gurson  
 29 model [3]. This model was proposed in 1977 and its formulation was based on how a spherical void  
 30 grows inside a material matrix under certain stress states. Subsequent evolutions of the model have  
 31 been able to reproduce not only softening due to the growth of microvoids, but also the eventual  
 32 fracture of the material [4]. Since its appearance, the models based on Gurson's formulation, that  
 33 here will be referred to as Gurson-type models, have been applied to reproduce damage evolution in  
 34 different ductile materials, such as aluminium, copper and steel [5–7]. When such models are used to  
 35 reproduce a tensile test on a ductile material, the damage begins to develop in very early stages of the  
 36 test, as shown in Figure 1, even before the onset of necking, which takes place approximately when the  
 37 maximum load is reached.



**Figure 1.**  $F$ - $\varepsilon$  curves obtained with numerical simulations of the same specimen. One of them using a Gurson model and the other one without it.

38 Steel properties may differ depending on many factors, such as the chemical composition and  
 39 the manufacturing process used. Such differences may affect ductility and the fracture mechanisms  
 40 that lead to failure, with geometrically identical cylindrical specimens of different steels presenting a  
 41 contrasting fracture surface after a tensile test. Figure 2 shows the fracture surface of two 9mm-diameter  
 42 specimens made of different steels. The one on the right shows a typical cup-cone fracture, usually  
 43 observed on ductile materials [2] and which belongs to a specimen made of standard steel used in  
 44 reinforced concrete structures. The one on the left shows a flat fracture surface, where a dark circular  
 45 region can be observed, belonging to a specimen made of steel used for manufacturing prestressing  
 46 steel wires.

47 In this work, two steels that have distinct fracture behaviours are analysed. The first of them  
 48 corresponds to the initial material used to manufacture prestressing steel wires (Material 1) and exhibits  
 49 the flat fracture pattern shown in Figure 2a. The second material corresponds to a standard steel  
 50 used in reinforced concrete structures (Material 2) and presents a fracture surface corresponding to  
 51 the classical cup-cone shape (Figure 2b). These materials have been analysed in the past and their  
 52 mechanical properties are well known; for further information, the reader is referred to [8], [9] and  
 53 [10]. In the first place, the fracture surfaces of both materials are observed with a scanning electronic  
 54 microscope to identify the fracture mechanisms that take place. In the second place, an analysis of  
 55 damage evolution along a tensile test on cylindrical steel specimens is carried out; this analysis is  
 56 performed for both materials. Specimens are tested in subsequent loading steps and, at the end of  
 57 each step, analysed with X-ray computed tomography (XRCT) in order to identify the evolution of the  
 58 internal damage. Maire et al. [11–13] have used a similar approach on aluminium and steel specimens  
 59 to quantify damage evolution and study the effect of distinct triaxiality states on this process, which  
 60 has served to compare experimental values of void growth and damage evolution with those predicted



**Figure 2.** Fracture surfaces on 9mm-diameter specimens of two steels with different fracture patterns after testing under tension: a) Material 1; b) Material 2 [8].

61 by numerical models [14]. It must be noted that in this work the evolution of internal porosity is  
62 analysed, but also the appearance of true cracks inside the specimen before the eventual failure. For  
63 this reason, the term damage is used as a general concept that refers to voids, which may nucleate  
64 and grow, and cracks, which can be the result of coalescence of voids, both processes weakening the  
65 material.

66 In order to study how one of the most extended numerical models used with metals, the Gurson  
67 model, reproduces damage evolution, the tensile test for both materials is numerically reproduced.  
68 This numerical study is carried out using the finite element method and the material behaviour is  
69 reproduced by the Gurson model. Although this model was first proposed in 1977 [3], it is extensively  
70 used nowadays with variations in many fields like metal forming [15,16]. There exist a number of  
71 variations that allow its formulation to adapt for different materials or load cases [7,17–21]. Here, the  
72 model is calibrated by using macroscopic results obtained experimentally: the load-strain curve and  
73 the necking radius evolution. The evolution of the internal porosity numerically predicted is compared  
74 with the experimental values provided by XRCT.

## 75 2. Experimental work

### 76 2.1. Materials

77 Two different steels are considered in this work. This section describes their characteristics.

#### 78 2.1.1. Material 1

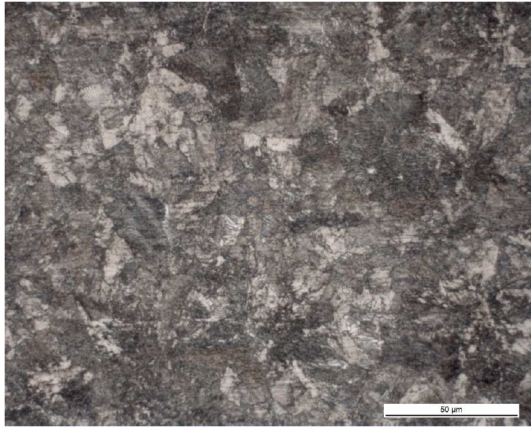
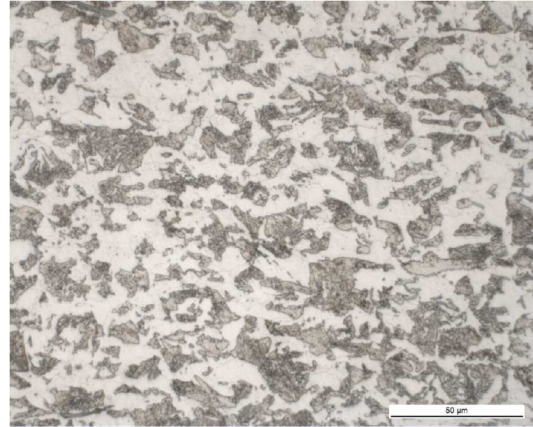
79 To manufacture steel wires, raw eutectoid steel bars are driven through a cold-drawing process  
80 that reduces their section by pulling them through a conical die. This process affects the failure  
81 behaviour of the material [22,23] and may introduce additional uncertainties in this study. For this  
82 research, Material 1 specimens were obtained from raw eutectoid pearlitic steel bars used to produce  
83 prestressing steel wires, thus not being affected by cold-drawing, which provided a material that  
84 was as isotropic as possible. The chemical composition of this material can be consulted in Table 1.  
85 According to the metallographic analysis [24], the microstructure of this material is formed by perlite,  
86 with a lamellar structure of equi-axed grains with an average size of  $G=9$ . This microstructure can be  
87 seen in Figure 3a.

**Table 1.** Chemical composition of both materials in %.

Material	C	Si	Mn	P	S	Cr	Mo
1	0.83	0.25	0.72	0.012	0.004	0.24	<0.01
2	0.22	0.18	1.00	0.024	0.042	0.08	0.03

Material	Ni	Cu	Al	Ti	Nb	V	N
1	0.02	0.01	<0.003	<0.005	<0.005	<0.01	0.0097
2	0.14	0.46	<0.003	<0.005	<0.005	<0.01	0.0113

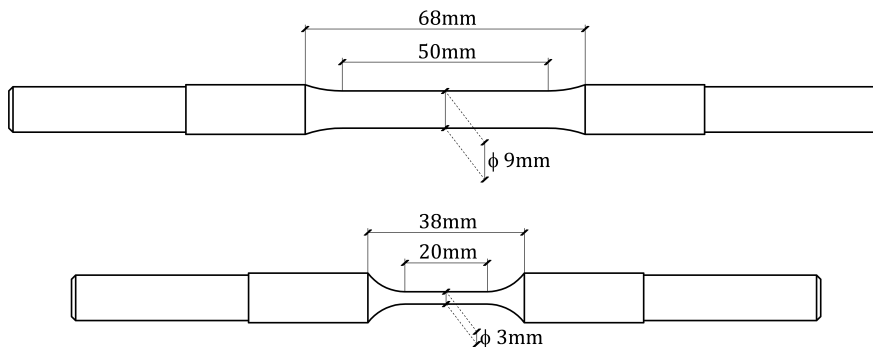
a) Material 1 ( $\epsilon_{eng} \approx 0$ )b) Material 2 ( $\epsilon_{eng} \approx 0$ )**Figure 3.** Microstructure of both materials in the longitudinal direction before testing.

### 2.1.2. Material 2

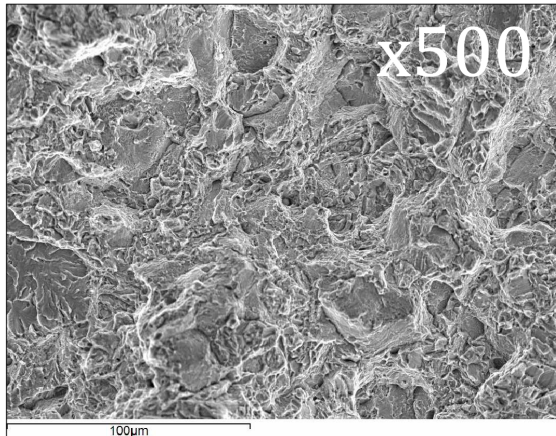
Specimens of Material 2 are made of steel reinforcement B 500 C, according to the European Standard EN 10020 [25], with high ductility and an elastic limit of 500N/mm<sup>2</sup>. The chemical composition of this material can be consulted in Table 1. According to the aforementioned metallographic analysis [24], the microstructure of this material is formed by ferrite and perlite in a proportion of 50/50, with the perlite being sorbitic and equi-axed grains with an average size of G=9. This microstructure can be seen in Figure 3b.

### 2.2. Specimens

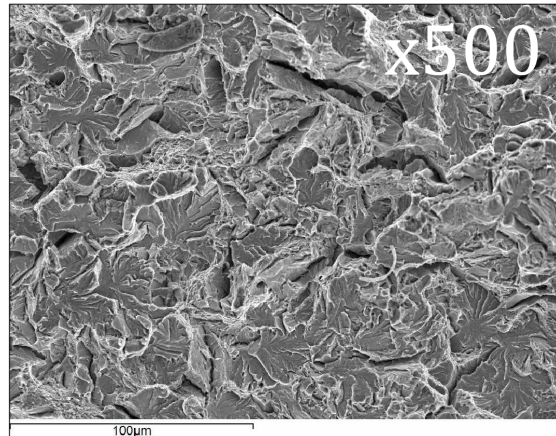
Two diameters were considered for each material: 3mm and 9mm. The 9mm-diameter specimens were used to analyse the fracture surfaces, since comparison is easier in specimens with larger cross sections. The 3mm-diameter specimens were used in the damage evolution analysis to ensure a correct penetration of the X-rays used to identify the internal damage. The dimensions of these specimens can be checked in Figure 4.

**Figure 4.** Specimens dimensions.

a) Detail of the central region:



b) Detail of the external region:



**Figure 5.** Fractographs obtained from the 9mm-diameter specimen made of Material 1 [26].

### 101 2.3. Testing procedure used to study the damage evolution

102 In order to follow the damage evolution inside a specimen, it was tested in subsequent load steps,  
 103 with the first one being the strain value at which the maximum load is reached. Once each strain value  
 104 was reached, the specimen was unloaded and its neck analysed with X-ray computed tomography  
 105 (Nanotom 160NF, Phoenix). The process for each analysis is described as follows:

- 106 1. X-ray tomographic analysis before the specimen is tested.
- 107 2. The specimen is tested until the maximum load is reached, which is identified as Step 1; then, it  
 108 is unloaded.
- 109 3. X-ray tomographic analysis of the specimen.
- 110 4. The specimen is tested until the second step is reached; then, it is unloaded.
- 111 5. X-ray tomographic analysis of the specimen.
- 112 6. The previous actions are repeated for subsequent steps until the point of failure.

113 The tensile tests were carried out with a Suzpecar universal testing machine and a load cell of  
 114 100kN with an accuracy of 0.5%. Every load step was performed with a displacement control, and at a  
 115 very low speed of displacement of the clamping jaws (of the order of 0.05 mm/min in the last steps),  
 116 to avoid dynamic effects during the test. The tomographic images were collected at 80kV and 140µA  
 117 by using a tungsten target.

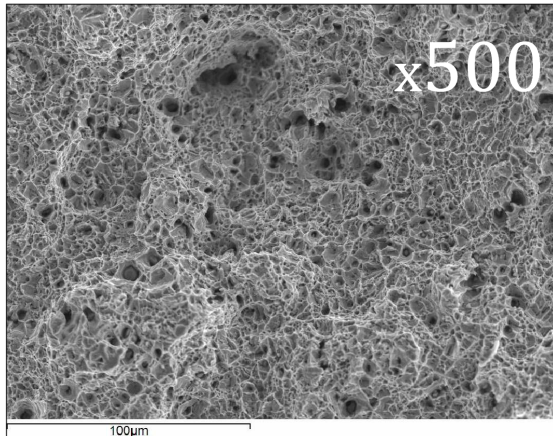
### 118 2.4. Results

#### 119 2.4.1. Fractographic analysis of the fracture surfaces

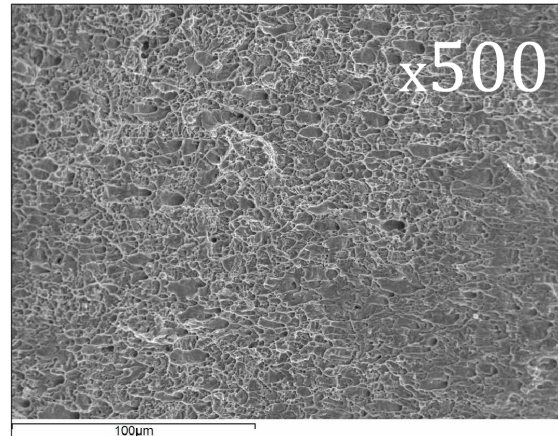
120 Figure 5 and Figure 6 show the fractographs obtained with the 9mm-diameter specimens tested,  
 121 with the former corresponding to the Material 1 specimen and the latter to the Material 2 specimen.  
 122 In the Material 1 specimen, the detail of the central region corresponds to the internal dark region  
 123 observed after a tensile test and the external region refers to the lighter area that surrounds it. In the  
 124 Material 2 specimen, the detail of the central region corresponds to the flat surface perpendicular to  
 125 the specimen axis in a cup-cone fracture surface and the external region refers to the inclined lips that  
 126 develop around it.

127 The fractographic pictures of the Material 1 specimen show that the central region presents a  
 128 surface with dimples, different from that of the external region, where sharper edges are noticed.  
 129 According to this, the central region fails by means of a nucleation, growth and coalescence mechanism,  
 130 while the external region corresponds to a cleavage fracture mechanism. In the case of the Material 2  
 131 specimen, the central region presents a high number of voids and evident dimples, which corresponds  
 132 to a clear nucleation, growth and coalescence mechanism. The external region presents fewer voids and

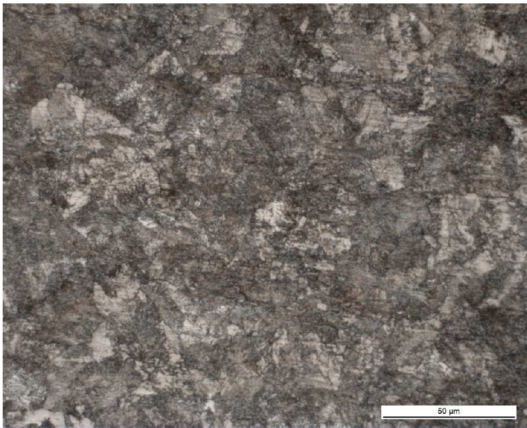
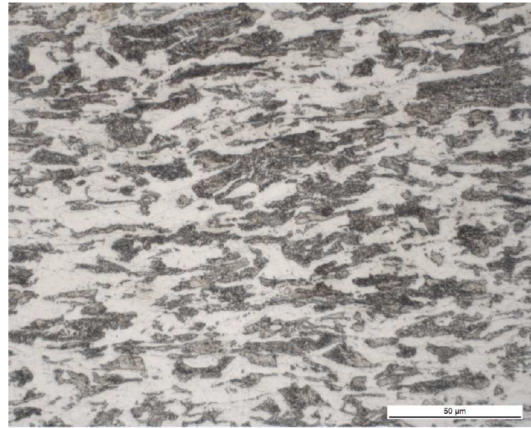
a) Detail of the central region (cup):



b) Detail of the external region (cone):



**Figure 6.** Fractographs obtained from the 9mm-diameter specimen made of Material 2 [26].

a) Material 1 ( $\epsilon_{eng} \approx 0.15$ )b) Material 2 ( $\epsilon_{eng} \approx 0.32$ )

**Figure 7.** Microstructure of both materials in the longitudinal direction after testing.

133 slightly sharp edges, which seems to indicate a combination of the nucleation, growth and coalescence  
 134 mechanism with the cleavage mechanism.

#### 135 2.4.2. Metallographic analysis after the test

136 Figure 7 shows the microstructure in the longitudinal direction of Material 1 and Material 2 after  
 137 the test in the necking region.

138 In the case of Material 1, raw eutectoid pearlitic steel, no differences can be observed when  
 139 compared with the microstructure before testing (see Figure 3a). In the case of Material 2, standard  
 140 steel used as reinforcement in concrete structures, grains are oriented in the longitudinal direction after  
 141 the test and show a shape factor of  $f_{sh} = 4$ .

#### 142 2.4.3. Internal damage evolution analysis

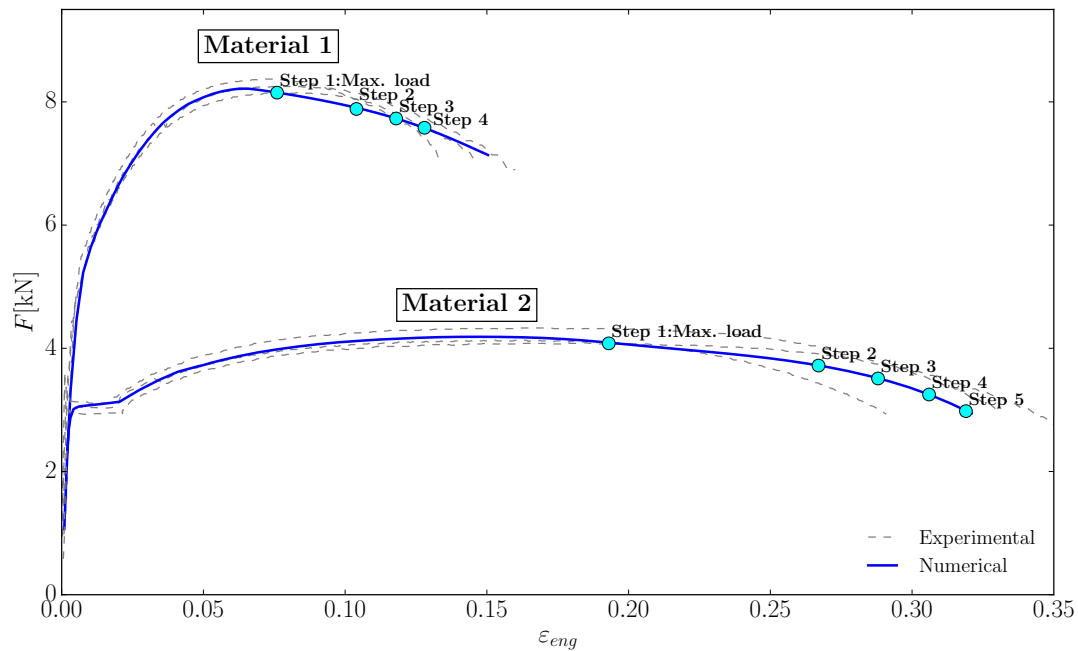
143 This analysis was performed with 3mm-diameter specimens to allow penetration of X-rays into  
 144 the material. Please, note that some of the results presented here were already included in [26], but are  
 145 now completed with more figures that help to better describe the damage evolution process in each  
 146 material.

147 Since XRCT is an expensive technique that requires much postprocessing work, only one specimen  
 148 for each material is analysed, which is usual practice when this technique is applied [27–29]. The  
 149 analysis was carried out with a voxel size of  $2.5 \mu\text{m}$  (Nanotom 160 NF, from Phoenix X-ray). As  
 150 mentioned before, to carry out this analysis the tensile tests were performed in subsequent load steps.

151 These steps are defined by the engineering strain developed along an initial length of 12.5mm and  
 152 can be consulted in Table 2. Figure 8 shows them over the corresponding  $F-\varepsilon_{eng}$  curves. Note that this  
 153 figure shows the experimental results for both analysed materials compared with the numerical results  
 154 that will be described later.

**Table 2.** Steps used for the damage evolution analysis with the specimens of both materials. Engineering strain over a 12.5mm initial length is used for identifying every step.

Step	1	2	3	4	5
<b>Material 1</b>	0.076	0.104	0.118	0.128	—
<b>Material 2</b>	0.193	0.267	0.288	0.306	0.319



**Figure 8.** Load-strain curve of the 3mm-diameter specimen of both materials; comparison between the experimental results and the numerical models. The steps used for the damage evolution analysis are represented with blue circles (the engineering strain has been obtained using an initial gauge length of 12.5 mm).

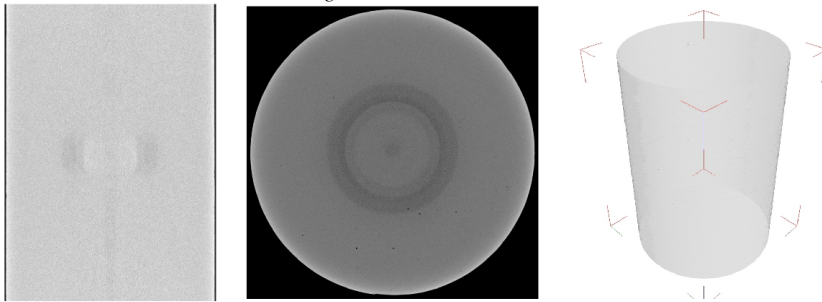
155 Figure 9 presents the results for the Material 1 specimen. Results are given for the specimen before  
 156 testing and for each of the four steps considered. Three pictures are shown for each step: a longitudinal  
 157 section of the necking region, a projection of damage on the cross section and a perspective of the  
 158 internal damage in the necking region.

159 According to these results, nucleation and growth of microvoids is unnoticeable in the first step,  
 160 which corresponds to the maximum load instant. In the second and third steps, the mechanism of  
 161 nucleation and growth of microvoids can be clearly observed, though it is not until the fourth step that  
 162 an evident internal damage is developed. Therefore, according to the theory of nucleation, growth and  
 163 coalescence of microvoids, as the test progresses the microvoids start to appear and grow in an even  
 164 manner but the internal damage that leads the specimen to failure only appears at the very end of the  
 165 test.

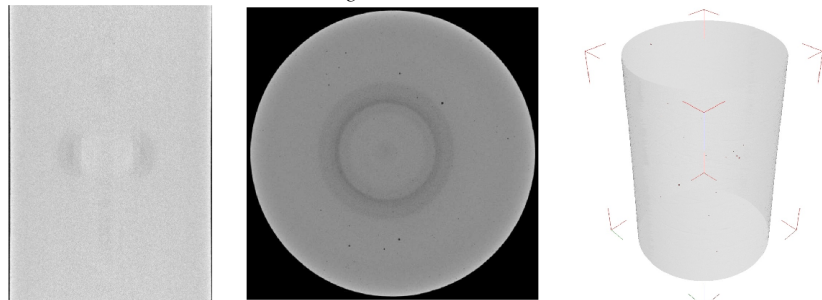
166 Figure 10 shows the results for the Material 2 specimen. As in the Material 1 specimen, results  
 167 are given for before testing and for each of the steps considered, five in this case. As in Figure 9, three  
 168 pictures are shown for each step: a longitudinal section of the necking region, a projection of damage  
 169 on the floor plan and a perspective of the internal damage in the necking region.

170 As in the Material 1 specimen, the mechanism of nucleation and growth of voids is clearly  
 171 noticeable: as the test progresses, evenly spaced voids start to appear and grow.

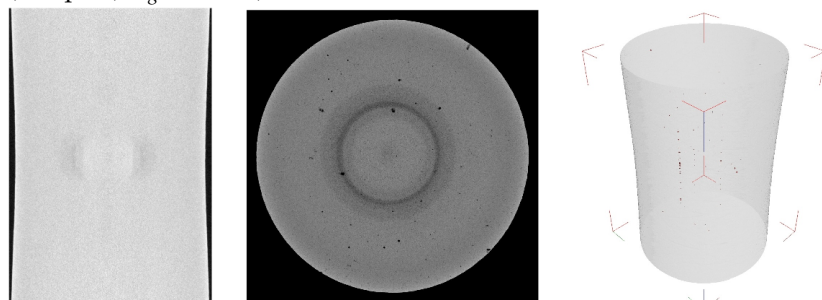
a) Step 0: Before testing ( $\epsilon_{eng} = 0$ )



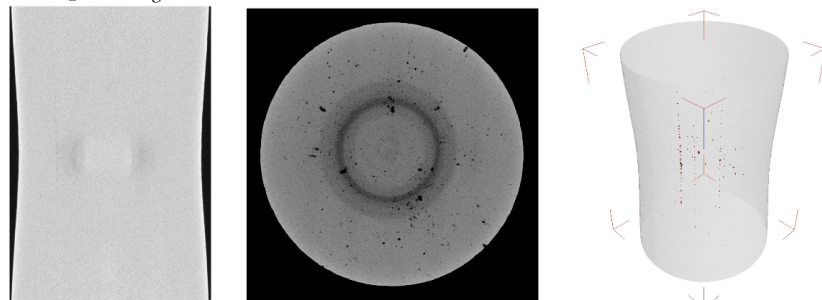
b) Step 1: Maximum load ( $\epsilon_{eng} = 0.076$ )



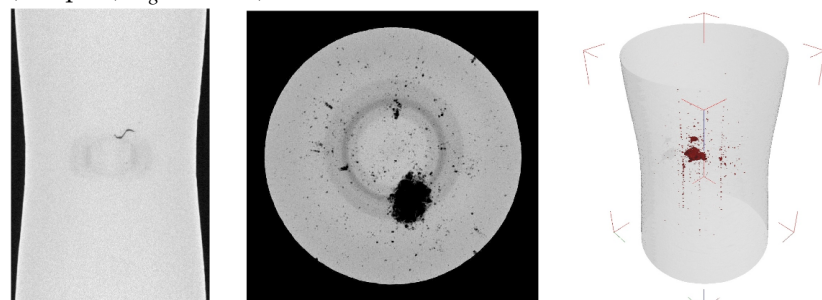
c) Step 2 ( $\epsilon_{eng} = 0.104$ )



d) Step 3 ( $\epsilon_{eng} = 0.118$ )

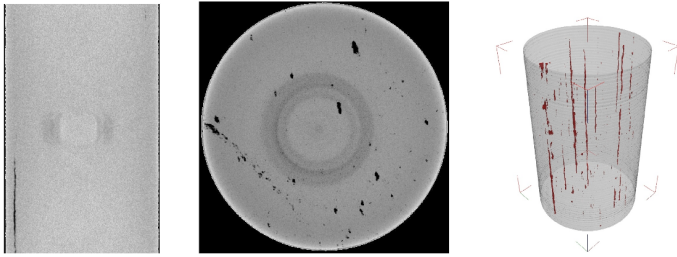


e) Step 4 ( $\epsilon_{eng} = 0.128$ )

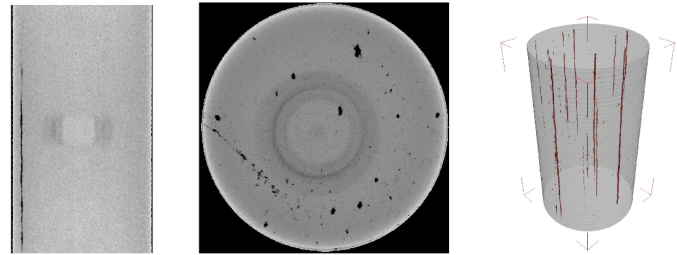


**Figure 9.** Results of the XRCT analysis of the necking zone for Material 1. For each step, three results are given: I) Longitudinal section, II) Damage projected on the floor plane and III) Perspective of the internal damage.

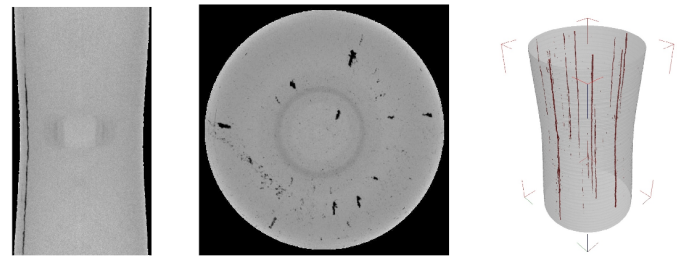
a) Step 0: Before testing ( $\epsilon_{eng} = 0$ )



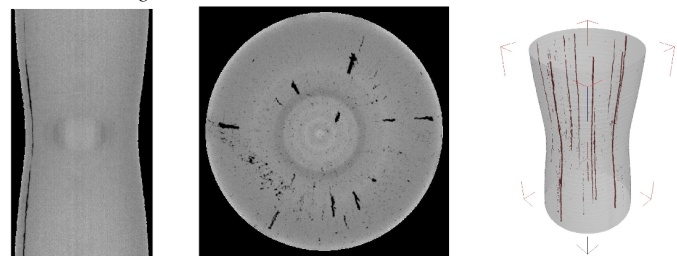
b) Step 1: Maximum load ( $\epsilon_{eng} = 0.193$ )



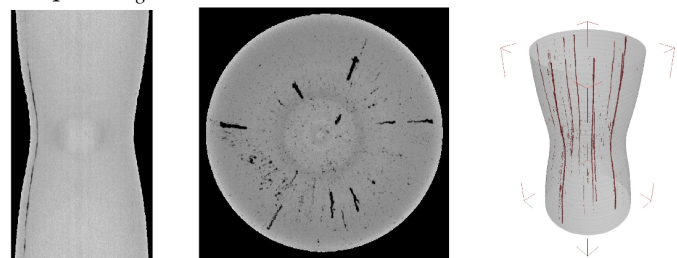
c) Step 2 ( $\epsilon_{eng} = 0.267$ )



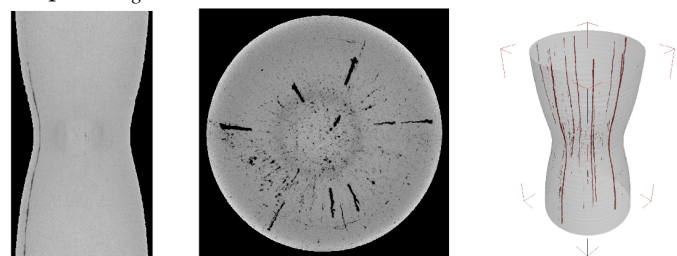
d) Step 3 ( $\epsilon_{eng} = 0.288$ )



e) Step 4 ( $\epsilon_{eng} = 0.306$ )



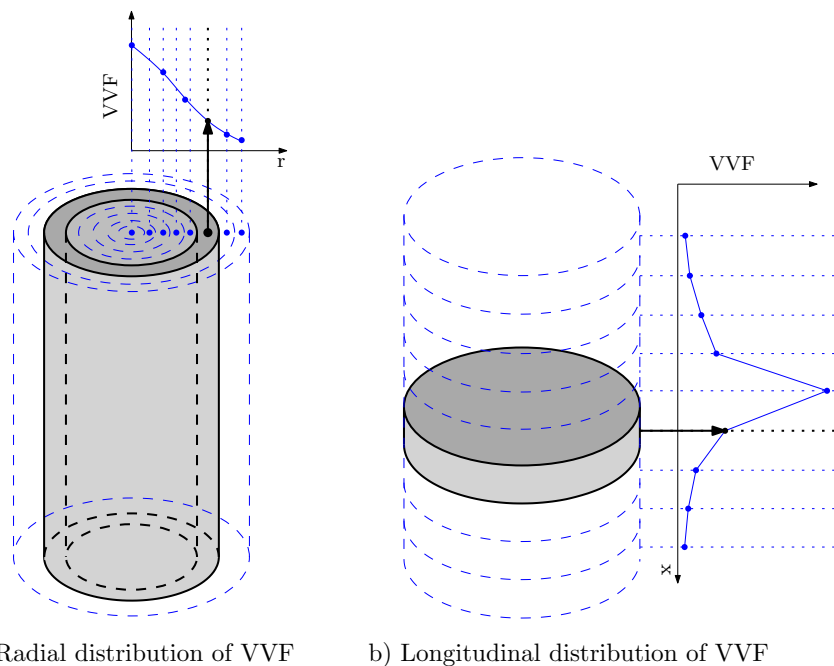
f) Step 5 ( $\epsilon_{eng} = 0.319$ )



**Figure 10.** Results of the XRCT analysis of the necking zone for Material 2. For each step, three results are given: I) Longitudinal section, II) Damage projected on the cross section and III) Perspective of the internal damage.

172 2.4.4. Longitudinal and radial distribution of voids at each step

173 In order to understand how voids nucleate and grow inside the specimen during the test, their  
 174 distribution has been obtained in the longitudinal direction and in the radial direction for each step. In  
 175 the longitudinal direction, the specimen part considered was 3.5mm long centered at the necking area  
 176 and the void volume was measured for 0.025mm-long slices. In the radial direction the volume was  
 177 measured at seven concentric hollow cylinders (except the smaller one, which was a full cylinder) of  
 178 the same volume; this measurement was obtained for 349 slices, 0.8725mm in length. Figure 11 shows  
 179 schematic diagrams of how these values were obtained; subfigure a) shows a scheme of one of the  
 180 hollow cylinders used to compute the void volume fraction (VVF) at a certain radius for the radial  
 181 distribution and subfigure b) the scheme of one of the slices used to compute the VVF for a point in  
 182 the longitudinal distribution. To do this work, the raw data obtained with XRCT was filtered by means  
 183 of Matlab<sup>®</sup> scripts and functions [30].



**Figure 11.** Schematic representation of the volumes used to obtain a point of the VVF distribution for both directions.

184 2.5. Discussion on the experimental data

185 The fractographs obtained with the 9mm-diameter specimens (Figures 5 and 6) show two clearly  
 186 different fracture behaviours between Material 1 and Material 2. While the former presents two clearly  
 187 defined failure mechanisms, nucleation and growth of microvoids in the internal region and cleavage  
 188 in the external one, the latter presents a global nucleation, growth and coalescence mechanism all  
 189 over the surface and a combination of this mechanism with a slight cleavage only in the very external  
 190 part of the inclined plane of the cup-cone shape. This last observation agrees with previous research  
 191 published by Scheider and Brocks [31] or by Suárez et al., [8] and [9].

192 Therefore, while the fracture surface of Material 2 and its cup-cone shape agrees with the  
 193 observations by Bluhm and Morrissey [32] and suggests a clearly ductile behaviour along the test,  
 194 the fracture surface of Material 1 shows a different fracture behaviour. It suggests that the internal  
 195 damage, represented by the internal region, develops progressively and opens an internal crack until  
 196 it eventually fails, propagating the internal crack outwards by a cleavage mechanism. Hence, Material  
 197 1 failure presents a brittle-ductile transition phenomenon.

198 As regards the tomographic images, the initial image obtained for both specimens, before testing,  
199 highlights the different nature of both materials. While Material 1 presents almost no internal voids,  
200 Material 2 shows a high volume of them. These voids are aligned longitudinally, which may be due to  
201 the manufacturing process.

202 The different nature of these materials is also noticed looking at how different their behaviour is  
203 in terms of strain; Material 1 has a maximum strain of around 0.13 at failure while Material 2 reaches a  
204 value of about 0.34. This is also evident given that necking is much more noticeable in Material 2 than  
205 in Material 1.

206 In Material 1, some small voids can be noticed under maximum loading. As strain increases, new  
207 voids nucleate, some in a random fashion but others longitudinally aligned. The most interesting  
208 remark entails the last step, which is very close to failure. Before this instant, voids appear not  
209 depending on each other and not connected to each other, but at step four, internal cracks can be  
210 observed, including a large crack in the center of the necking region, which is almost plane. This  
211 observation agrees with the hypothesis posed in [8,9,33], where the authors suggested that fracture  
212 initiates by an internal crack that, once it is large enough, acts as an internal notch that triggers a  
213 brittle fracture process. This is interesting since it allows the use of certain models from the field  
214 of linear elastic fracture mechanics which work reasonably well for a clearly elastic-plastic material,  
215 such as those based on the cohesive crack concept [34–36]. For instance, in [9] the cohesive crack  
216 was successfully used by means of an interface element to reproduce the tensile test on specimens of  
217 Material 1, but other approaches such as the embedded cohesive crack [37–39] or the smeared crack  
218 modelling [40–42] could also be proposed.

219 In the case of Material 2, a high number of voids or inclusions is present before loading. As load  
220 increases, the number of voids and their volume also increase. Different from the other material, void  
221 coalescence cannot be identified. It is unclear if this means that coalescence develops extremely close  
222 to the eventual failure or if the test could not be stopped close enough to this instant, when internal  
223 cracking could be observed. According to the results reported by Bluhm and Morrissey [32], a fracture  
224 plane perpendicular to the loading direction could be expected, which would result in the flat surface  
225 of the cup-cone fracture pattern, and finally inclined fracture planes, which would result in the shear  
226 planes of the cup-cone shape.

227 Therefore, the internal damage evolution analysis confirms that, in the case of Material 2, failure  
228 is due to a generalised weakening process that takes place all over the cross section as a result of a  
229 nucleation, growth and coalescence of microvoids mechanism. In the case of Material 1, although this  
230 mechanism is also observed, the eventual failure of the specimen is provoked by an internal crack  
231 opening that takes place in the very late instant of the tensile test. This observation confirms that the  
232 failure of Material 1 presents a brittle-ductile transition phenomenon where the specimen shows a  
233 ductile behaviour until the internal crack is large enough to provoke an eventual brittle failure.

### 234 3. Numerical work

235 This section presents the numerical work carried out in this study. In order to analyse the  
236 numerical behaviour of the Gurson model, which is widely used for reproducing the material  
237 degradation in metals, the experimental data is compared with numerical simulations using this  
238 model. The tensile tests of both materials are reproduced numerically by means of the finite element  
239 method, using the implicit version of the commercial software Abaqus<sup>®</sup> [43].

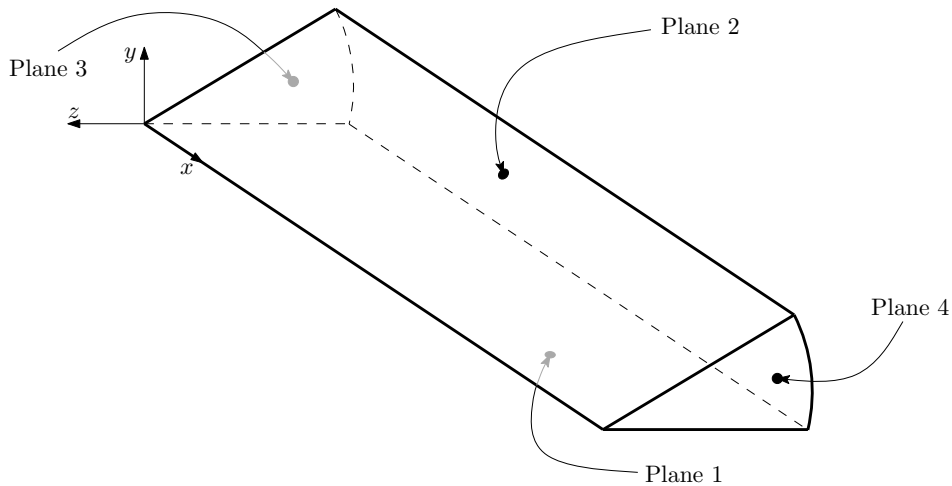
240 It must be noted that here the original Gurson model is used, that is to say, the model does not take  
241 into account the effect of coalescence, introduced later by means of additional parameters proposed  
242 by Tvergaard and Needleman in [4]. The reason of this decision is that calibrating a model including  
243 coalescence, therefore using the additional parameters added by Tvergaard and Needleman, results in  
244 a higher number of parameters to be defined. Since, as remarked by other researchers (e.g. [44]), a set  
245 of parameters that provide a perfect fit of the macroscopical behaviour (i.e. load-strain diagram) does  
246 not guarantee that the micromechanical behaviour is correctly captured, here, as a first approach to

247 the problem, it is preferred to keep the analysis simpler and limit their number by using the original  
 248 Gurson model.

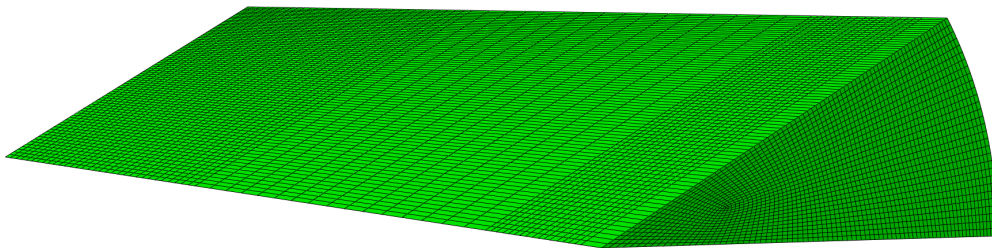
### 249 3.1. Description of the finite element model

#### 250 3.1.1. Geometry

251 Because of the axial symmetry of the problem, only 1/24th of each specimen has been considered,  
 252 as shown in Figure 12. In order to force necking at the  $x = 0$  plane, the specimen is not perfectly  
 253 cylindrical, but its radius varies from 1.5mm at  $x = 0$  to 1.51mm at  $x = 7.25$ mm, enough to induce  
 254 stress concentration numerically at  $x = 0$ . The mesh was defined after a mesh-size convergence study  
 255 [33] and resulted in a mesh of 141378 elements shown in Figure 13. The length of the elements in the  
 256 longitudinal direction in the necking region of the specimen was 0.094 mm, while in the radial and  
 257 circumferencial directions the element sizes were of an average side length of around 0.020 mm. The  
 258 elements were eight-node brick elements with reduced integration (C3D8R, according to Abaqus<sup>®</sup>  
 259 nomenclature).



**Figure 12.** Description of the model. Plane 3 represents the eventual plane of failure; displacement is imposed in direction of the  $x$  axis on plane 4.



**Figure 13.** Mesh used to reproduce the experimental results.

#### 260 3.1.2. Boundary conditions and load

261 The load is applied in the X-axis direction and the YZ plane represents the eventual plane of  
 262 fracture. With regard to the boundary conditions, nodes on the  $x = 0$  plane are constrained in the  $x$   
 263 direction, nodes on the  $xz$  plane (plane 1) are constrained only in the  $y$  direction and nodes on the  
 264 inclined plane (plane 2) are constrained only perpendicularly to the plane.

265 The load is applied by defining an imposed displacement in the  $x$  direction to the nodes placed at  
 266  $x = 7.25$ mm (plane 4).

### 267 3.1.3. Materials

268 The specimens are modelled with a porous elastic-plastic material that follows the Gurson's  
269 formulation available in Abaqus®.

270 The elastic-plastic behaviour of the latter has been defined by the  $\sigma - \varepsilon$  curve obtained  
271 experimentally up to the maximum load point. As observed in [8], up to this point the diagram  
272 does not depend on the initial length used for measuring strain, therefore, this initial part of the  
273 diagram is very well described by the experimental data. The rest of the curve cannot be directly  
274 inferred from the experimental data since, in the lab a certain gauge length is used, but at a material  
275 level the stress must be related to strain, which is dimensionless. The subsequent hardening slope is  
276 in principle unknown and must be estimated. This has been under the assumption of a linear hardening  
277 law by using a parameter  $r$  which provides the slope after maximum loading as  $r = \frac{\Delta\sigma}{\Delta\varepsilon}$ .

278 The porous feature is modelled by a Gurson model, where the yield criterion is given by:

$$\Phi = \left(\frac{q}{\sigma_y}\right)^2 + 2f \cosh\left(-\frac{3p}{2\sigma_y}\right) - (1 + f^2) = 0 \quad (1)$$

279 where  $p$  and  $q$  are the hydrostatic pressure and the von Mises equivalent stress, respectively and  $f$  is  
280 the void volume fraction.

281 The change in the void volume fraction is computed as the summation of the change due to  
282 nucleation ( $\dot{f}_{nucl}$ ) and the change due to the growth of existing microvoids ( $\dot{f}_{gr}$ ), that are obtained by  
283 the following expressions:

$$\dot{f}_{gr} = (1 - f)\dot{\varepsilon}^{pl} : \mathbf{I}$$

$$\dot{f}_{nucl} = A\dot{\varepsilon}_m^{pl}$$

284 where  $\varepsilon^{pl}$  stands for the plastic flow,  $\dot{\varepsilon}_m^{pl}$  for the equivalent plastic strain in the metal matrix and  $A$  is  
285 obtained as follows:

$$A = \frac{f_N}{s_N\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{\dot{\varepsilon}_m^{pl} - \varepsilon_N}{s_N}\right)^2\right]$$

286 Therefore, the nucleation strain follows a normal distribution with a mean value  $\varepsilon_N$ , a standard  
287 deviation  $s_N$  and  $f_N$  stands for the volume fraction of nucleated voids.

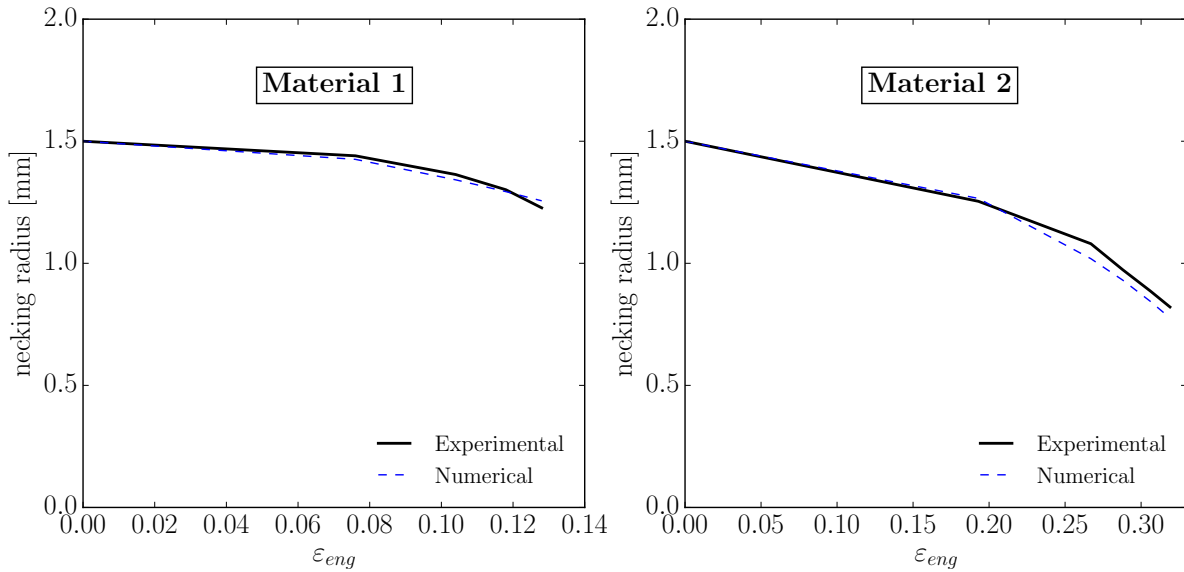
### 288 3.2. Calibrated models

289 With the aforementioned description of the model, the following parameters can be identified for  
290 calibration:

- 291 • Material relative density,  $d$ . Please, note that here we follow the Gurson model parameters used  
292 in the implementation of the model available in Abaqus®, therefore a value of  $d = 1$  implies a  
293 fully dense material with an initial void volume fraction of  $f = 0$ .
- 294 • Hardening slope after the maximum load defined as a stress-strain ratio,  $r$ .
- 295 • Mean equivalent plastic strain for void nucleation,  $\varepsilon_N$ .
- 296 • Standard deviation of the distribution,  $s_N$ .
- 297 • Volumetric fraction of nucleated voids,  $f_N$ .

298 In order to calibrate the model for both materials, the numerical results are compared with the  
299 experimental data by means of two criteria. The load-strain curve must be similar enough and the  
300 evolution of the necking radius in the center of the neck must follow the same pattern as experimentally  
301 observed. Figures 8 and 14 show that both criteria are met for both materials. It is interesting to note  
302 that in this calibration parameter  $r$  and the specific parameters of the Gurson model ( $r, \varepsilon_N, s_N$  and  $f_N$ )

303 govern hardening and softening processes, respectively. These processes are overlapped and have an  
 304 opposed effect on each other, which makes difficult to distinguish the influence of each on the overall  
 305 behaviour of the element.



**Figure 14.** Necking radius evolution for both materials; numerical and experimental results are compared.

306 The calibration process was carried out by trial and error using around 50 different sets of  
 307 parameters for each material. As a result of this process, the sets of parameters shown at Table 3 were  
 308 obtained. It is interesting to observe that parameter  $d$  is much closer to 1 in the case of Material 1 than  
 309 in the case of Material 2, which agrees well with the experimental observations that prove that the  
 310 latter has a higher initial porosity compared with the former.

**Table 3.** Initial parameters for FEM models of both materials.

Material	E [N/mm <sup>2</sup> ]	$\nu$	$r$	$d$	$\varepsilon_N$	$s_N$	$f_N$
1	160385	0.30	782	0.999	0.4	0.1	0.02
2	191536	0.30	762	0.99	0.3	0.1	0.06

### 311 3.2.1. Comparison with the experimental data

312 The calibration of both models ensures good agreement with the experimental data at the  
 313 macroscopic level. Nevertheless, the interest in this study is to compare the evolution of porosity  
 314 inside the material along the tensile test.

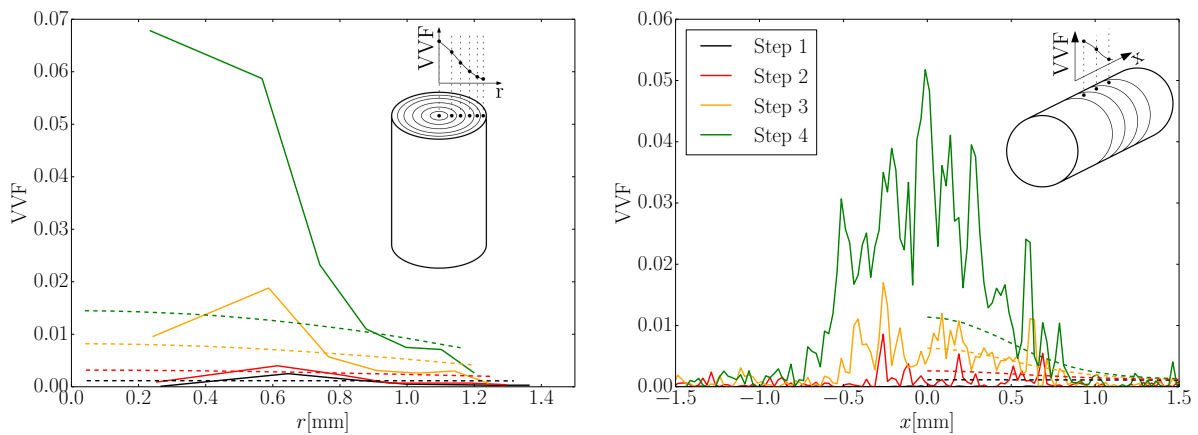
315 The XRCT technique provides information of the interior of the specimen in the form of voxels  
 316 grouped in slices. That is to say, a slice is the group of voxels placed at the same longitudinal distance  
 317 from the fracture plane, considered as the origin. To obtain the void volume fraction (VVF) longitudinal  
 318 profiles with the experimental data, the porosity fraction is counted for each slice.

319 Regarding the VVF radial profiles, the void fraction is obtained for concentric cylindrical rings.  
 320 The inner cylinder is full and the rest are hollow. Throughout this process, the measurement of  
 321 internal porosity is carefully obtained, neglecting the internal fracture at step four in Material 1 and the  
 322 longitudinal porosity chains in Material 2, which appear even in the initial tomography, taken before  
 323 any load is applied.

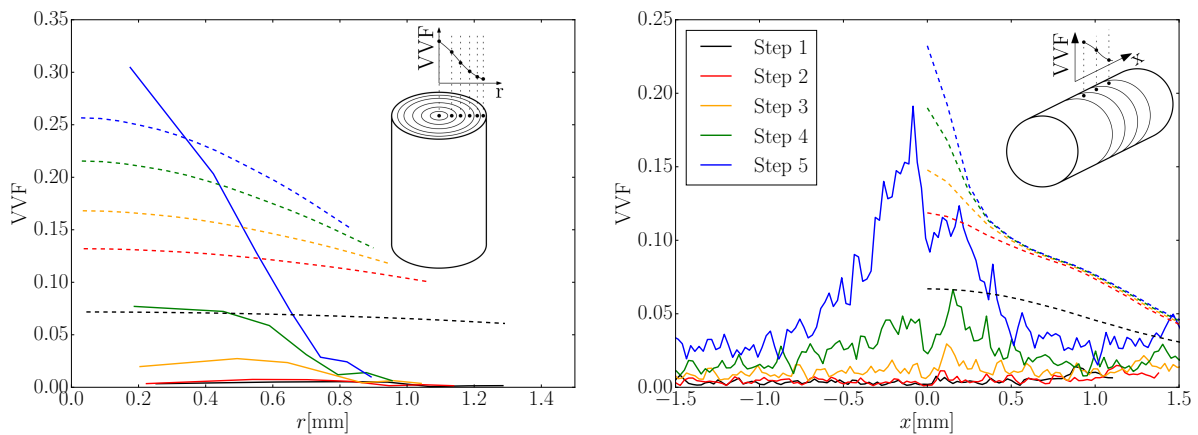
324 The same procedure is followed to obtain the VVF longitudinal and radial profiles with  
 325 the numerical models; to do this, the results obtained with Abaqus<sup>®</sup> are filtered by several  
 326 Python-language scripts using NumPy and SciPy libraries [45–47] and the profiles are extracted for

327 the same strain values considered experimentally (see Table 2). Since the VVF is obtained in Abaqus®  
 328 at each integration point, these filters integrate the whole void volume fraction carefully taking into  
 329 account the tributary volume of each of them and obtain the VVF for any desired volume: hollow  
 330 cylinders in the case of the radial distribution and cylindrical slices in the case of the longitudinal  
 331 distribution.

332 Figure 15 and Figure 16 compare the numerical and experimental profiles. Note that, in regards to  
 333 the experimental results, the diagrams show the measured void volume fraction, which can be slightly  
 334 lower than the real one, since these results are affected by the equipment precision ( $2.5 \mu\text{m}$ ). In both  
 335 materials the longitudinal and radial profiles numerically obtained are somewhat different from the  
 336 experimental ones.



**Figure 15.** Radial and longitudinal voids distribution experimentally (continuous lines) and numerically (dashed lines) obtained for Material 1.



**Figure 16.** Radial and longitudinal voids distribution experimentally (continuous lines) and numerically (dashed lines) obtained for Material 2.

337 In the case of Material 1 and regarding the longitudinal profile, these differences might not be  
 338 so important and, probably, a different adjustment of the model parameters could provide a more  
 339 similar final profile (green dashed line). Nevertheless, there is an interesting difference, since the  
 340 experimental data suggest a high porosity development in the last part of the test, between steps 3 and  
 341 4; this is not observed in the numerical results. This could be due to the fact that the model does not  
 342 reproduce coalescence, but only nucleation and growth of microvoids. Regarding the radial profiles,  
 343 two important differences can be highlighted: the high porosity increment experimentally observed  
 344 between steps three and four, which is not observed in the numerical results, and the different shape of  
 345 the numerical and experimental profiles. The first of these differences can be attributed to the absence  
 346 of coalescence in the numerical model. In regards to the second difference, the experimental profiles

347 tend to have a steep slope around the middle of the radius, being almost zero at the external part of  
348 the specimen, while the numerically obtained profiles tend to be almost flat, even presenting a higher  
349 porosity in the external part of the specimen than that measured experimentally.

350 In the case of Material 2, both radial and longitudinal profiles may seem to be more similar to  
351 the experimental ones, since the porosity profiles for the last step are more similar than in the case of  
352 Material 1. Nevertheless, by taking a closer look at these profiles the same differences can be pointed  
353 out. In the case of the longitudinal profiles, although the last step profile may seem more or less  
354 similar to the experimental one, its evolution is different. For example, while the experimental profile  
355 does not develop much in the first two steps and only increases slightly in the steps three and four,  
356 the numerical profile develops much from the very first step. Again, as observed for Material 1, the  
357 high porosity increment observed experimentally in the last step is not obtained numerically. In the  
358 case of the radial profiles, the same differences observed for Material 1 can be identified, since all the  
359 numerical profiles are almost flat, different from the numerical ones, and there is not a high porosity  
360 evolution in the last step, as experimentally obtained. Again, as observed previously for the results of  
361 Material 1, this last issue may be due to the absence of coalescence in the numerical model.

362 From these results, it can be concluded that although a set of parameters provides a  
363 macroscopically correct response of the specimen, porosity evolution is different not only for Material  
364 1, which could be expected since it is a steel with a little ductile response and a fracture pattern different  
365 from the cup-cone shape, but also for Material 2, which in principle is a material with a behaviour  
366 typically reproduced by Gurson-type models.

367 Nevertheless, it should be noted that in this work the original Gurson model has been used, thus  
368 it only reproduces the effects of nucleation and growth of voids, but not coalescence, which could help  
369 finding more similar damage evolution profiles in the last stages of the test.

### 370 3.2.2. Mesh size effect on the voids volume profiles

371 Mesh density may have a strong influence on the numerical results, that is why, as already  
372 mentioned, the model has been calibrated using the load-strain curve and the necking evolution.  
373 However, there is still no data about how refining the mesh affects the evolution of volume profiles.  
374 To this respect, since the mesh is already pretty fine in the radial and angular directions as can be  
375 observed in Figure 13, with sides of around  $20\ \mu\text{m}$  in length, only the longitudinal dimension of the  
376 elements has been taken into account.

377 For this study, several meshes have been generated using the same radial and angular  
378 discretization and using different element longitudinal lengths in the necking region  $l$ , ranging from a  
379 coarse mesh with  $l = 0.75\text{mm}$ . (Figure 17a)) to a fine mesh of  $l = 0.075\text{mm}$ . (Figure Figure 17b)). In all  
380 cases, the same parameters of the Gurson model have been used for each material, which are those of  
381 Table 3.

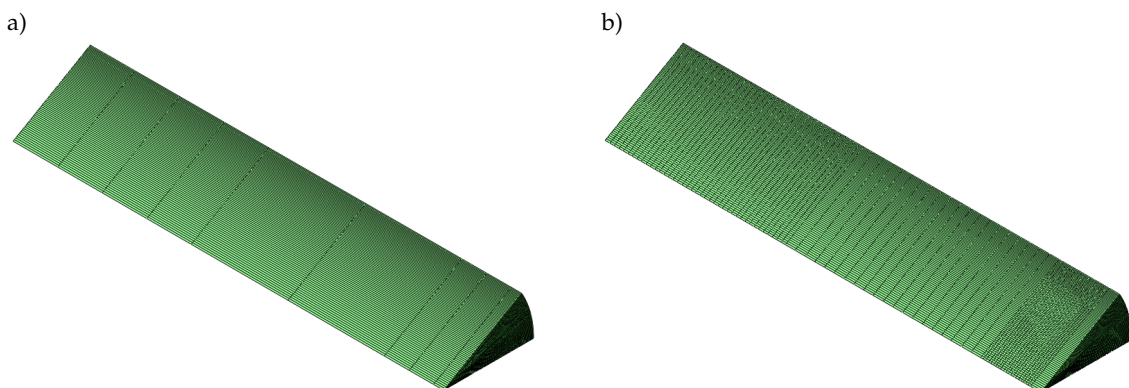
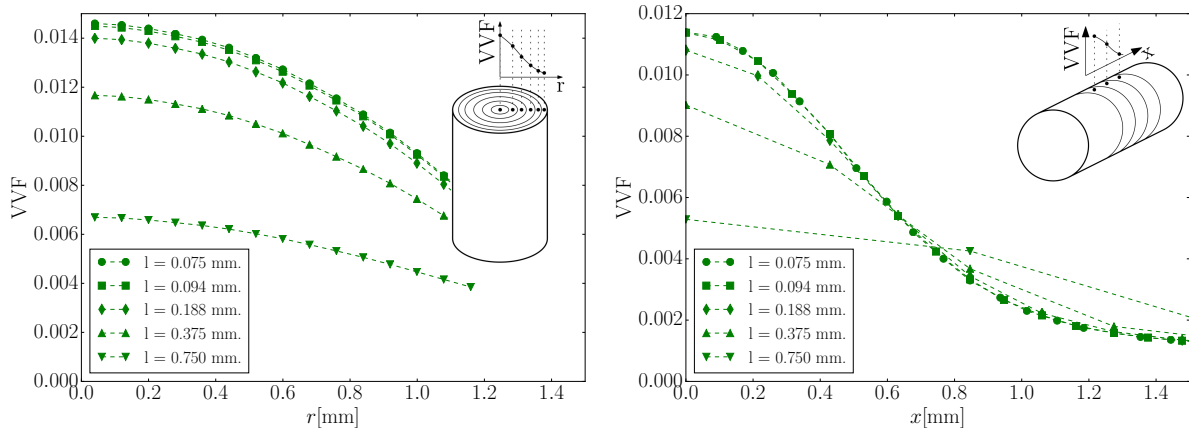
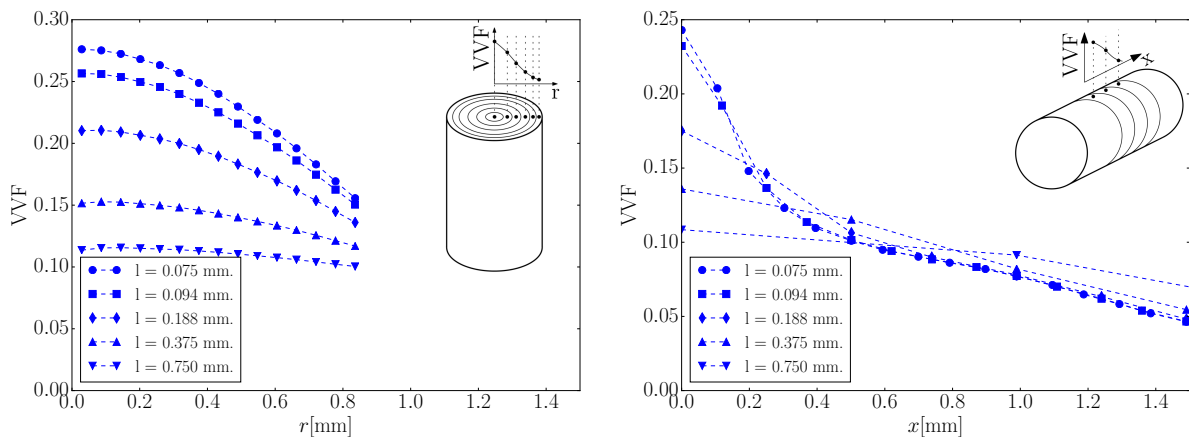


Figure 17. a) Coarser mesh with  $l = 0.75\text{mm}$ . and b) Finer mesh with  $l = 0.075\text{mm}$ .

382 Figures 18 and 19 show the radial and longitudinal distribution of each mesh at the last considered  
 383 strain value experimentally measured for each material (step 4 for Material 1 and step 5 for Material  
 384 2), which can be considered as the most representative of them all. In the Appendix at the end of this  
 385 article, the reader can find all the voids diagrams for each mesh and for all the strain values considered  
 386 in this study.



**Figure 18.** Radial and longitudinal voids distribution of a specimen of Material 1 at step 4 obtained using meshes with different element longitudinal lengths.

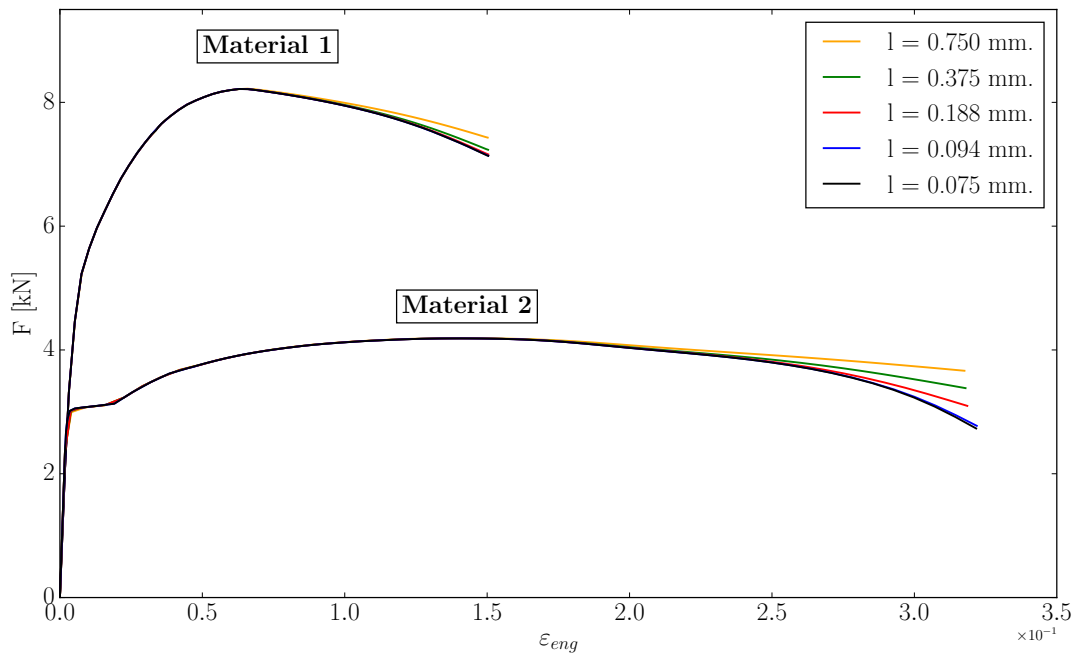


**Figure 19.** Radial and longitudinal voids distribution of a specimen of Material 2 at step 5 obtained using meshes with different element longitudinal lengths.

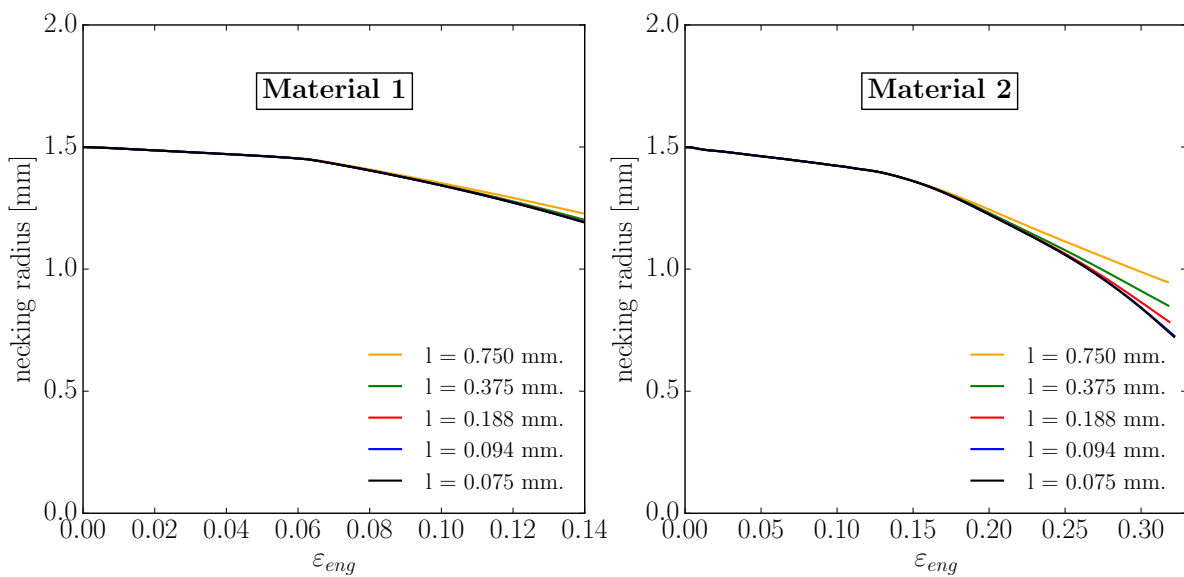
387 In both materials, mesh refinement leads to steeper shapes of both voids distribution, radial and  
 388 longitudinal. Nevertheless, while in the case of Material 1 the second finer mesh ( $l = 0.094\text{mm}$ .) seems  
 389 to be fine enough (both diagrams are almost coincident with the finer mesh), in the case of Material 2,  
 390 it seems that further refinement could lead to slightly steeper shapes of the diagram. This is interesting,  
 391 since different calibration parameters of the Gurson model seem to require different mesh refinement  
 392 when the voids distribution shapes are to be analysed.

393 In addition to this, the load-strain diagrams are compared in Figure 20, showing that as mesh  
 394 refines, the load decay at the end of the test is higher, although stabilises for the finer meshes in both  
 395 materials. Finally, in Figure 21 the necking evolution is compared showing a similar trend as that  
 396 observed for the load-strain diagrams, although in this case the deviation for coarser meshes seems to  
 397 be less important. Since damage, as the experimental observations confirm, is concentrated in a very  
 398 narrow area of the specimen, coarser meshes cannot correctly reproduce this fact. They extend damage  
 399 to a wider area, depending on the longitudinal length of the damaged elements and, therefore, for a  
 400 certain value of  $\varepsilon_{eng}$  the load decay in Figure 20 and the necking radius reduction shown in Figure 21

401 present smaller values, since for a certain strain value a longer part of the specimen is damaged, so  
 402 damage and necking develop less.



**Figure 20.** Load-strain curves of the 3mm-diameter specimen of both materials; comparison of meshes with different element sizes in the longitudinal direction at the necking region.



**Figure 21.** Necking radius evolution for both materials; comparison of meshes with different element sizes in the longitudinal direction at the necking region.

#### 403 4. Conclusions and final remarks

404 In this paper, two steels with distinct fracture patterns have been analysed, with Material 1  
 405 corresponding to an eutectoid steel used for manufacturing prestressing steel wires and Material 2  
 406 being a standard steel used as reinforcement in concrete structures. The study has been carried out on  
 407 3 mm-diameter cylindrical specimens by means of tensile test performed in subsequent incremental  
 408 strain steps up to failure. The internal damage evolution has been identified by means of XRCT.

409 When a specimen of Material 1 is tested, the fracture surface is plane and perpendicular to the  
410 loading direction with two different regions: a central dark region and a brighter surrounding region.  
411 In the case of a specimen of Material 2, the fracture pattern corresponds to the cup-cone surface,  
412 extensively studied by many researchers.

413 The fractographic images obtained for both materials allow identification of the mechanism  
414 of nucleation, growth and coalescence of microvoids in each material. Material 1 presents almost  
415 no initial voids and a slight internal porosity is developed through the test; the fracture presents a  
416 ductile-brittle transition phenomenon. As the test progresses, a ductile behaviour is observed where  
417 the nucleation and growth of microvoids mechanism is developed and, immediately before failure,  
418 a large penny-shaped internal crack, perpendicular to the loading direction, is formed. This leads  
419 to an eventual brittle fracture acting as an internal notch, which agrees well with previous works  
420 [8,9]. Material 2 has an initial high volume of voids or inclusions, probably due to the manufacturing  
421 process, which increases as the tensile test is carried out. In this case the fracture is evenly developed  
422 over the whole cross section: the nucleation and growth of microvoids mechanism takes place evenly  
423 throughout the test and causes a progressive weakening of the material that does not provoke a critical  
424 internal crack. Although no internal fracture planes have been observed in Material 2, according to  
425 Bluhm and Morrissey in [32], the progressive formation of the cup-cone fracture surface should be  
426 expected. This could be due to a fast formation of this surface before fracture.

427 Since the Gurson model allows identification of the development of voids inside the material, the  
428 test has been numerically reproduced by means of finite element models by using a Gurson porous  
429 material. To calibrate the parameters for each material, two macroscopic values have been considered:  
430 the load-strain curve and the evolution of the necking radius. After calibration, internal porosity  
431 evolution has been compared with that obtained experimentally for both materials in the longitudinal  
432 and radial directions. It is interesting to observe that, although the Gurson model is able to correctly  
433 reproduce the two macroscopic criteria used for calibration in both materials, internal porosity profiles  
434 differ considerably from the experimental ones. It is also interesting to observe that, when the void  
435 volume evolution is analysed, the numerical model calibration may require different mesh refinement  
436 for distinct set of calibrated parameters. The use of a complete Gurson-Tvergaard-Needleman model  
437 [4], which includes additional material parameters to account for the effects of void interactions and  
438 consider the effect of void coalescence, could help to improve the comparison with the experimental  
439 results. Nevertheless, it is important to note that the resolution of the XRCT must always be kept in  
440 mind, since this experimental technique can underestimate to some extent the material porosity in the  
441 material.

442 As a concluding remark, it is interesting to note that the Gurson model formulation is elegantly  
443 based on the microscopic mechanical behaviour of spherical voids inside a plastic material, therefore,  
444 one would expect to observe a similar void evolution in the experimental results. Nevertheless, the  
445 results presented in this work show that although a set of parameters can calibrate the Gurson model  
446 and globally reproduce correctly the experimental behaviour (load-strain curve and necking evolution)  
447 there is clearly need of improved knowledge concerning the calibration of this type of models. In the  
448 case that only the macroscopic behaviour must be reproduced, there are other possible approaches  
449 that can provide good results. For instance, in [9], the authors used the cohesive zone approach with  
450 success, which had an advantage respect with the Gurson model: it needs a smaller parameters to  
451 calibrate and they can be obtained by standardised tests. Nevertheless, the use of models based on  
452 Gurson's formulation, which are based on the micromechanical process of fracture (nucleation and  
453 growth of microvoids) is very appealing and interesting. Hopefully, the results presented here may  
454 help finding out how to better calibrate these models to match the experimentally observed damage  
455 evolution.

456 **Author Contributions:** Jaime C. Gálvez, José M. Atienza and David. A. Cendón conceived and designed the  
457 experimental work and supervised the numerical work; Fernando Suárez carried out the experimental work on  
458 the tensile testing; Fernando Suárez carried out the the numerical work that deals with the Gurson modelling

459 under the supervision of David A. Cendón; Federico Sket and Jon Molina-Aldareguia carried out the XRCT  
 460 analysis; Fernando Suárez wrote the paper.

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 462 of the Research Fund Project BIA 2016 78742-C2-2-R.

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 464 Trefilería, S.A. (Arteixo, La Coruña) for supplying the steel wires, as well as for providing their useful comments.

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 466 the study; in the collection, analysis or interpretation of data; in the writing of the manuscript and in the decision  
 467 to publish the results.

## 468 Appendix A. Voids Volume evolution profiles

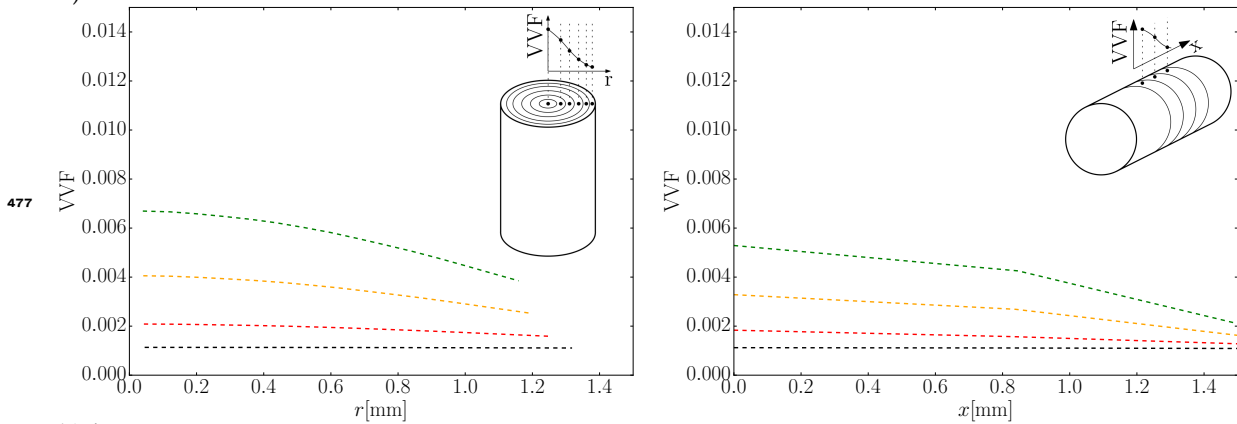
469 In order to give a glimpse on how radial and longitudinal voids evolution profiles are affected by  
 470 the longitudinal size of the elements in the numerical model, this appendix shows them for each of the  
 471 strain steps experimentally analysed using different longitudinal element lengths  $l$ , ranging from 0.75  
 472 mm. to 0.075 mm.

473 As in previous figures of the article, black lines are used for step 1, red lines for step 2, yellow for  
 474 step 3, green for step 4 and blue for step 5.

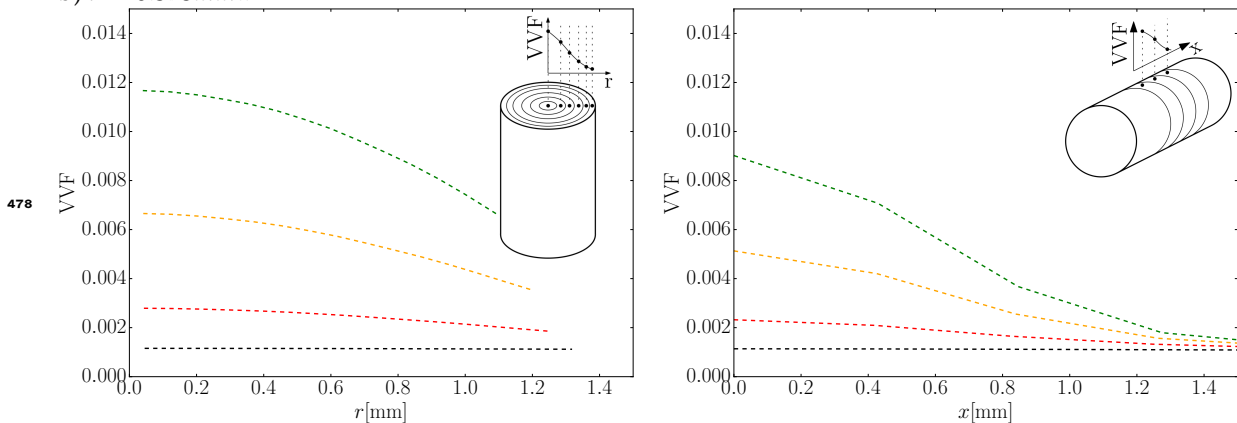
475

### 476 **Material 1**

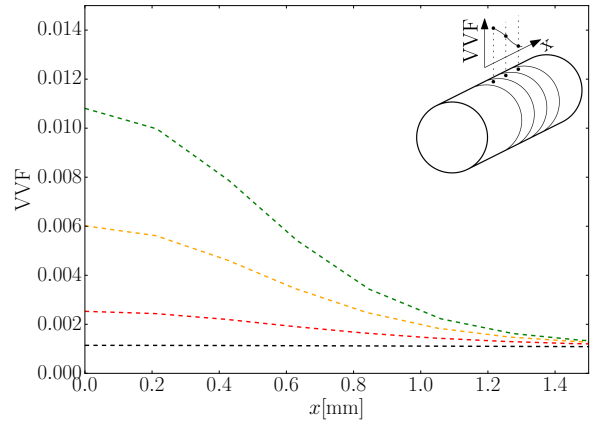
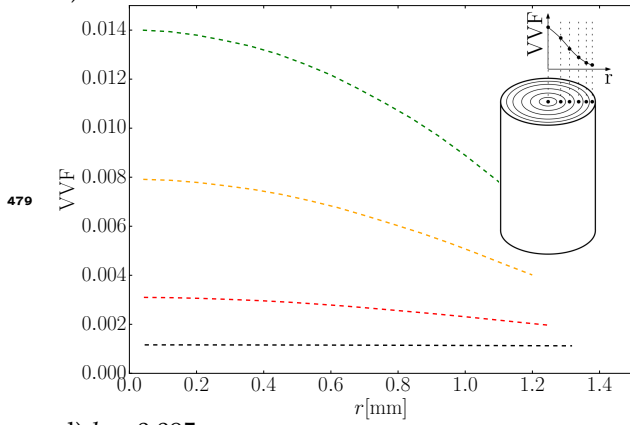
a)  $l = 0.75\text{mm.}$ :



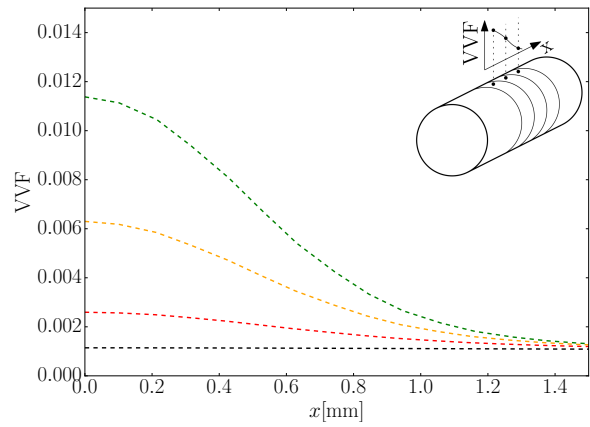
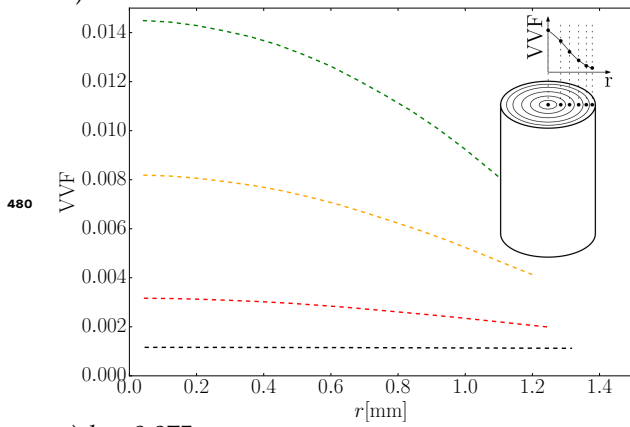
b)  $l = 0.375\text{mm.}$ :



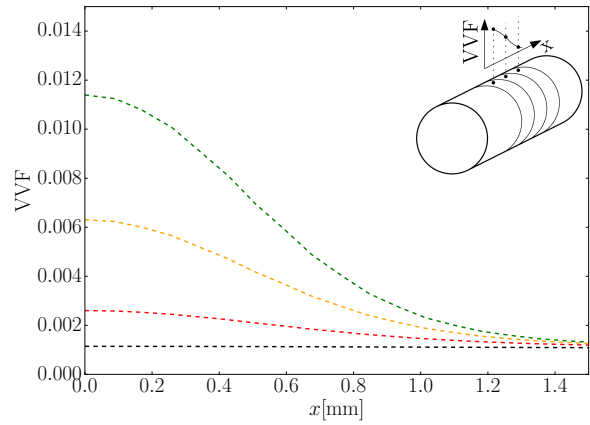
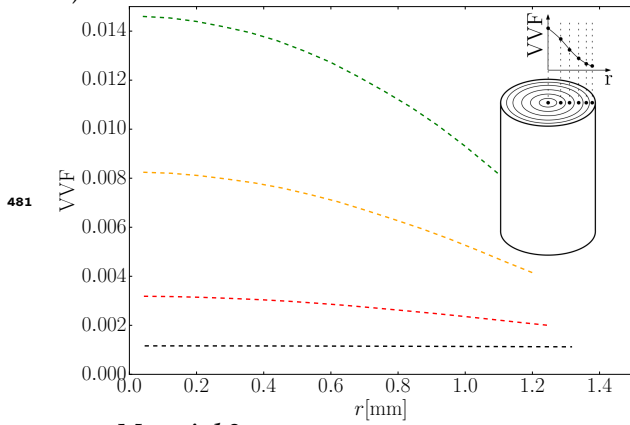
c)  $l = 0.188\text{mm}.$



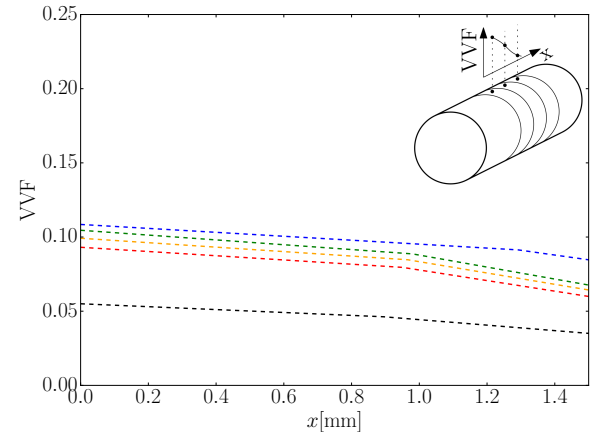
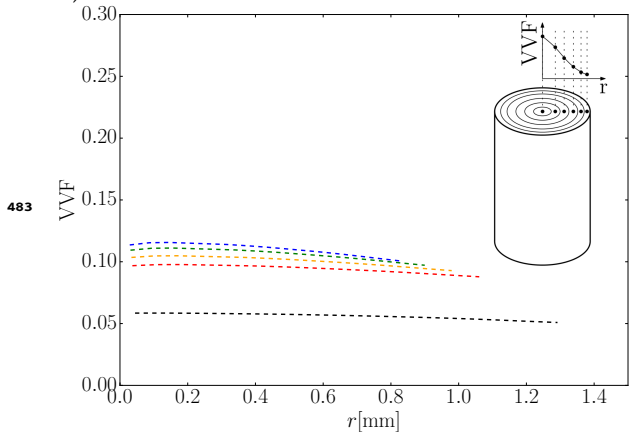
d)  $l = 0.095\text{mm}.$

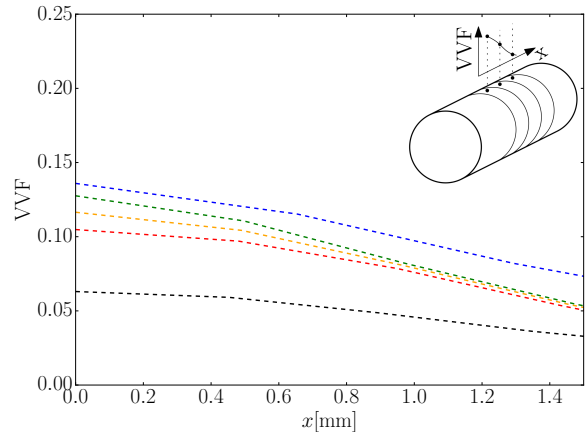
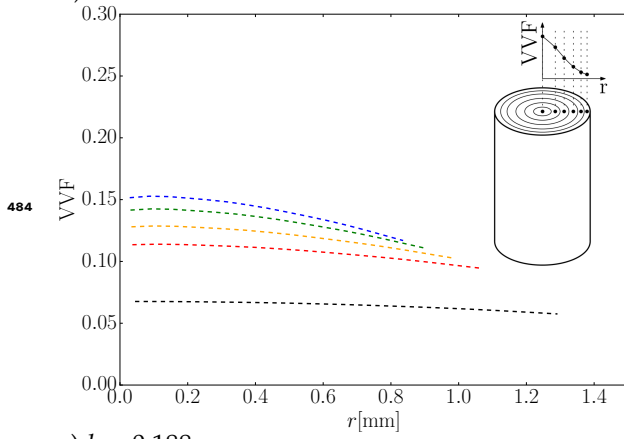
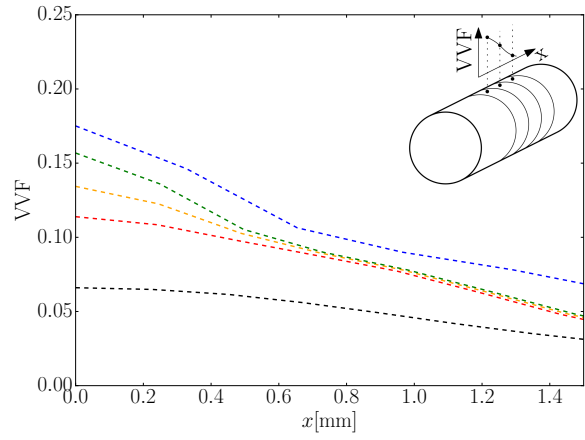
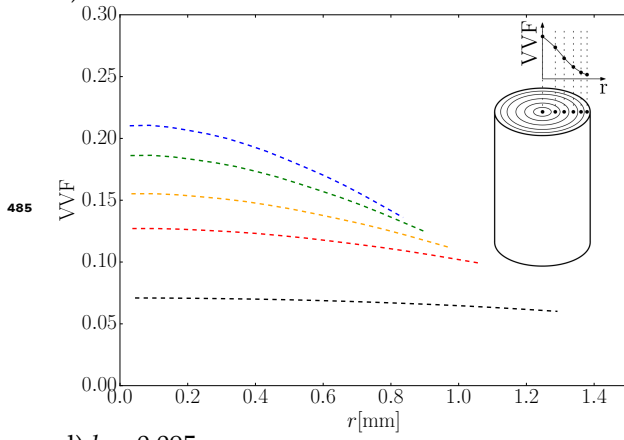
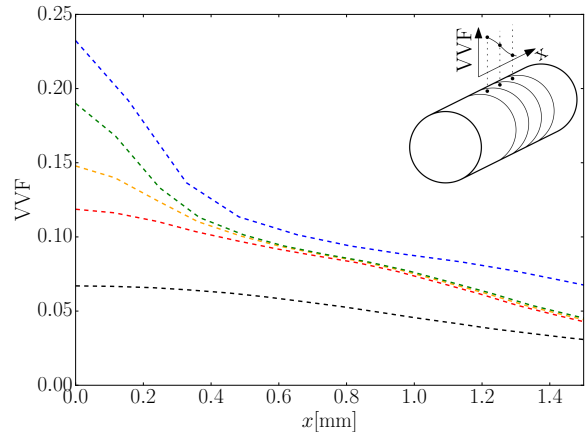
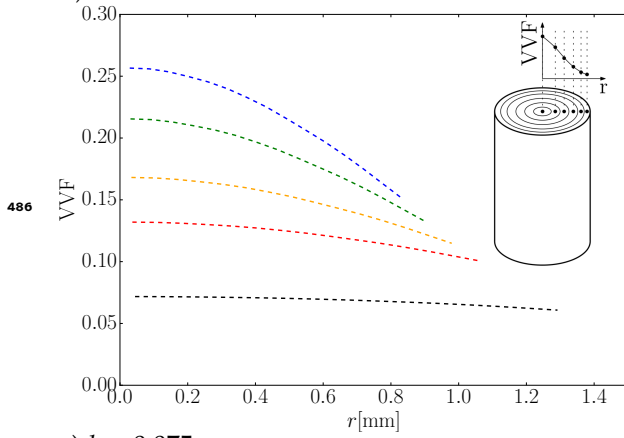
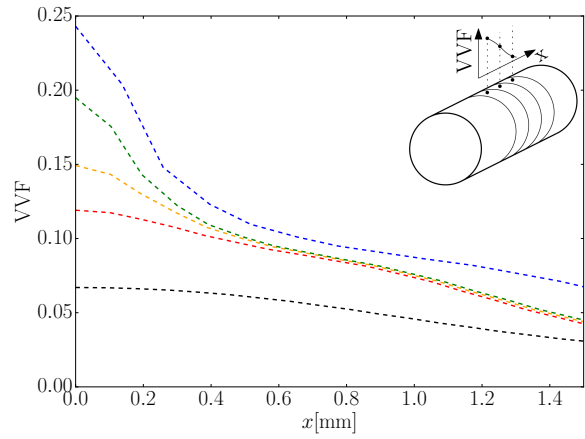
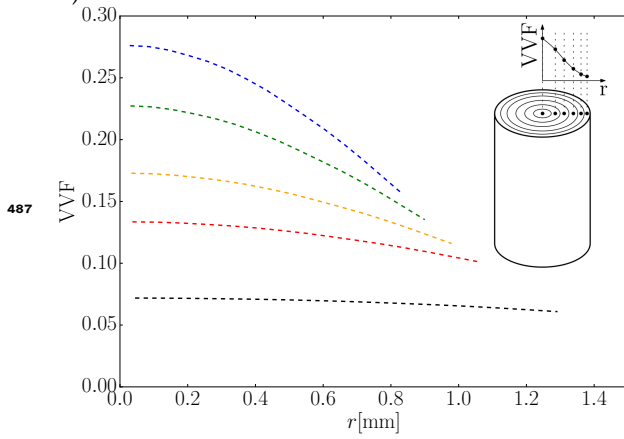


e)  $l = 0.075\text{mm}.$



482 **Material 2**  
a)  $l = 0.75\text{mm}.$



b)  $l = 0.375\text{mm}.$ :c)  $l = 0.188\text{mm}.$ :d)  $l = 0.095\text{mm}.$ :e)  $l = 0.075\text{mm}.$ :

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